

Introduction to Machine Learning

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TOC

- Introduction
- Basic of Machine Learning
- Typical Network Architectures
 - CNN
 - RNN
 - Attention
- DL Hardware & Software
- MLSys

What is machine learning?



Your AI pair programmer

GitHub Copilot uses the OpenAI Codex to suggest code and entire functions in real-time, right from your editor.

[Get Copilot for Business >](#)[Compare plans](#)

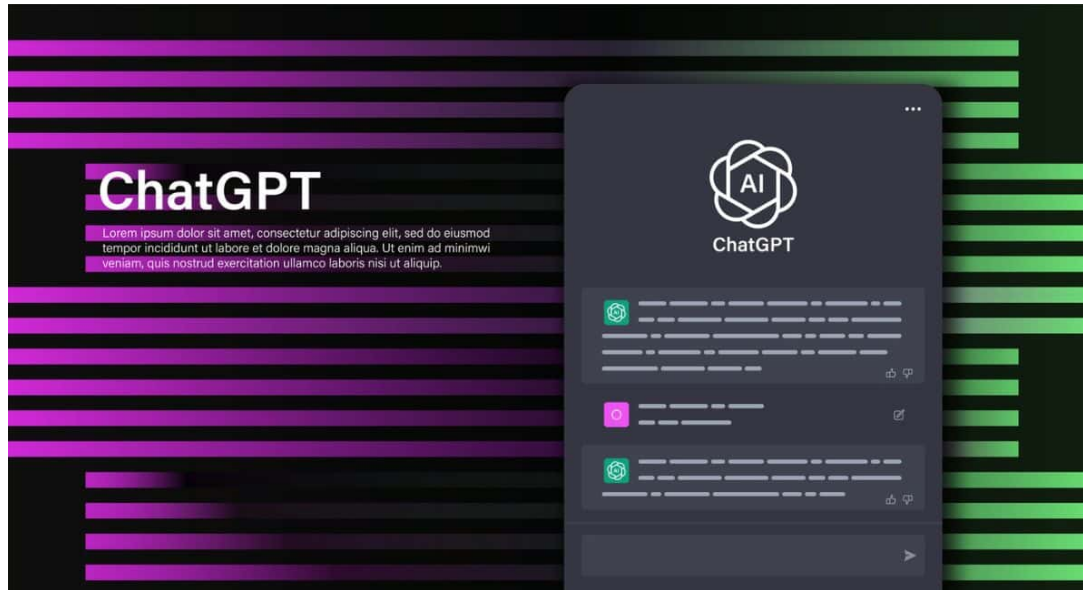
```
1 #!/usr/bin/env ts-node
2
3 import { fetch } from "fetch-h2";
4
5 // Determine whether the sentiment of text is positive
6 // Use a web service
7 async function isPositive(text: string): Promise<boolean> {
8   const response = await fetch("http://text-processing.com/api/sentiment/", {
9     method: "POST",
10    body: `text=${text}`,
11    headers: {
12      "Content-Type": "application/x-www-form-urlencoded",
13    },
14  });
15  const json = await response.json();
16  return json.label === "pos";
17 }
18 export { isPositive };
```

8 Copilot

Replay

GitHub Copilot: Code Generation

GPT-3.5 & GPT-4: ChatGPT



ChatGPT



Examples

"Explain quantum computing in simple terms" →

"Got any creative ideas for a 10 year old's birthday?" →

"How do I make an HTTP request in Javascript?" →



Capabilities

Remembers what user said earlier in the conversation

Allows user to provide follow-up corrections

Trained to decline inappropriate requests



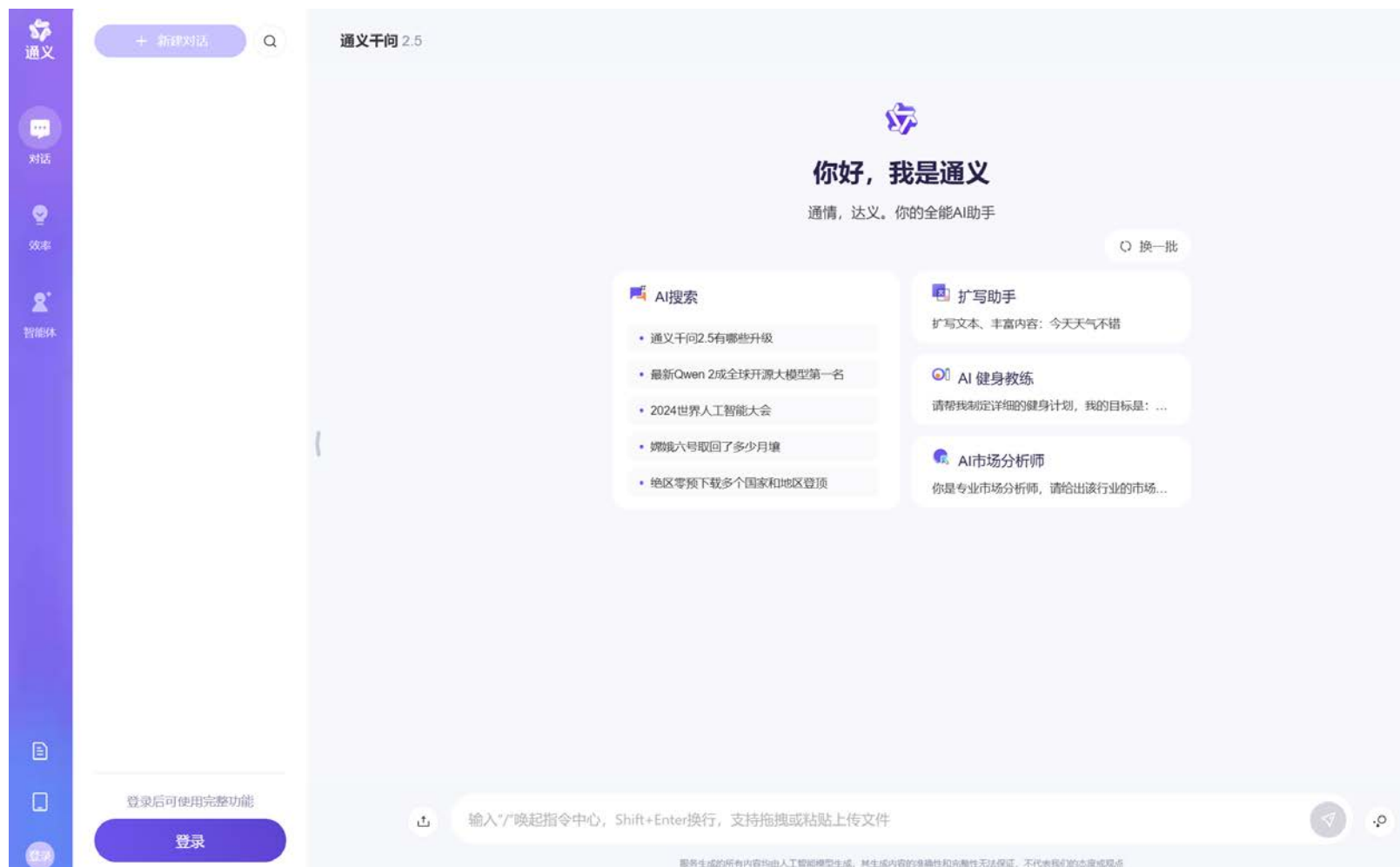
Limitations

May occasionally generate incorrect information

May occasionally produce harmful instructions or biased content

Limited knowledge of world and events after 2021

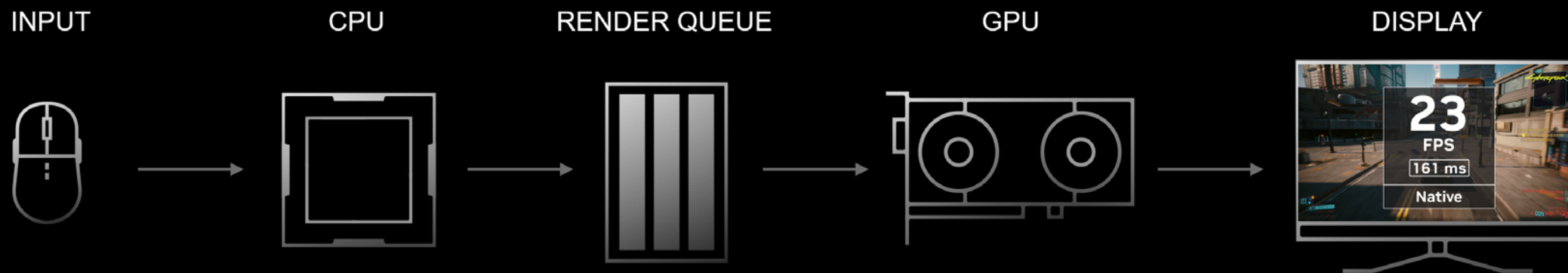
通义千问



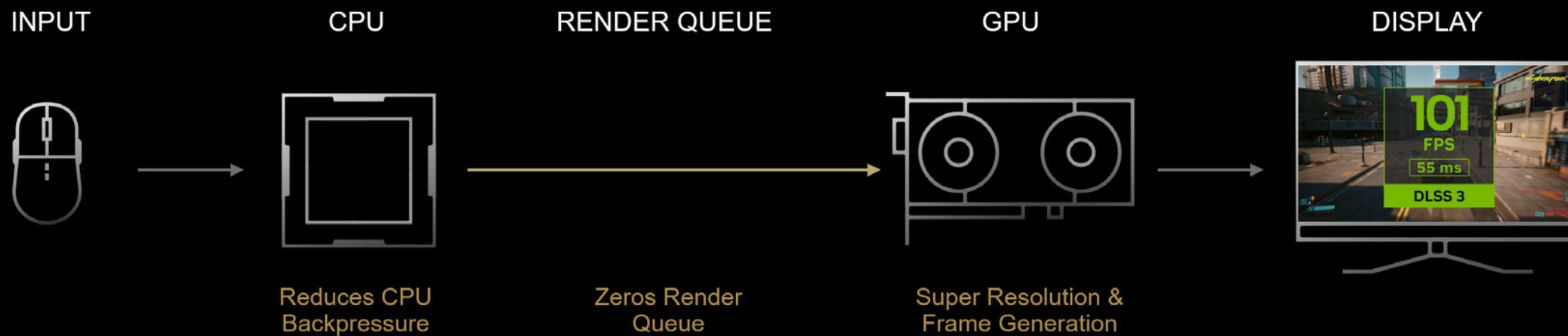
DeepSeek



SYSTEM LATENCY PIPELINE



DLSS 3 WITH NVIDIA REFLEX BOOSTS PERFORMANCE & RESPONSIVENESS



AI Art

- DALL·E 3

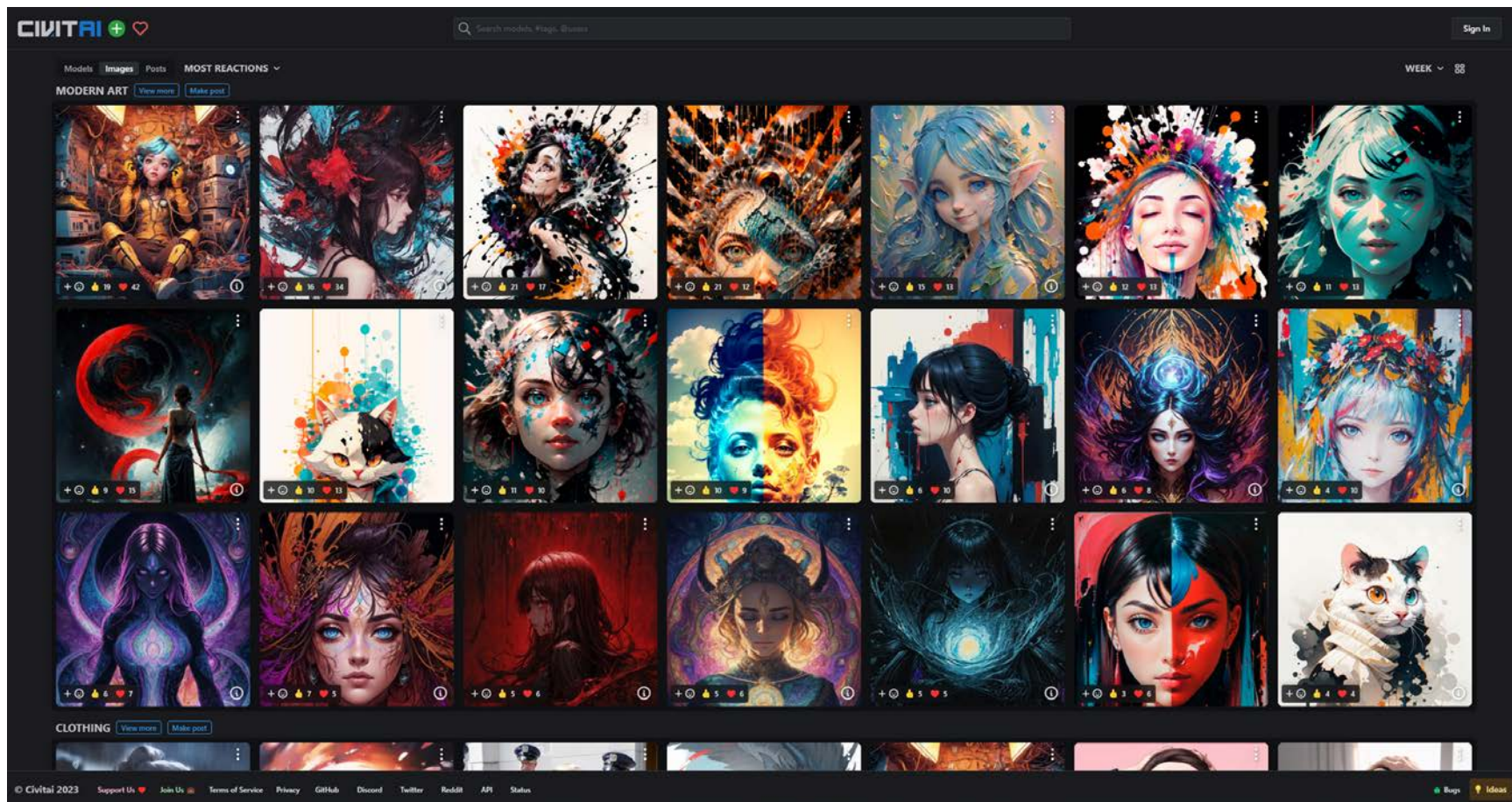


- Midjourney



AI Art

- Stable Diffusion + LoRA

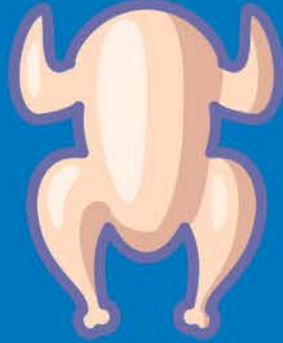


Traditional programming (software **1.0**)
(does not require 2.0)

VS.

(requires 1.0)
Machine learning (software **2.0**)

Traditional programming



1. Cut vegetables
2. Season chicken
3. Preheat oven
4. Cook chicken for 30-minutes
5. Add vegetables

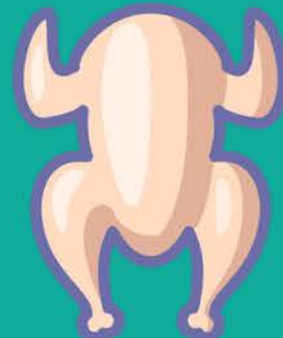


Starts with

Makes

Machine learning algorithm

Inputs



Output



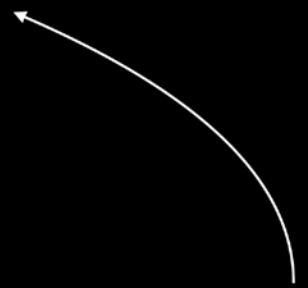
1. Cut vegetables
2. Season chicken
3. Preheat oven
4. Cook chicken for 30-minutes
5. Add vegetables

Starts with

Figures out



Machine learning is turning things (data) into numbers and **finding patterns** in those numbers.



The computer does this part.
How?
Math.
(we'll cover a little on this later)

“Why use machine learning?”

Another curious (perhaps even more curious than before) internet dweller

Good reason: ~~Why not?~~

Better reason: Can you think of
all the rules?

(probably not)





digit 7



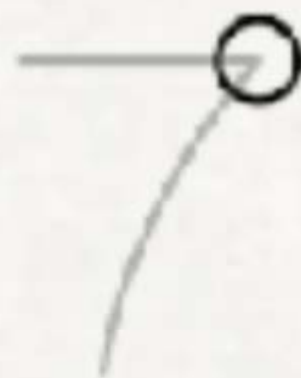
horizontal
line

+

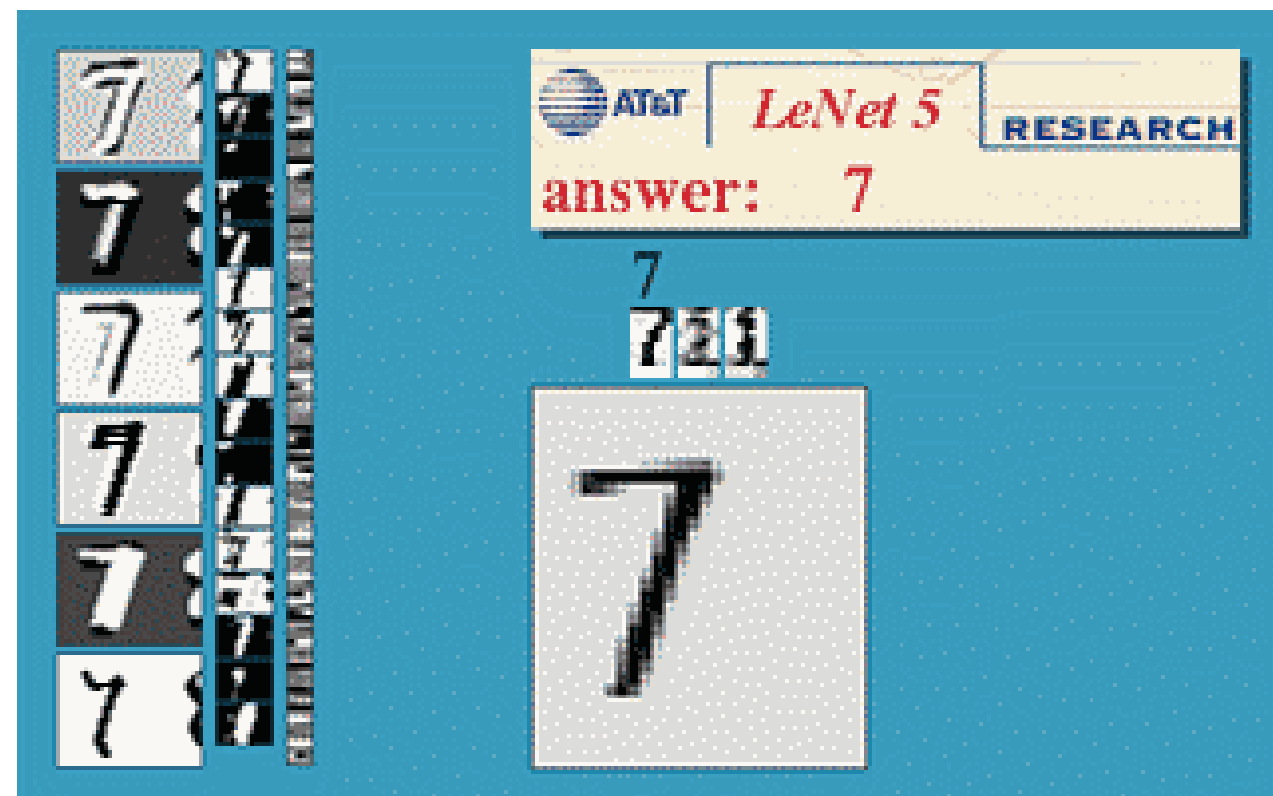


NE-SW
diagonal

+



lines meet
at upper right



Layer-1
Layer-3
Layer-5
Input

Traditional Approach vs Machine Learning

Traditional

- Hand-crafted rules / features
- Explainable
- Less tested on data
- Insufficient capacity

Machine Learning

- Automatically-learned rules / features
- Less explainable
- Data-driven
- High capacity

Focus on formulating the problem, and then derive the solution more automatically

(maybe not very simple...)

“If you can build a **simple rule-based** system that doesn’t require machine learning, do that.”

A wise software engineer... (actually rule 1 of Google’s Machine Learning Handbook)

What machine learning is good for



- **Problems with long lists of rules**—when the traditional approach fails, machine learning may help.
- **Continually changing environments**—machine learning can adapt (‘learn’) to new scenarios.
- **Discovering insights within large collections of data**—can you imagine trying to go through every transaction your (large) company has ever had by hand?

Why ML in an HPC course?

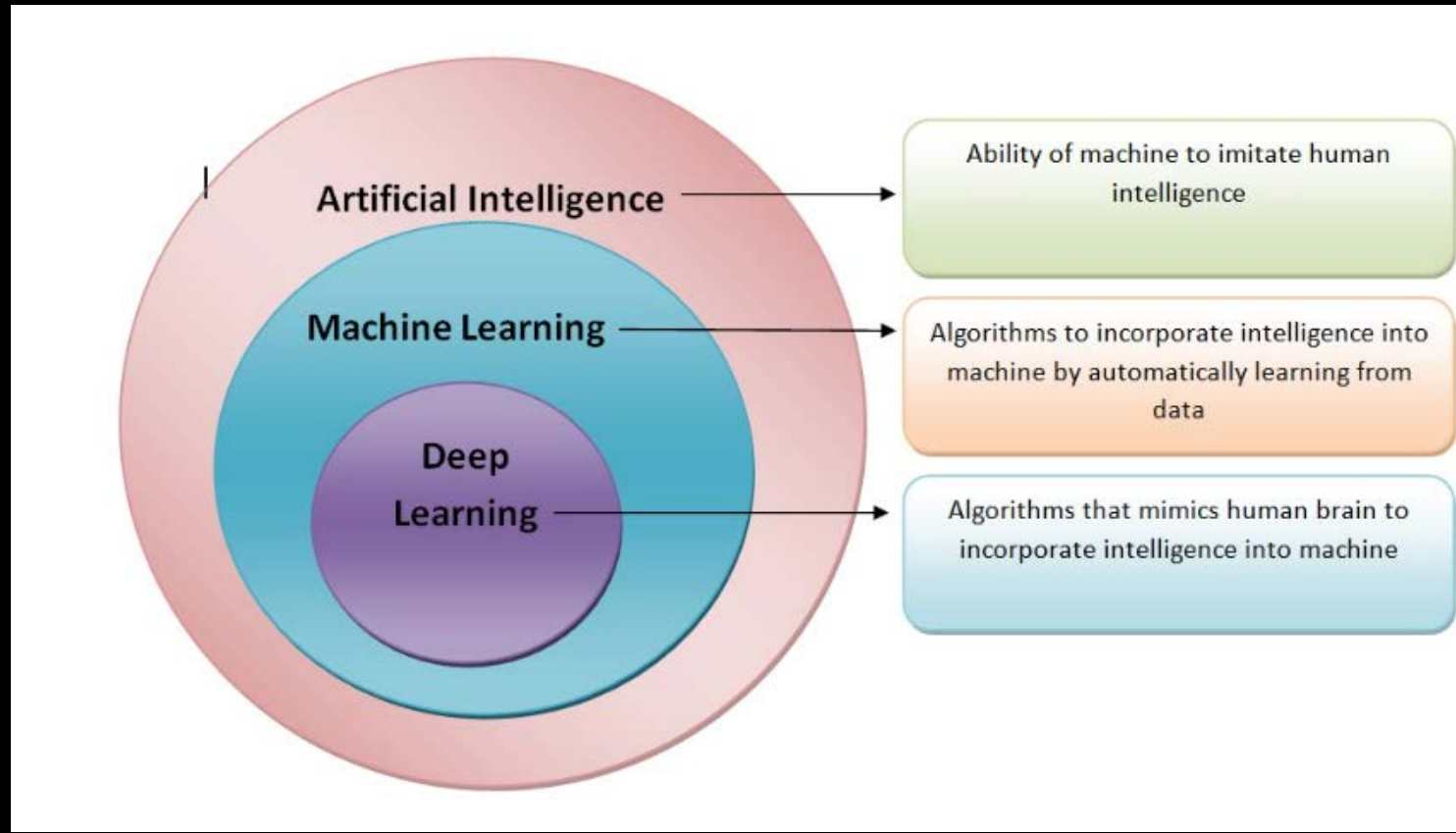
- ML (especially DL) is a special serious of application requiring large computing power
 - Just like other domain-specific applications
 - Can use techniques in traditional optimization
- ML can be used to guide system optimization
- Emerging research topic: **MLSys**
 - Distributed Learning
 - Inference Acceleration
 - Domain-Specific Language & Architecture
 - AutoSys
 - ...



What does this lesson focusing on?

- (Very) Basic ML & DL (What to do)
 - Model, Loss and Optimizer
 - CNN (CV)
 - Attention (NLP)
- MLSys
 - Framework (e.g. PyTorch)
 - Training/Inferencing Systems
 - LLM
- Doesn't
 - Modern Deep Learning Technology (Many complex networks)
 - Theory (Why do this? Why it works?)
 - Application (How to use/deploy ChatGPT/Stable Diffusion?)

What is the difference between ML/DL/AI?



Stay with the Times

课程号	课程名称	学分	周学时	总学时	建议学年学期
CS1006G	Python程序设计	3.0	2.0-2.0	64	一(秋冬)
CS1241G	人工智能基础 (A)	2.0	2.0-0.0	32	一(春夏)

课程号	课程名称	学分	周学时	建议学年学期
211G0290	计算机科学基础 (A)	2.0	2.0-0.0	一(秋冬)
211G0200	Python程序设计	3.0	2.0-2.0	一(春夏)

Does it require math?

Me in 2040 dying in the operating table because my Doctor used ChatGPT To Pass medical school



Sell me this pen

It's AI powered



Basic of machine learning

1. 🤔 Machine Learning Problems

1. 🤔 Machine Learning Problems

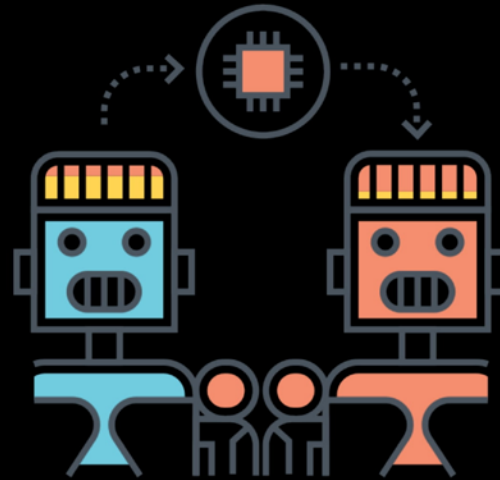
(categories of learning)



**Supervised
Learning**



**Unsupervised
Learning**



**Transfer
Learning**



**Reinforcement
Learning**

1. 🤔 Machine Learning Problems

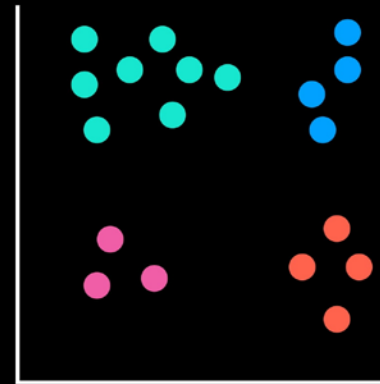
(problem domains)



Classification



Regression



Clustering

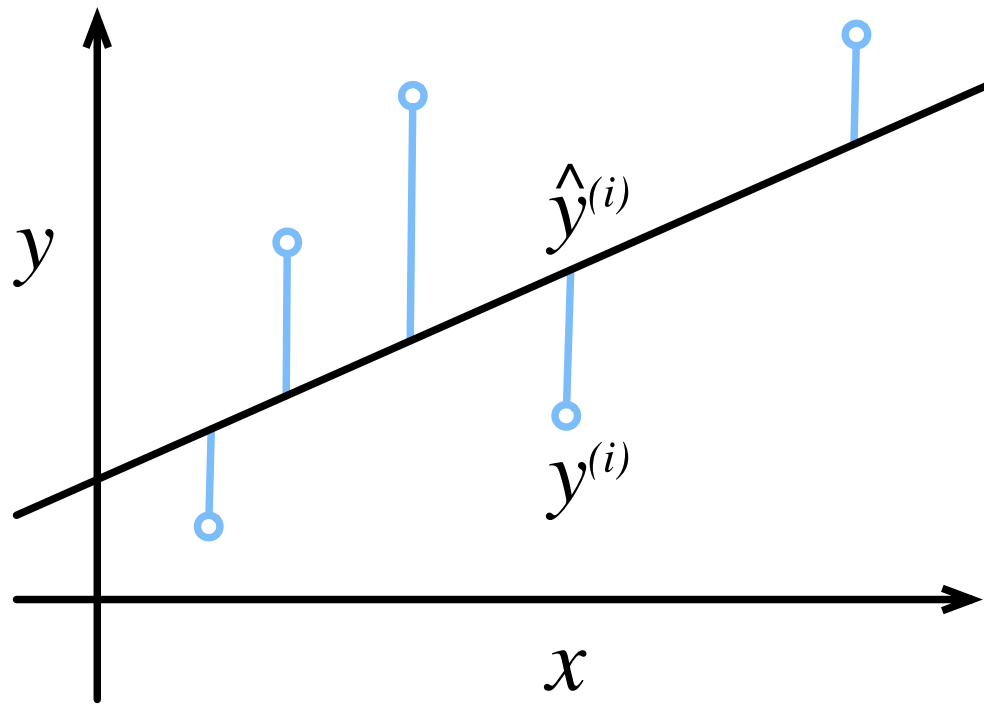
(dropped)		(most important)		
ID	Weight	Heartrate	Age	Heart Disease?
0	76	54	55	0
1	81	42	34	0
2	90	70	47	0
3	67	100	79	1

Dimensionality reduction

Formulation of machine learning

- $y = f(x)$
 - x : input numeric data
 - y : expected result
- What to use for f ?
 - E.g. $y = wx + b$
- How to solve params in f ?
 - E.g. w & b
- How to get x from real-world data?
- How to judge the quality of f ?

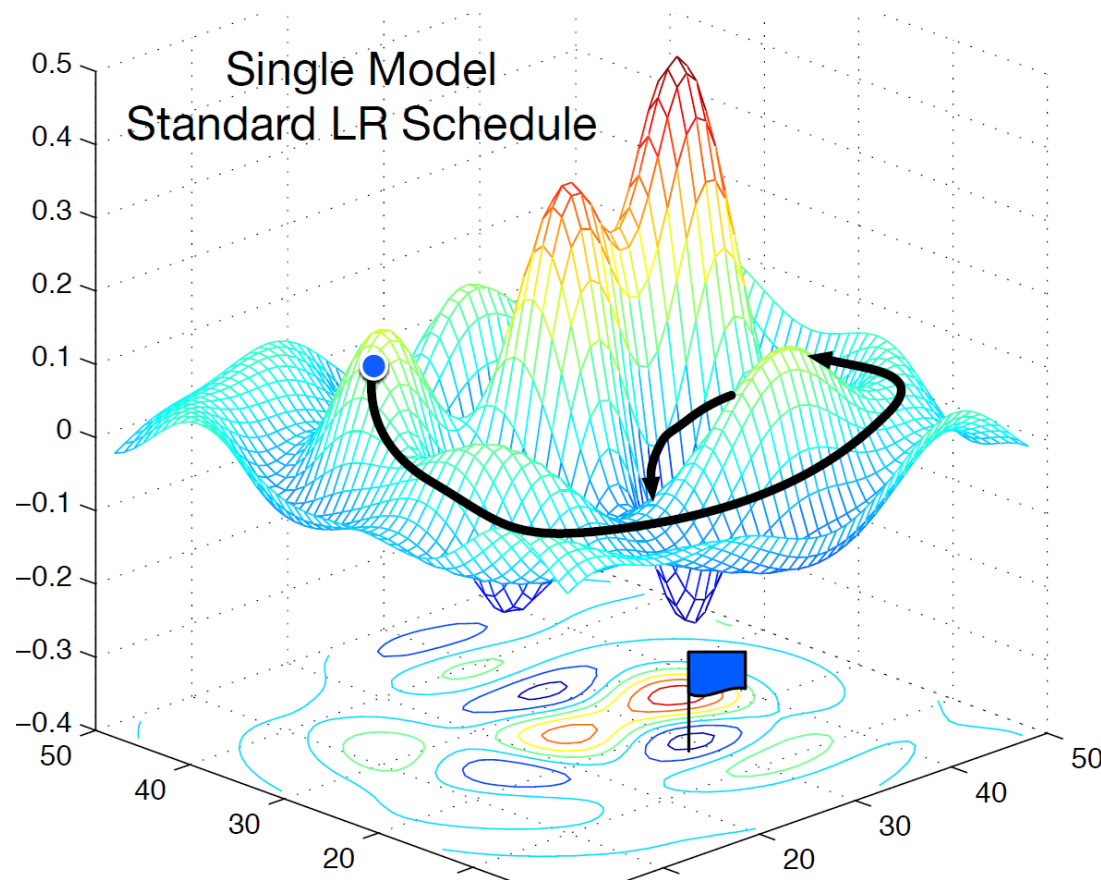
Easiest f : Linear Regression



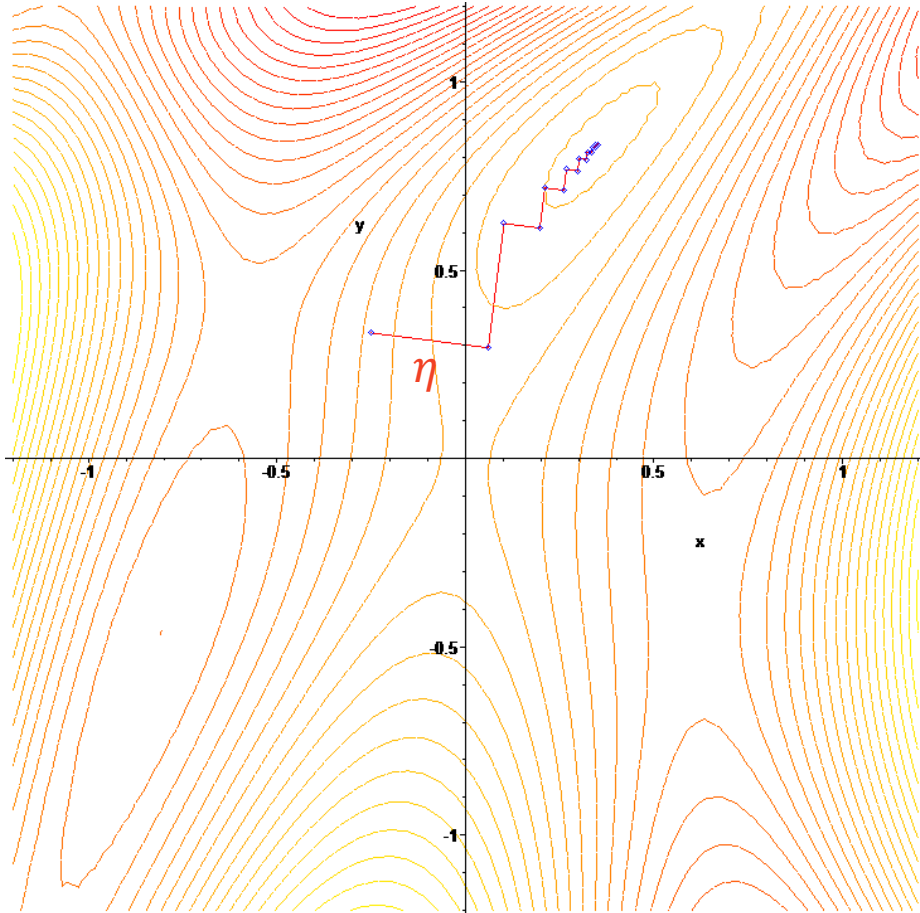
- 1-D: $\hat{y} = wx + b$
- N-D: $\hat{y} = \mathbf{w}^\top \mathbf{x} + b$
- Analytic solution: least square regression
- Fitting (training): a data-driven learning process
- Cost function (loss): $l^{(i)}(\mathbf{w}, b) = \frac{1}{2} (\hat{\mathbf{y}}^{(i)} - \mathbf{y}^{(i)})^2$

How to solve params in f : Gradient Descent

- Gradient: the direction in which the function increases most quickly.



Gradient Descent

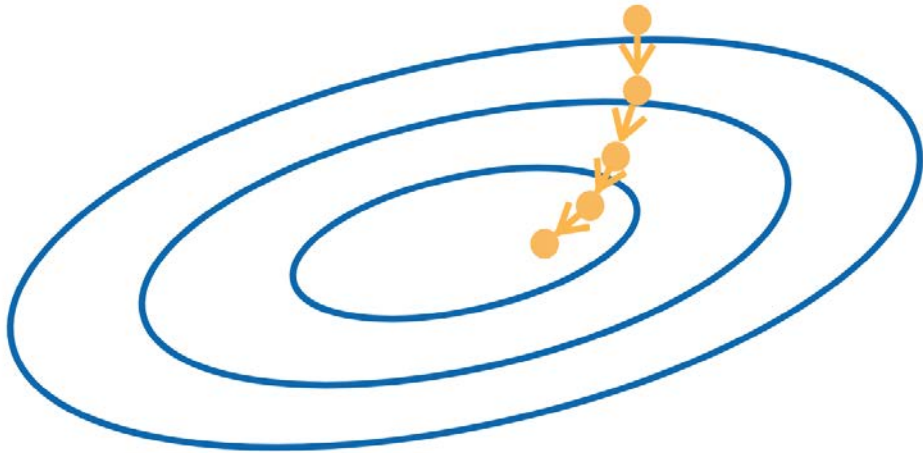


- $L(\mathbf{w}, b) = \sum_i l^{(i)}(\mathbf{w}, b)$
- $(\mathbf{w}, b) \leftarrow (\mathbf{w}, b) - \eta \nabla L(\mathbf{w}, b)$
- Learning rate: η , length of one step
- Hyper-parameter: parameters whose values are used to control the learning process.
- **Q:** How to choose a learning rate?

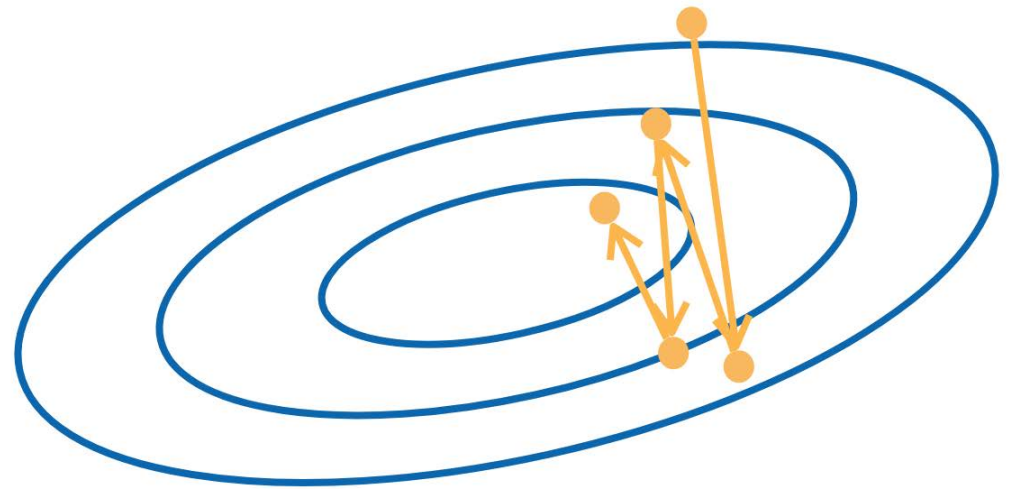


Choose a Learning Rate

Too small

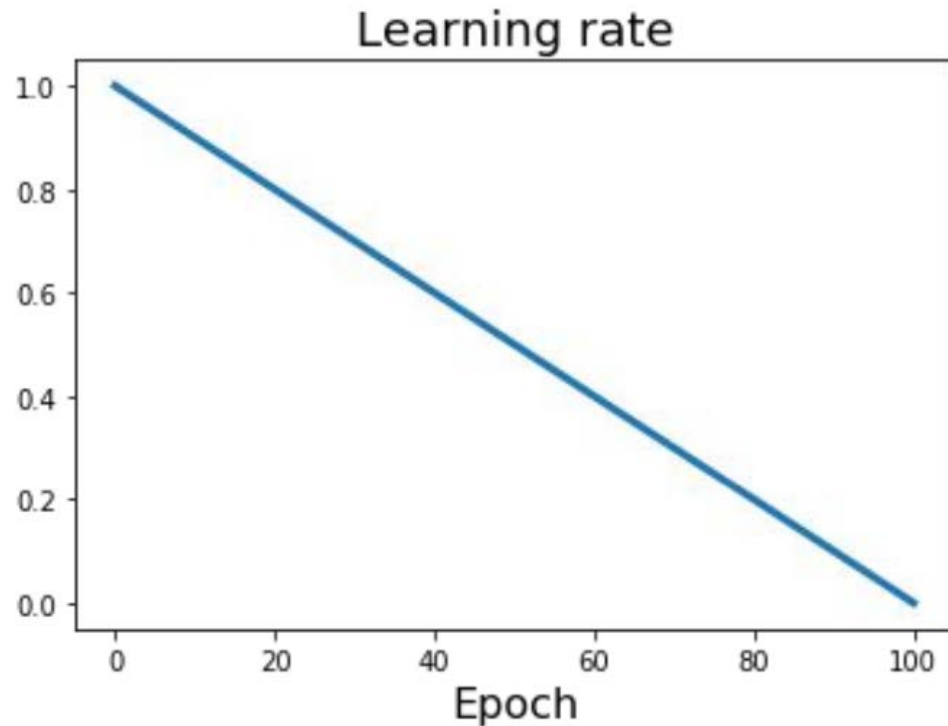


Too big

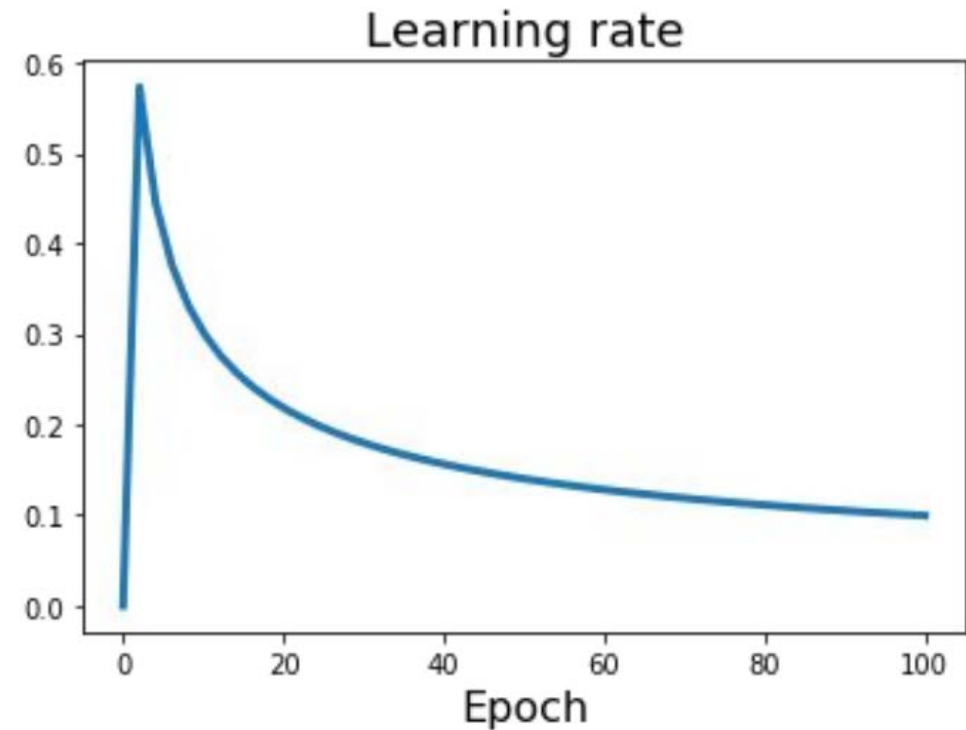


Learning Rate Scheduler

Linear Decay



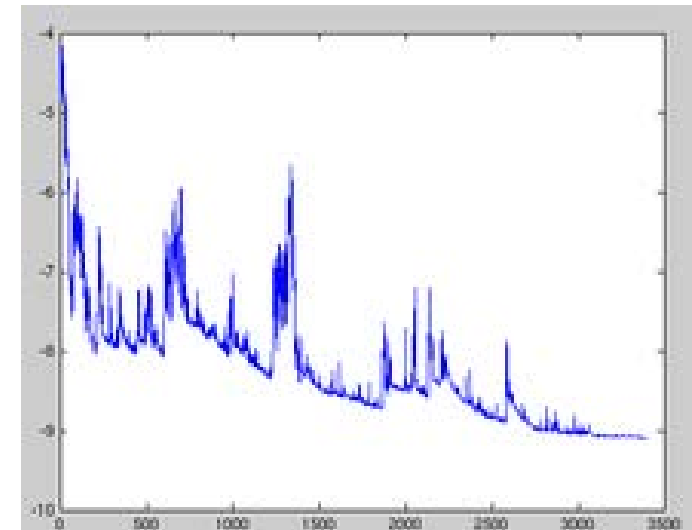
Linear Warmup



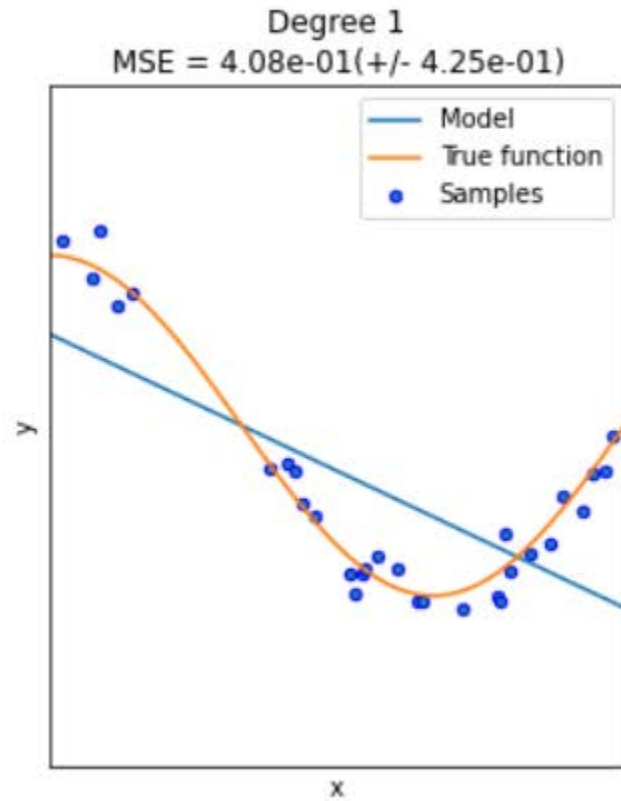
LR can also be searched using **AutoML**

Minibatch Stochastic Gradient Descent

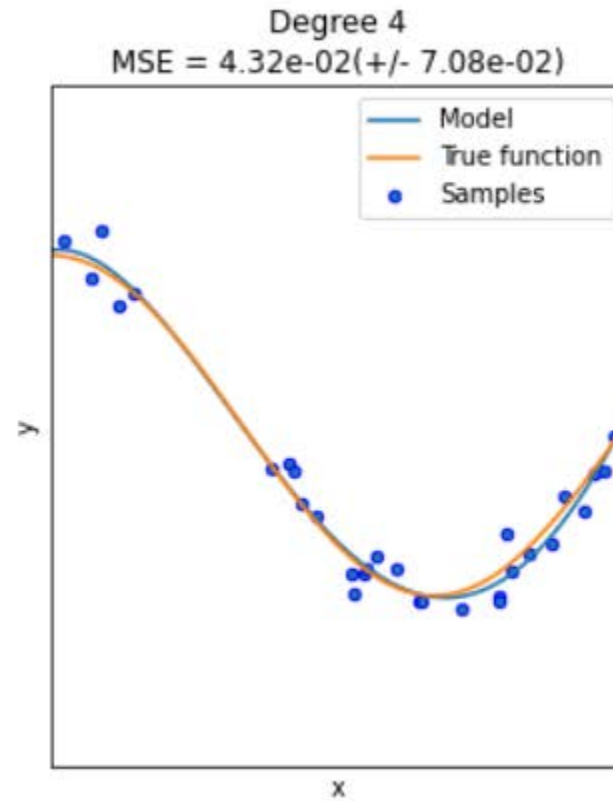
- Randomly sample several points B to approximate the loss.
- $L(\mathbf{w}, b) = \sum_{i \in B} l^{(i)}(\mathbf{w}, b)$
- Batch size $s = |B|$, another important hyper-parameters.
 - Too small: Workload is too small, hard to fully utilize computation resources; bad approximation.
 - Too big: high memory consumption.



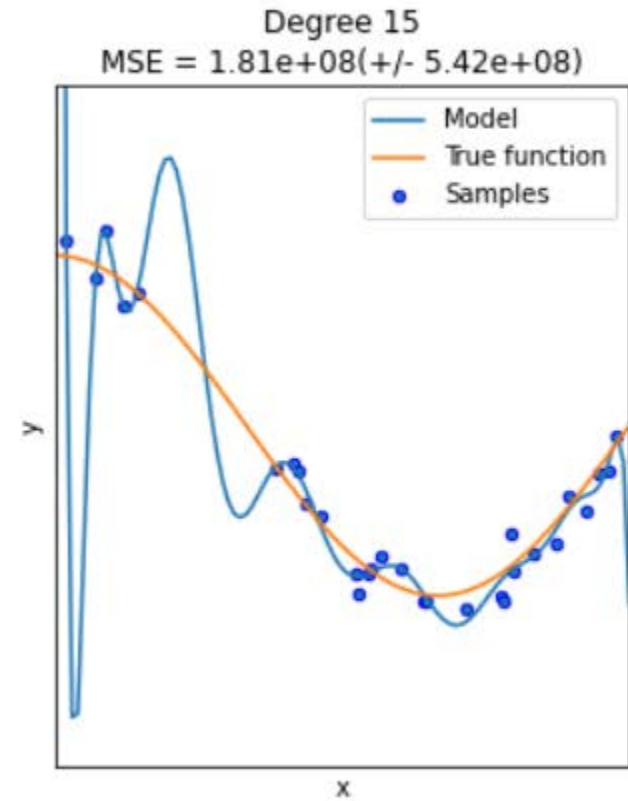
Overfitting and Underfitting



Underfitting



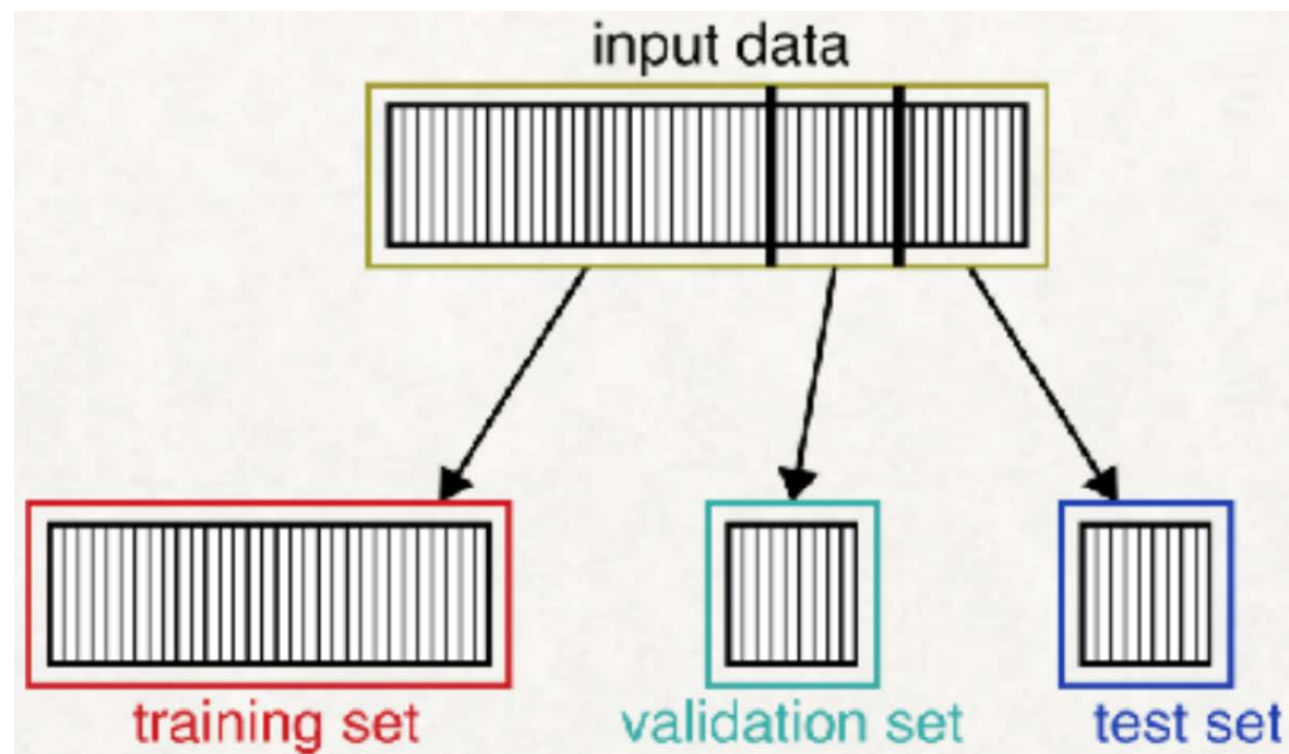
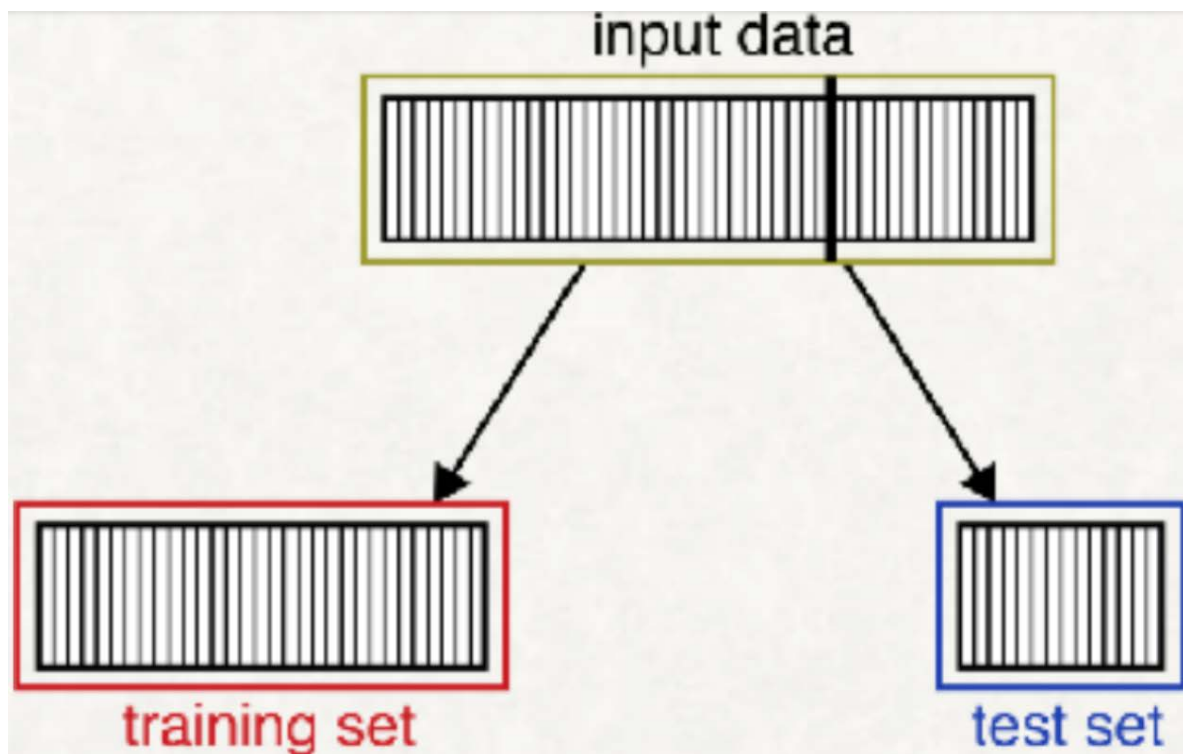
Optimum



Overfitting



Training, validation, and test data sets





Training, validation, and test data sets

机器学习实操的7个步骤



Step 1
收集数据



Step 2
数据准备



Step 3
选择模型



Step 4
训练



Step 5
评估



Step 6
参数调整



Step 7
预估

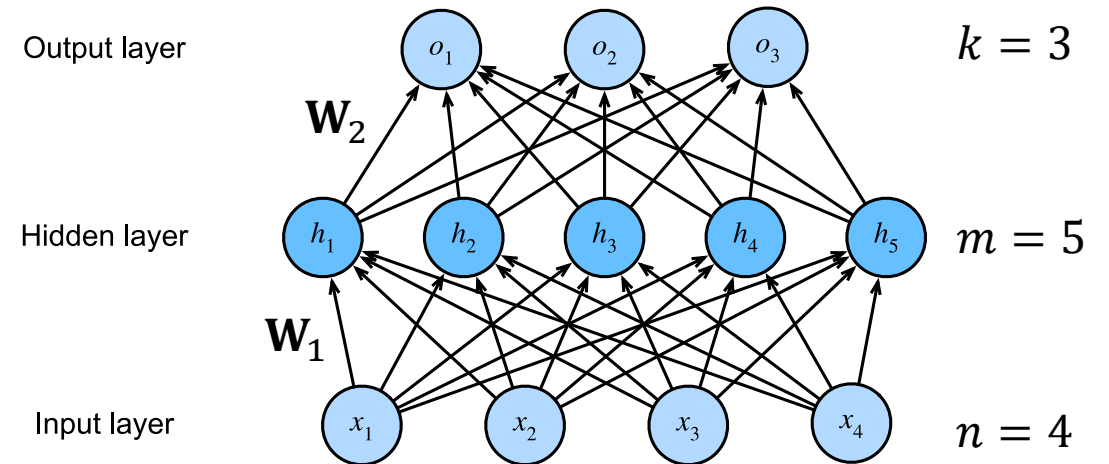
Any Questions?



Basic of deep learning

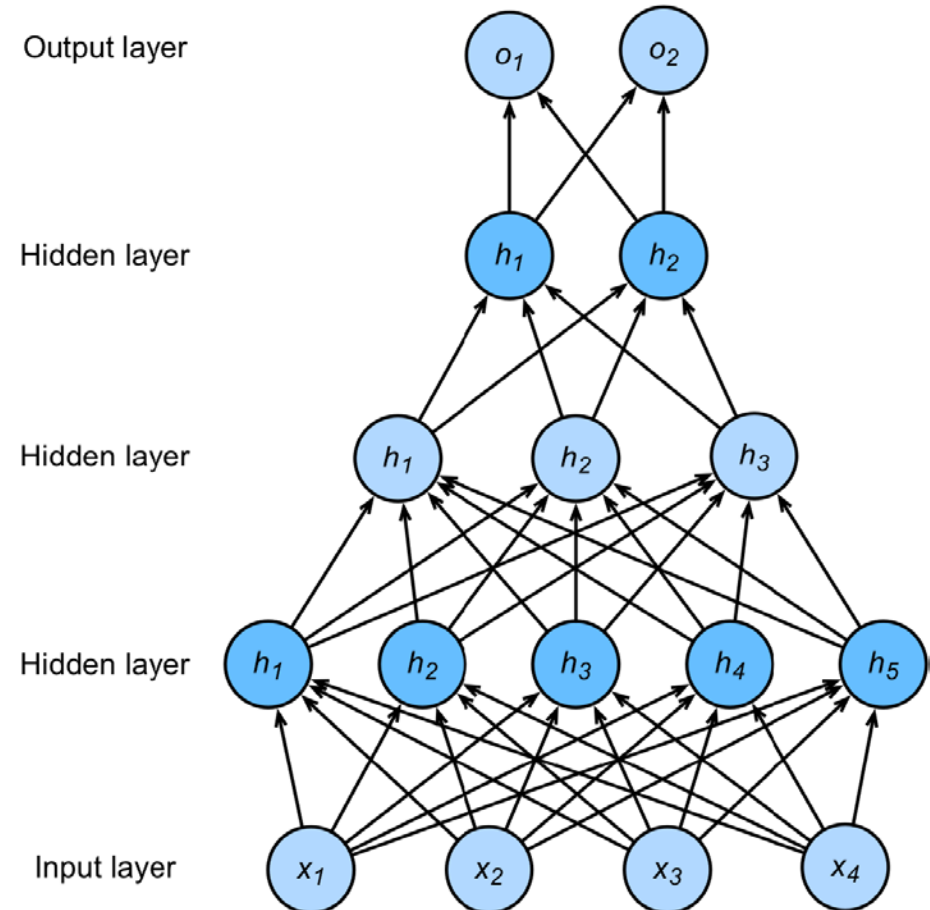
Multilayer Perceptrons

- Input: $\mathbf{x} \in \mathbb{R}^n$
- Hidden: $\mathbf{h} = \sigma(\mathbf{W}_1 \mathbf{x} + \mathbf{b}_1)$
 - $\mathbf{W}_1 \in \mathbb{R}^{m \times n}, \mathbf{b}_1 \in \mathbb{R}^m, \mathbf{h} \in \mathbb{R}^m$
 - σ : activation function
- Output: $\mathbf{o} = \mathbf{W}_2 \mathbf{h} + \mathbf{b}_2$
 - $\mathbf{W}_2 \in \mathbb{R}^{k \times m}, \mathbf{b}_2 \in \mathbb{R}^k, \mathbf{o} \in \mathbb{R}^k$
- $\hat{\mathbf{y}} = \sigma_2(\mathbf{o})$



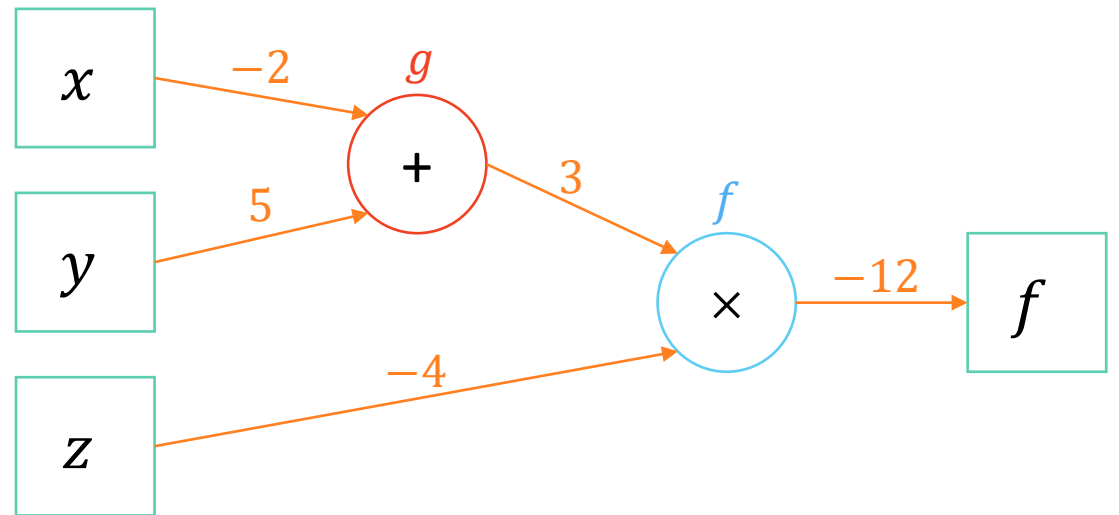
Multiple Layers

- $\mathbf{h}_1 = \sigma(\mathbf{W}_1 \mathbf{x} + \mathbf{b}_1)$
- $\mathbf{h}_2 = \sigma(\mathbf{W}_2 \mathbf{h}_1 + \mathbf{b}_2)$
- $\mathbf{h}_3 = \sigma(\mathbf{W}_3 \mathbf{h}_2 + \mathbf{b}_3)$
- $\mathbf{o} = \mathbf{W}_4 \mathbf{h}_3 + \mathbf{b}_4$
- Q: How to calculate gradient as network gets deeper?



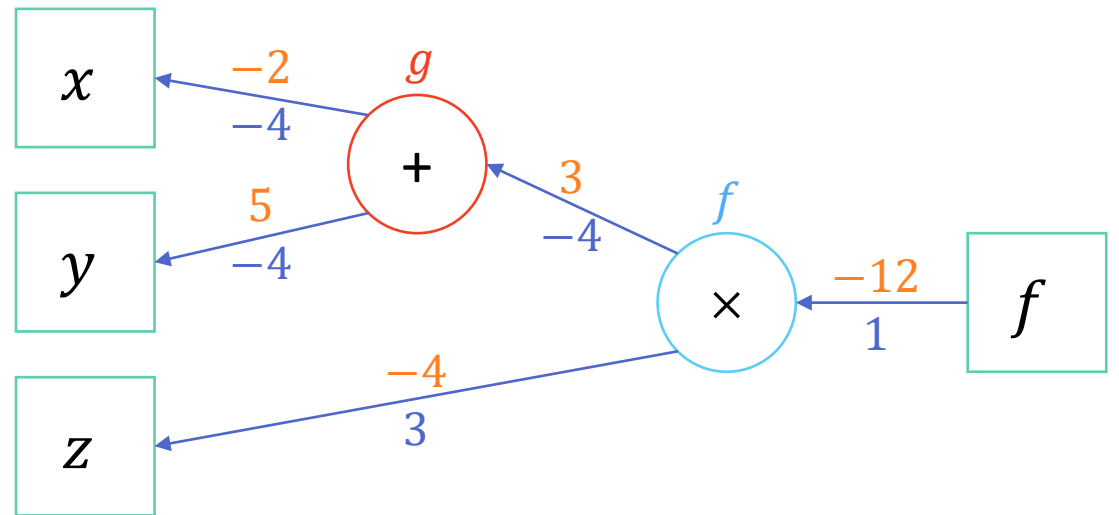
Computation Graph: Forward Propagation

- $f = f(x, y, z) = (x + y)z$
 - $g = x + y$
 - $f = gz$
- e.g. $x = -2, y = 5, z = -4$

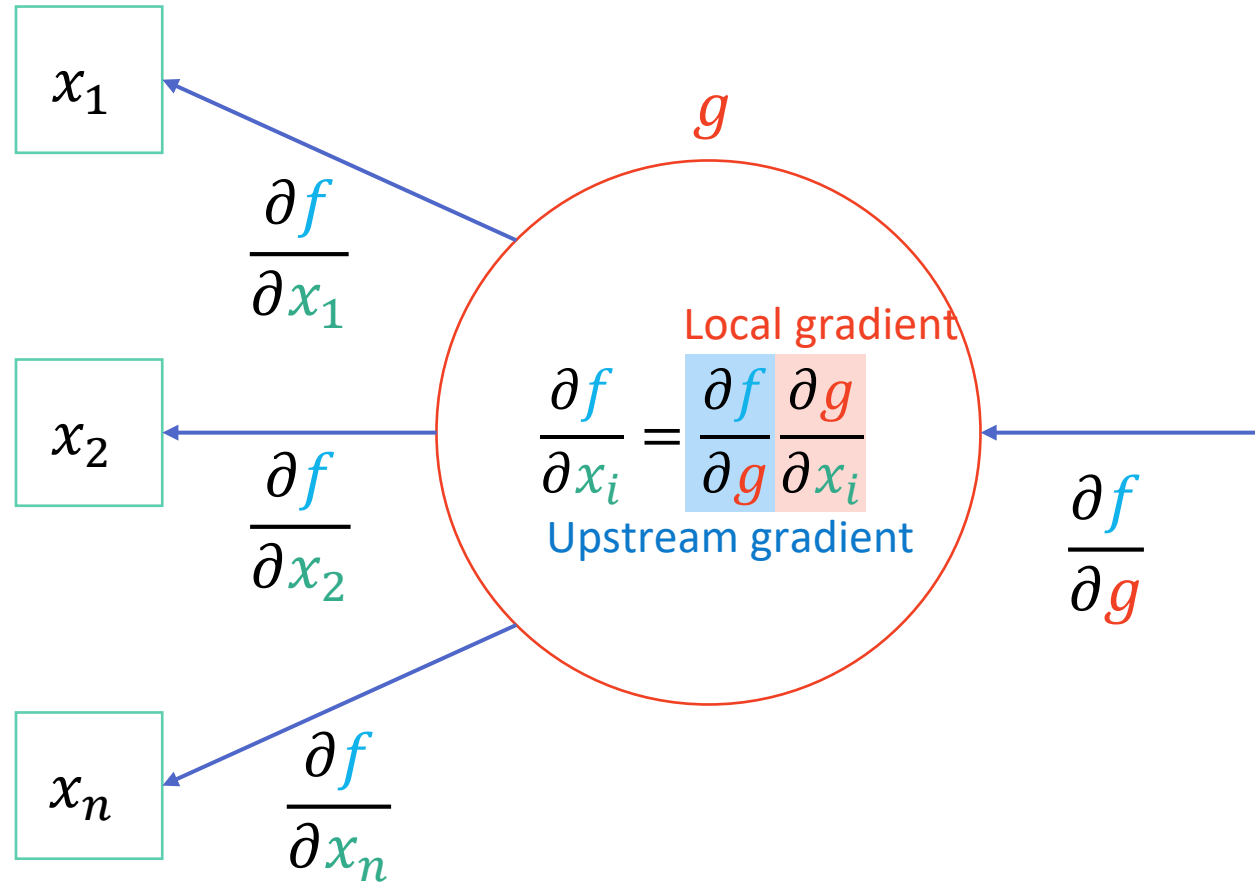


Computation Graph: Back Propagation

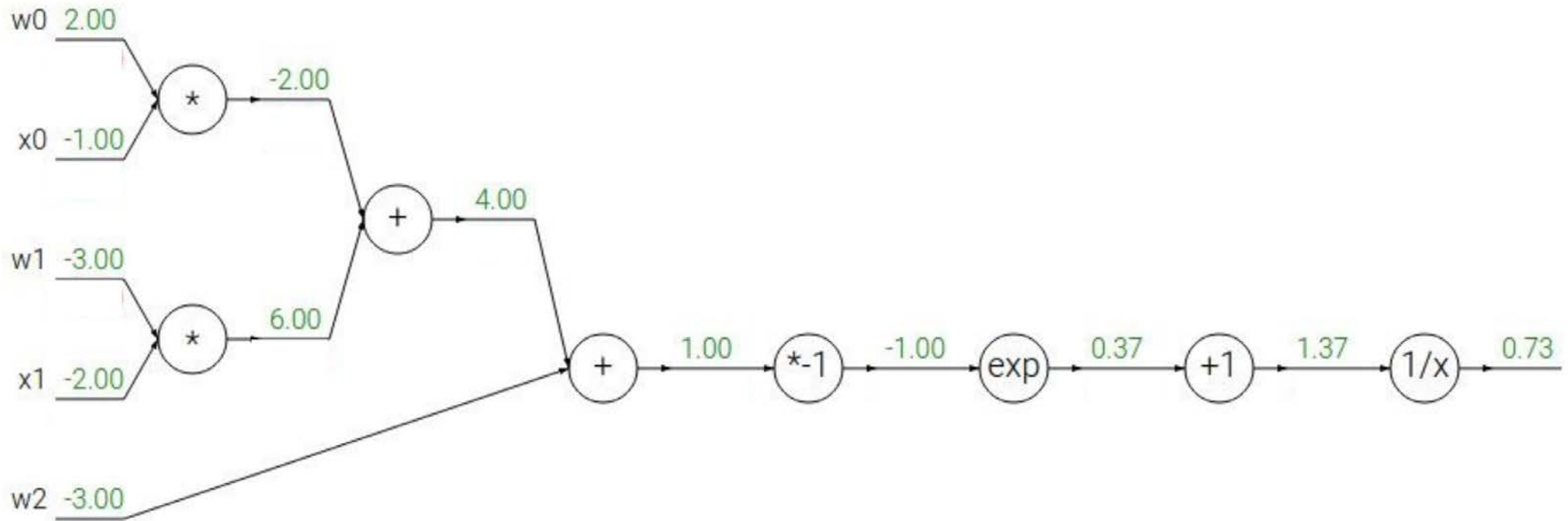
- $f = f(x, y, z) = (x + y)z$
 - $g = x + y$
 - $f = gz$
- e.g. $x = -2, y = 5, z = -4$
- Find $\frac{\partial f}{\partial x}, \frac{\partial f}{\partial y}, \frac{\partial f}{\partial z}$
- $\frac{\partial f}{\partial f} = 1$
- $\frac{\partial f}{\partial g} = z = -4, \frac{\partial f}{\partial z} = g = 3$
- $\frac{\partial f}{\partial x} = \frac{\partial f}{\partial g} \frac{\partial g}{\partial x} = -4, \frac{\partial f}{\partial y} = \frac{\partial f}{\partial g} \frac{\partial g}{\partial y} = -4$



Back Propagation: Chain Rule

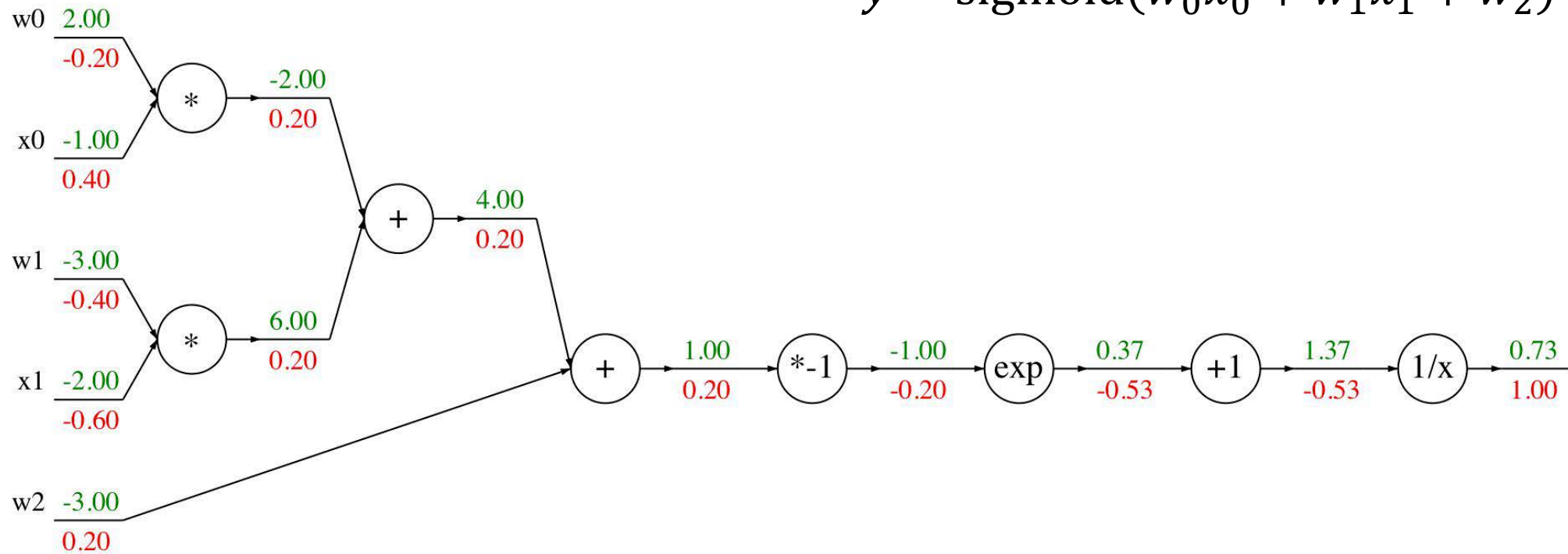


Another Example



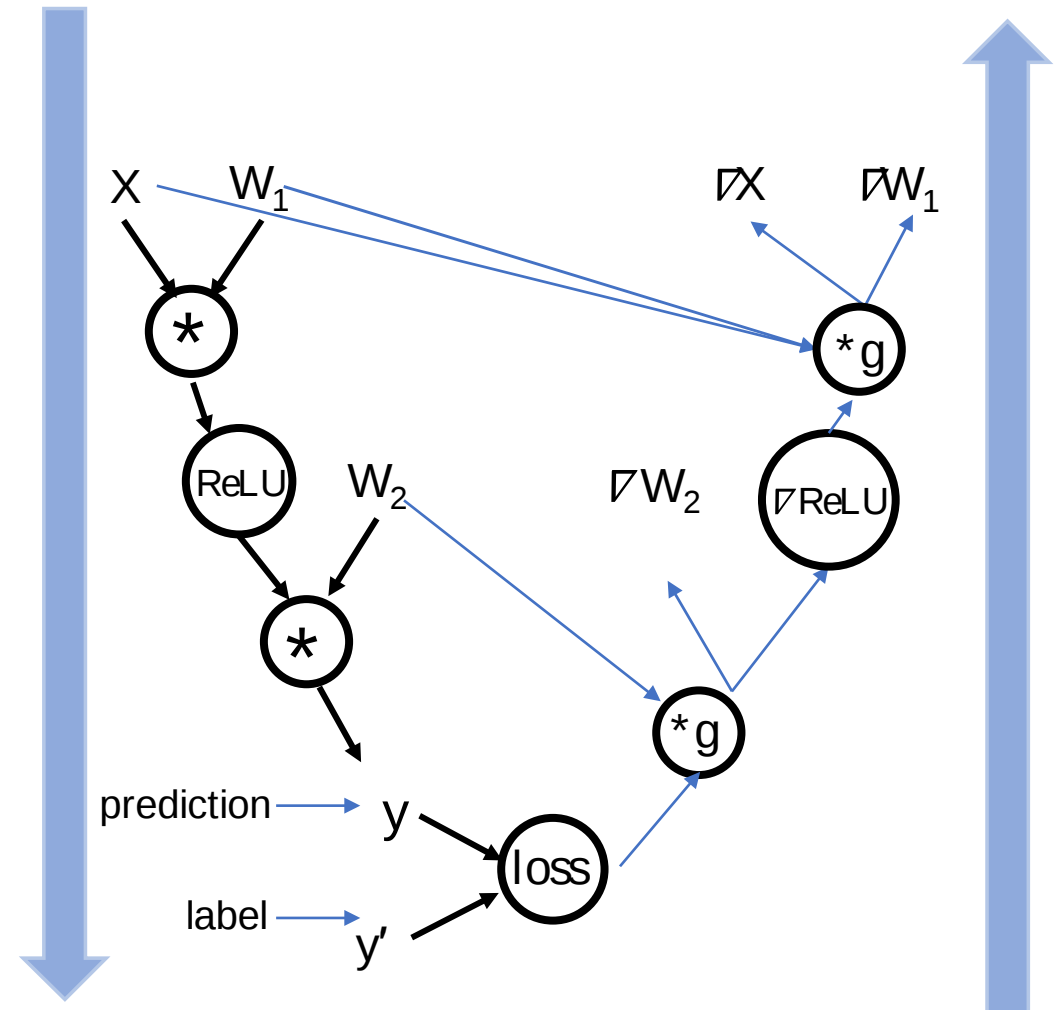
Another Example

$$y = \text{sigmoid}(w_0x_0 + w_1x_1 + w_2)$$



Computation Graph

-  : calculate $f(x)$
-  : calculate $f'(x)$
- Usually, each node corresponds to an **operator** in learning framework





Other components in DL

- Layers
 - Activation Function
 - Loss Function
 - Regularization
 - Dropout
 - Normalization
- Optimizers



Problems in DL

- Fitting Non-linear Functions
- Gradient Vanishment
- Overfitting

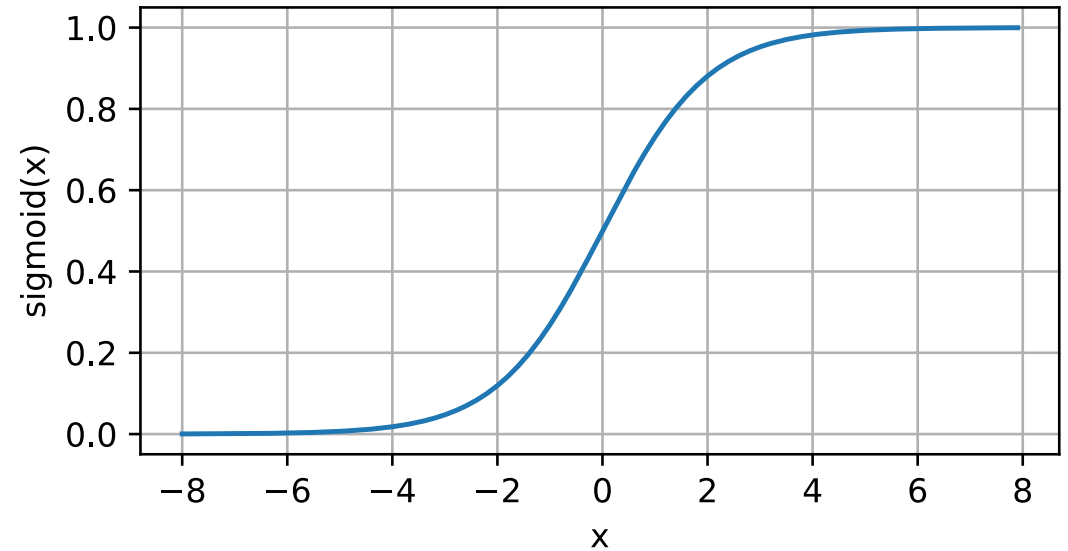


Activation Function

- Activation functions make MLP nonlinear
 - w/o activation function:
 - $\mathbf{h} = \mathbf{W}_1\mathbf{x} + \mathbf{b}_1$
 - $\mathbf{o} = \mathbf{W}_2\mathbf{h} + \mathbf{b}_2 = \mathbf{W}_2(\mathbf{W}_1\mathbf{x} + \mathbf{b}_1) + \mathbf{b}_2 = \mathbf{W}_2\mathbf{W}_1\mathbf{x} + \mathbf{b}' \rightarrow \text{linear...}$
 - w/ activation function:
 - $\mathbf{h} = \sigma(\mathbf{W}_1\mathbf{x} + \mathbf{b}_1)$
- What to use for σ ?

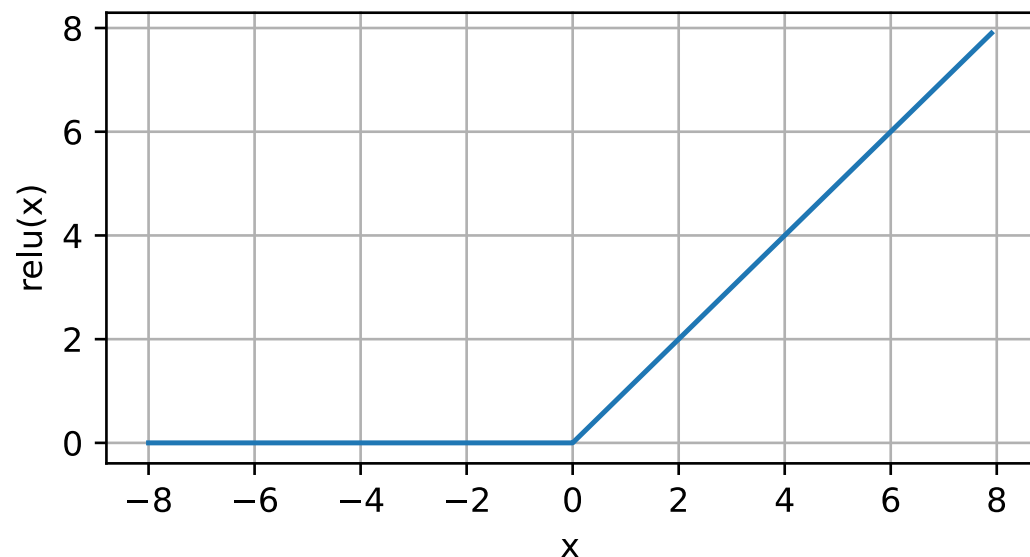
Sigmoid

- $\text{sigmoid}(x) = \frac{1}{1 + \exp(-x)}$
- $\frac{d}{dx} \text{sigmoid}(x)$
 $= \frac{\exp(-x)}{(1 + \exp(-x))^2}$
 $= \text{sigmoid}(x) (1 - \text{sigmoid}(x))$



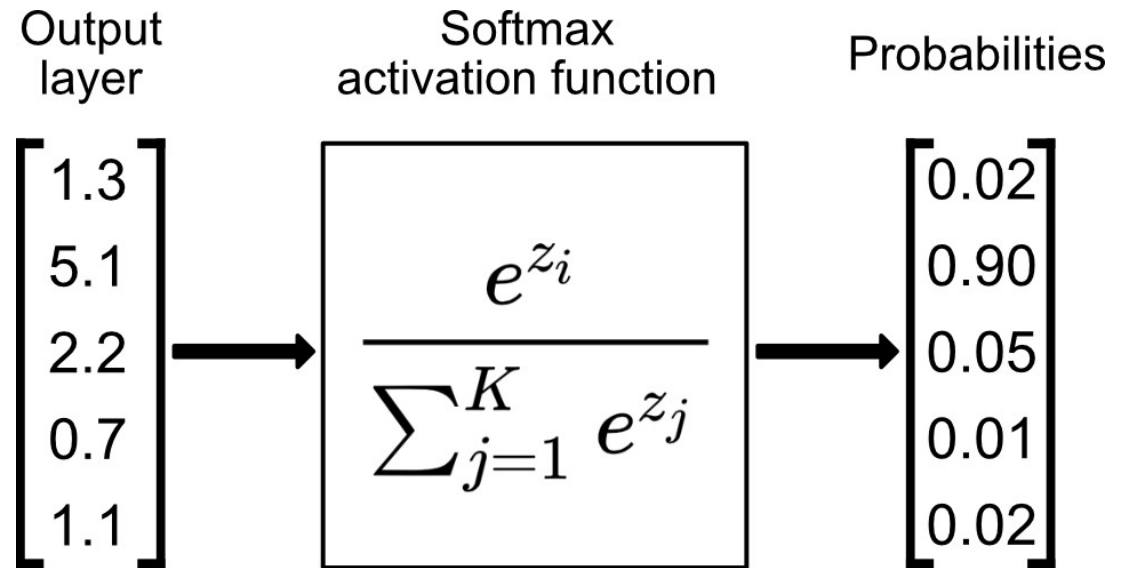
Rectified Linear Unit (ReLU)

$$\text{ReLU}(x) = \max(x, 0)$$



Softmax

- $\text{softmax}(\mathbf{o})_i = \frac{\exp(o_i)}{\sum_{j=1}^k \exp(o_j)}$
 - $i = 1, \dots, k$
 - $\mathbf{o} = (o_1, \dots, o_k) \in \mathbb{R}^k$



Loss Function

- Cross Entropy
- Mean Square Error

$$H(p, q) = - \sum_{x \in \text{classes}} p(x) \log q(x)$$

True probability distribution (one-hot)

Your model's predicted probability distribution

$$\text{MSE} = \overset{\text{Mean}}{\frac{1}{n} \sum_{i=1}^n} \overset{\text{Error}}{(Y_i - \hat{Y}_i)} \overset{\text{Squared}}{^2}$$

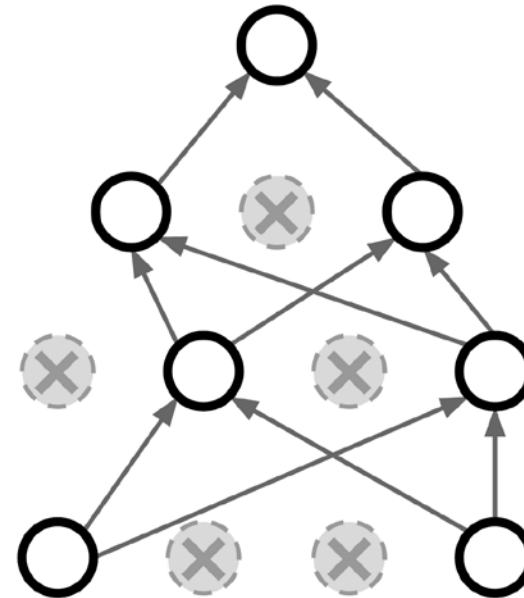
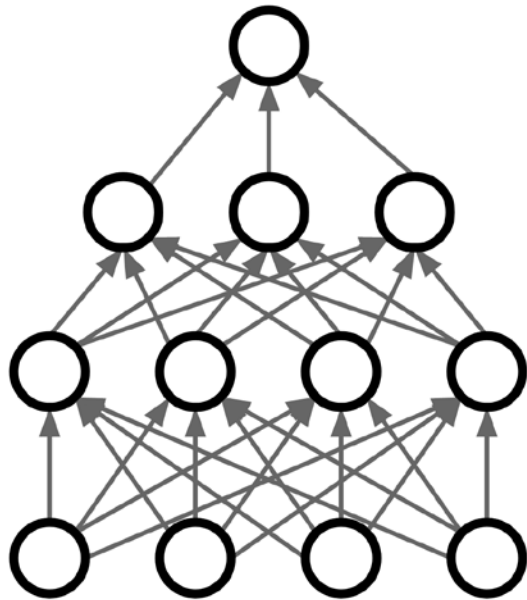


Regularization

- Regularization term
 - $L'(W) = L(W) + \lambda R(W)$
 - L1 regularization: $R(W) = \sum_k \sum_l |W_{k,l}|$
 - L2 regularization: $R(W) = \sum_k \sum_l W_{k,l}^2$

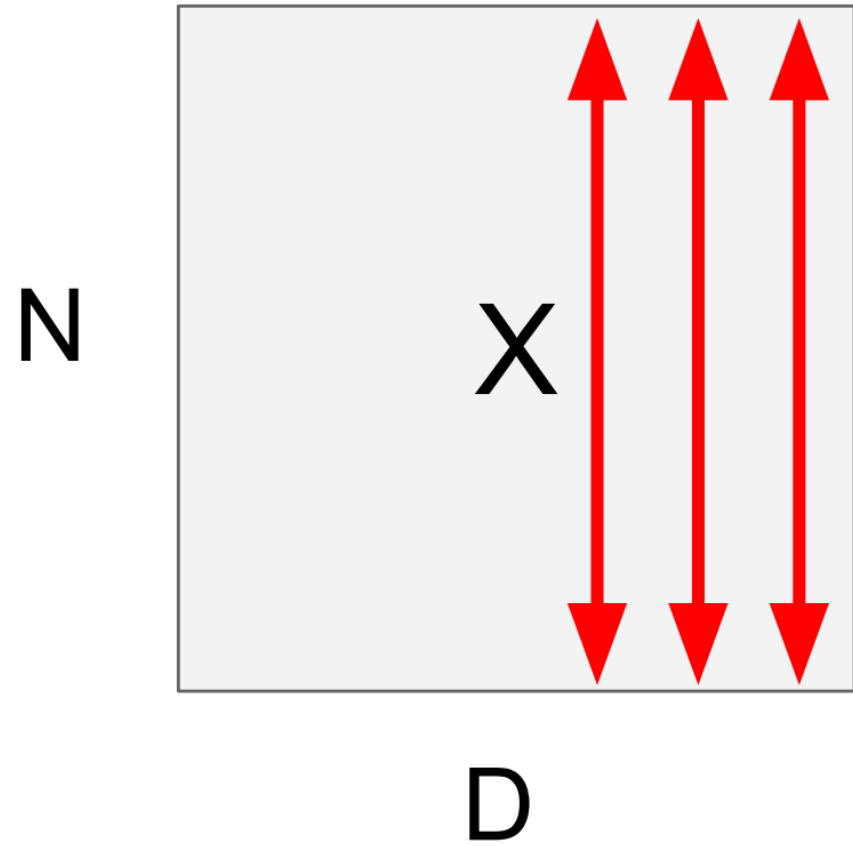
Dropout (Srivastava et al., 2014)

- In each forward pass, randomly set some neurons to zero
- Probability of dropping is a hyperparameter

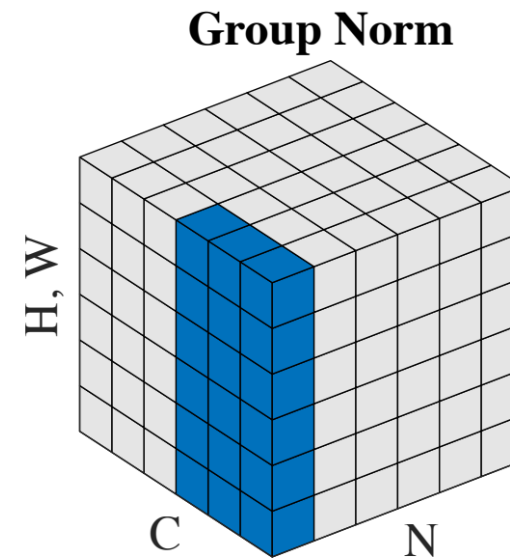
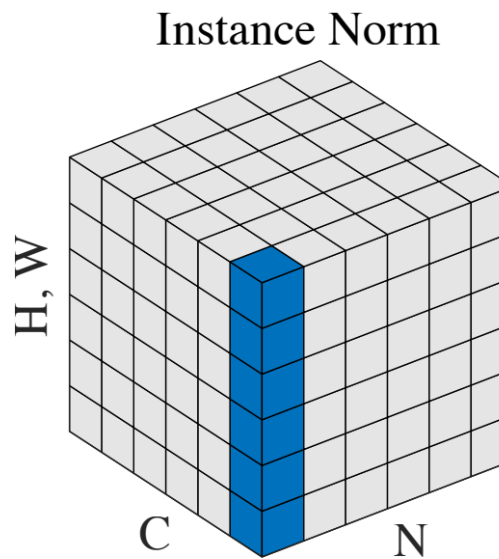
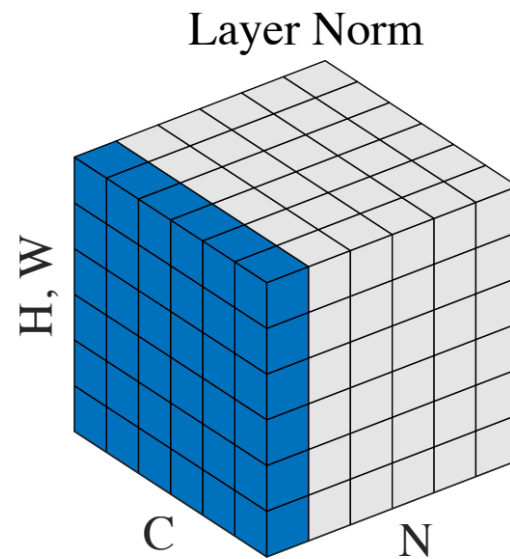
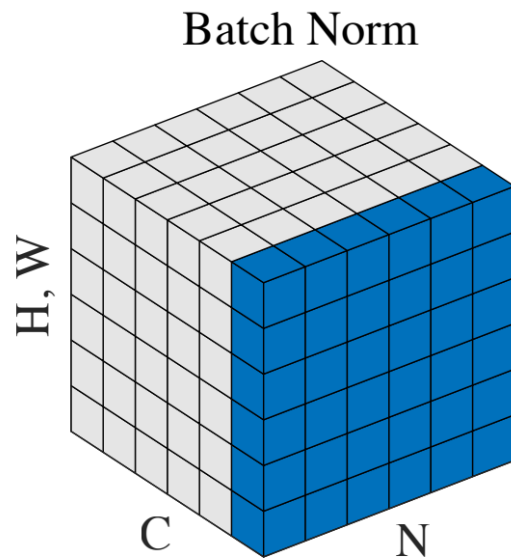


Batch Normalization

- Motivation: input x can be bad in distribution
 - Not centered around 0
 - Different scaling at each element
- Input: x $N \times D$
- Mean: $\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j}$ D
- Var: $\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2$ D
- Output: $\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$ $N \times D$
- Q: any information loss?



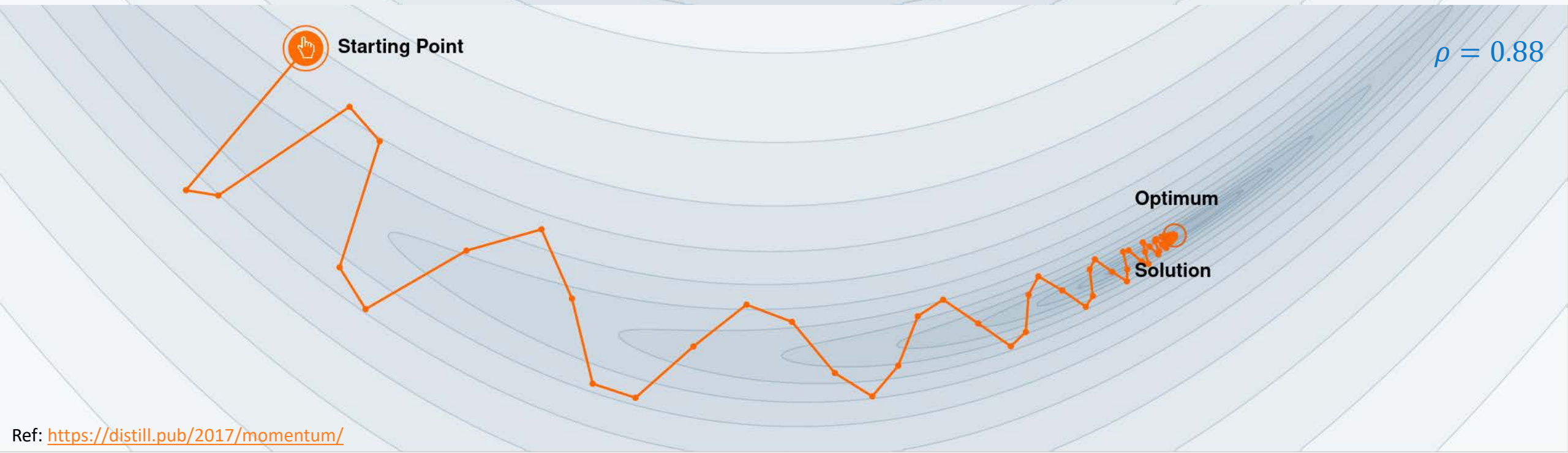
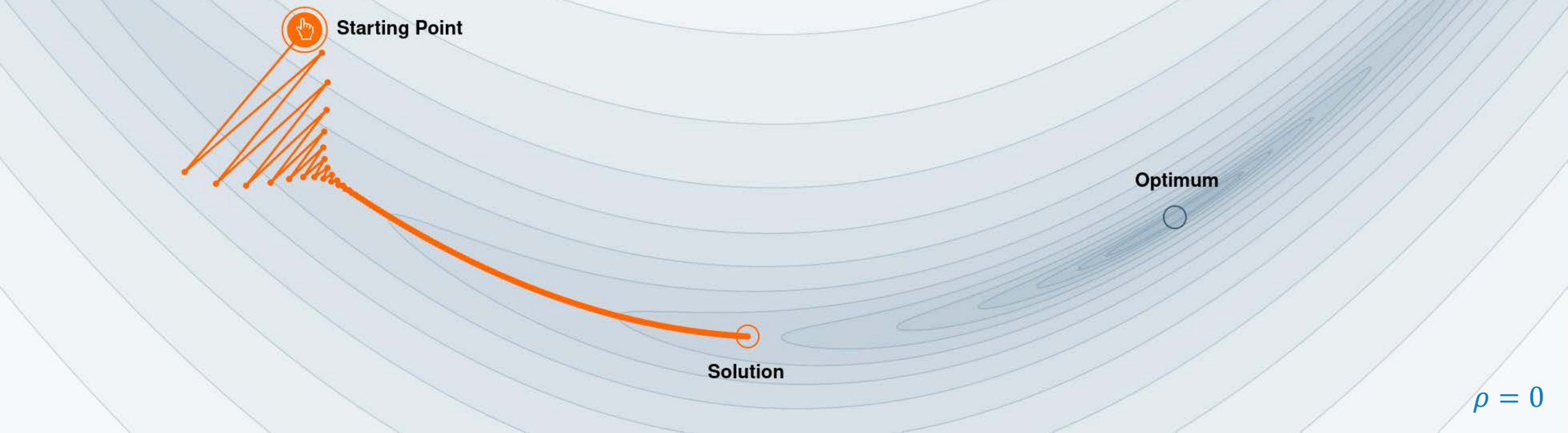
Normalization Methods





(Fancier) Optimizers

- SGD: $W \leftarrow W - \eta \nabla L(W)$
- SGD + Momentum (Sutskever et al., 2013)
 - $v \leftarrow \rho v + \nabla L(W)$
 - $W \leftarrow W - \eta v$
 - v : “velocity” as a running mean of gradients
 - ρ : “friction”, typically $\rho = 0.9$ or $\rho = 0.99$
- Q: Why momentum?



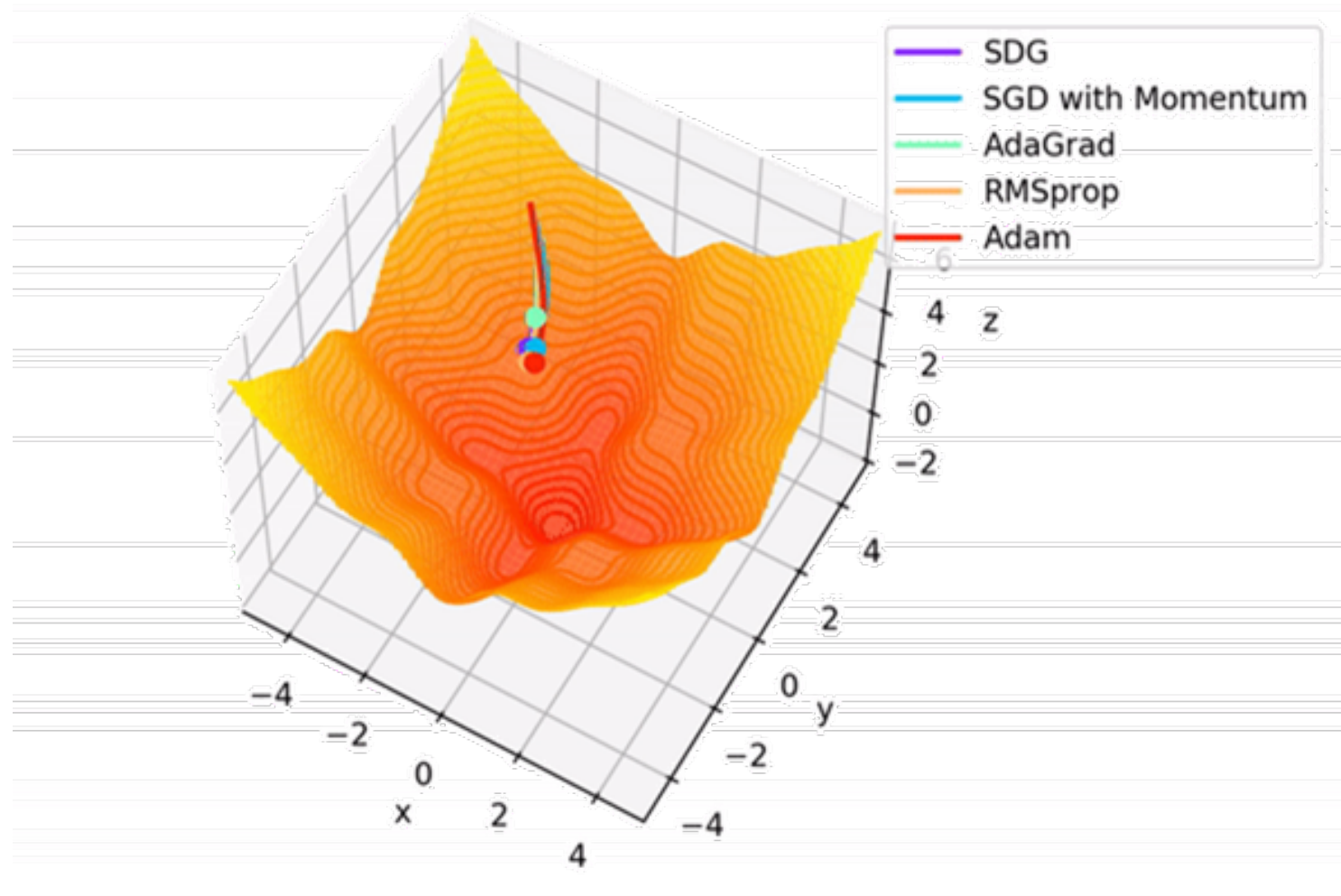
(Fancier) Optimizers

- SGD: $W \leftarrow W - \eta \nabla L(W)$
- AdaGrad (Duchi et al., 2011)
 - $s \leftarrow s + (\nabla L(W))^2$
 - $W \leftarrow W - \eta \frac{\nabla L(W)}{\sqrt{s} + \epsilon}$
- Intrinsic: $\eta \leftarrow \eta / \sqrt{\sum_0^{t-1} (g_i^2)}$
- Progress along “steep” directions is damped
- Progress along “flat” directions is accelerated
- Step size decays to zero over long time 🤔

(Fancier) Optimizers

- SGD: $W \leftarrow W - \eta \nabla L(W)$
- 🚀 Adam (Kingma et al., 2015)
 - At each iteration $i = 1, \dots, n$:
 - $v \leftarrow \beta_1 v + (1 - \beta_1) \nabla L(W)$
 - $s \leftarrow \beta_2 s + (1 - \beta_2) (\nabla L(W))^2$
 - Bias correction: $v' = \frac{v}{1 - \beta_1^i}, s' = \frac{s}{1 - \beta_2^i}$
 - $W \leftarrow W - \eta \frac{v'}{\sqrt{s'} + \epsilon}$
 - Adam with $\beta_1 = 0.9, \beta_2 = 0.999$, and $\eta = 10^{-3}$ or 5×10^{-4} is a great starting point for many models! 😎

Optimizer Comparison



Any Questions?

CNN

Computer Vision Tasks

Classification



CAT

No spatial extent

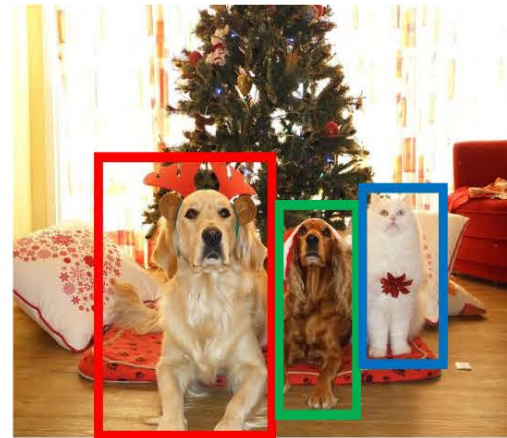
Semantic Segmentation



GRASS, CAT, TREE, SKY

No objects, just pixels

Object Detection



DOG, DOG, CAT

Instance Segmentation

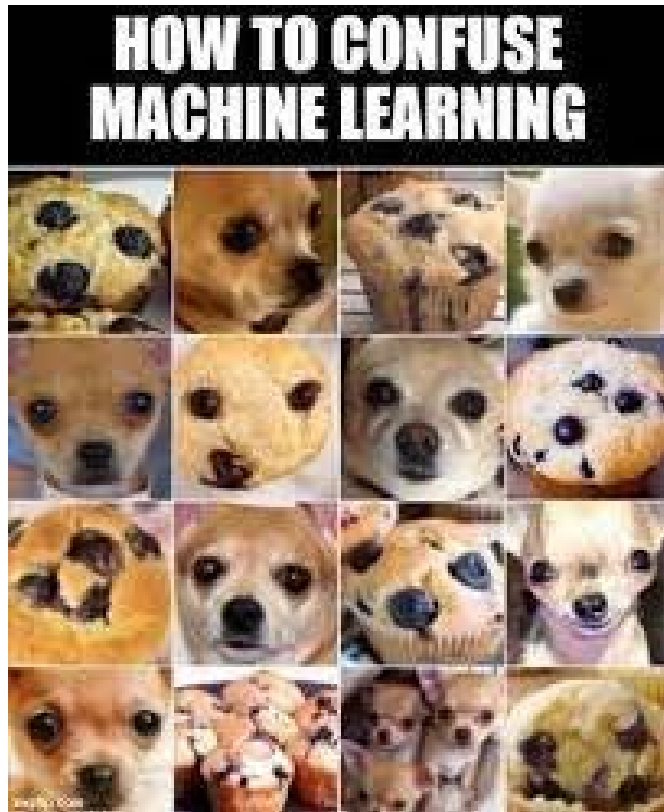


DOG, DOG, CAT

Multiple Object

[This image](#) is [CC0 public domain](#)

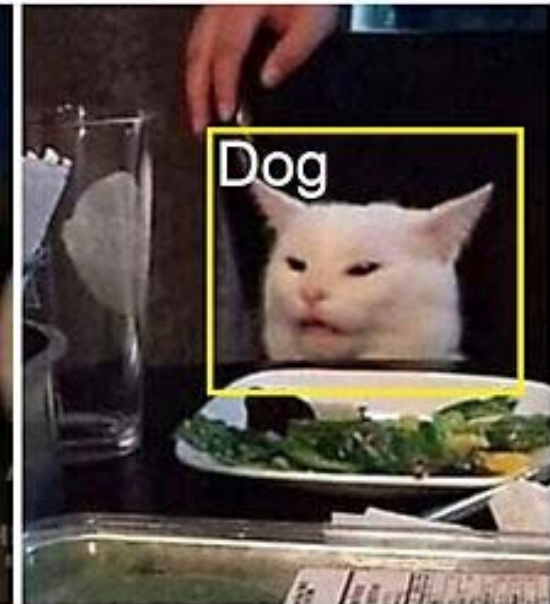
Computer Vision Tasks



Media saying AI will
take over the world

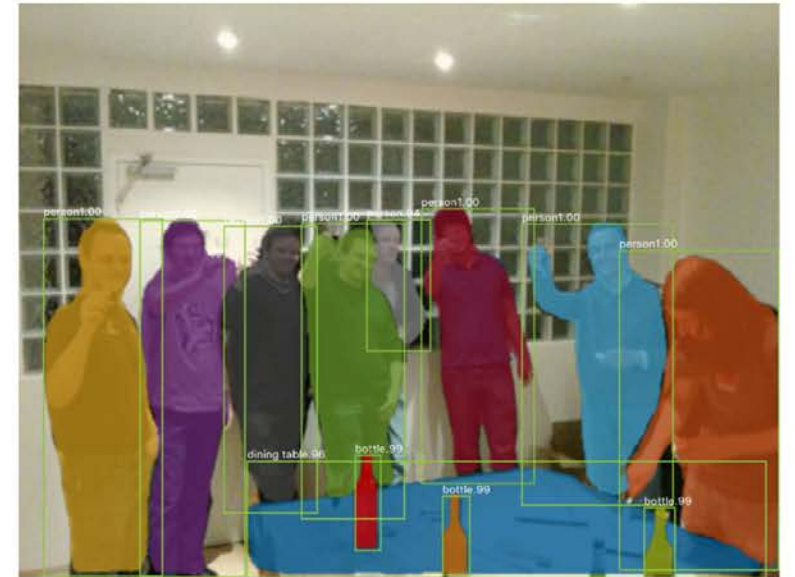
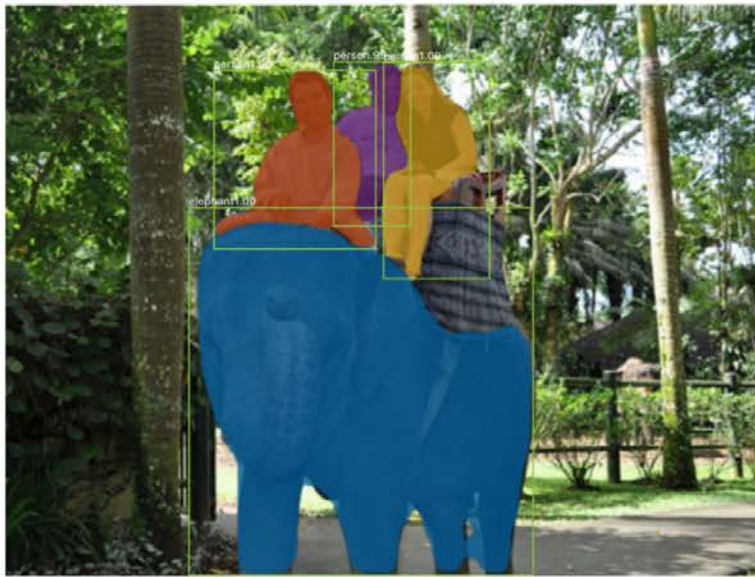


My Neural Network



AI will take over soon

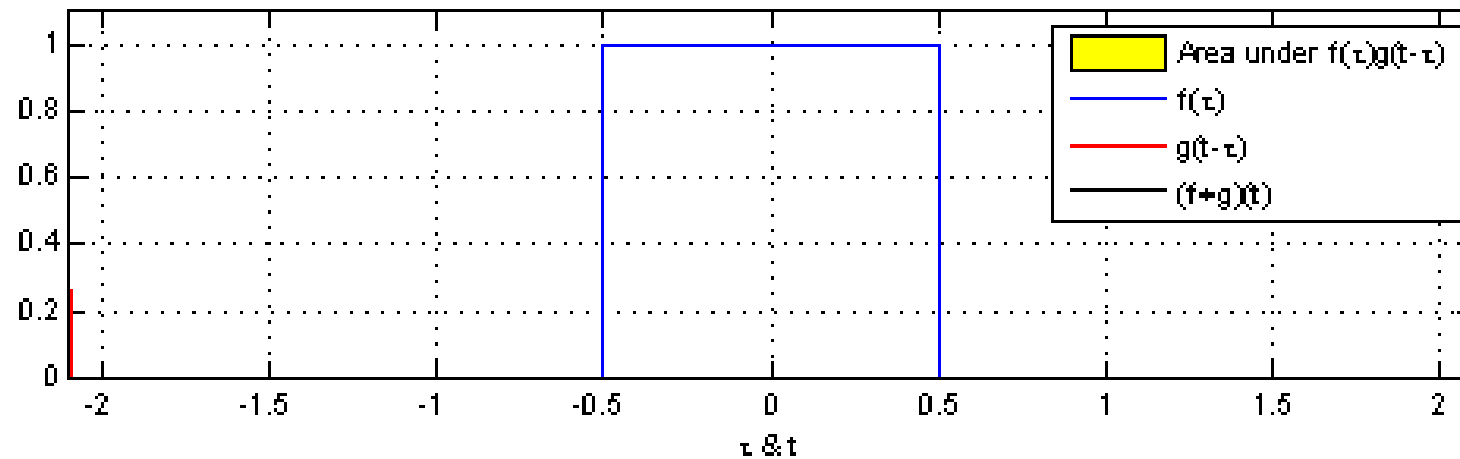
Computer Vision Tasks



Mask R-CNN, He et al., 2017

Convolution

- $(f * g)(t) := \int_{-\infty}^{\infty} f(\tau)g(t - \tau)d\tau$
 - Discrete: $(f * g)[n] = \sum_{m=-\infty}^{\infty} f[m]g[n - m]$



2D Discrete Convolution

$$(f * g)(i, j) = \sum_a \sum_b f(a, b) g(i - a, j - b)$$

Input

0	1	2
3	4	5
6	7	8

Kernel

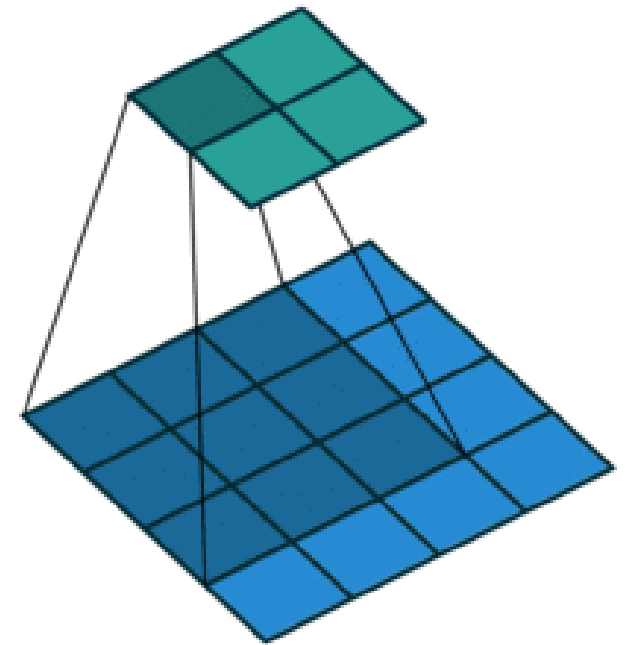
0	1
2	3

*

=

Output

19	25
37	43



Padding

- Avoid losing pixels
 - Padding with 0
 - Padding with nearest neighbor

Input Kernel Output

0	0	0	0	0
0	0	1	2	0
0	3	4	5	0
0	6	7	8	0
0	0	0	0	0

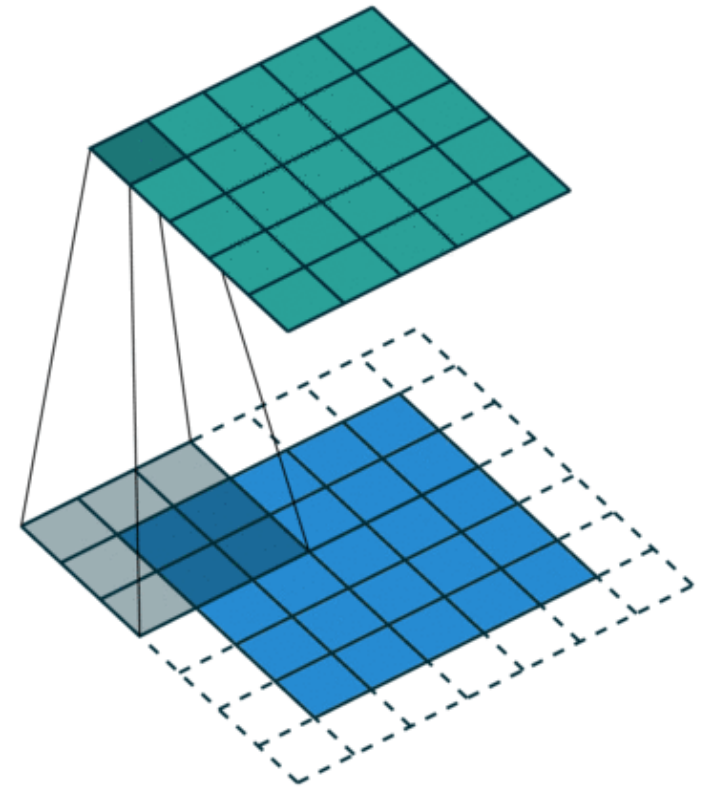
(Padding = 1)

*

0	1
2	3

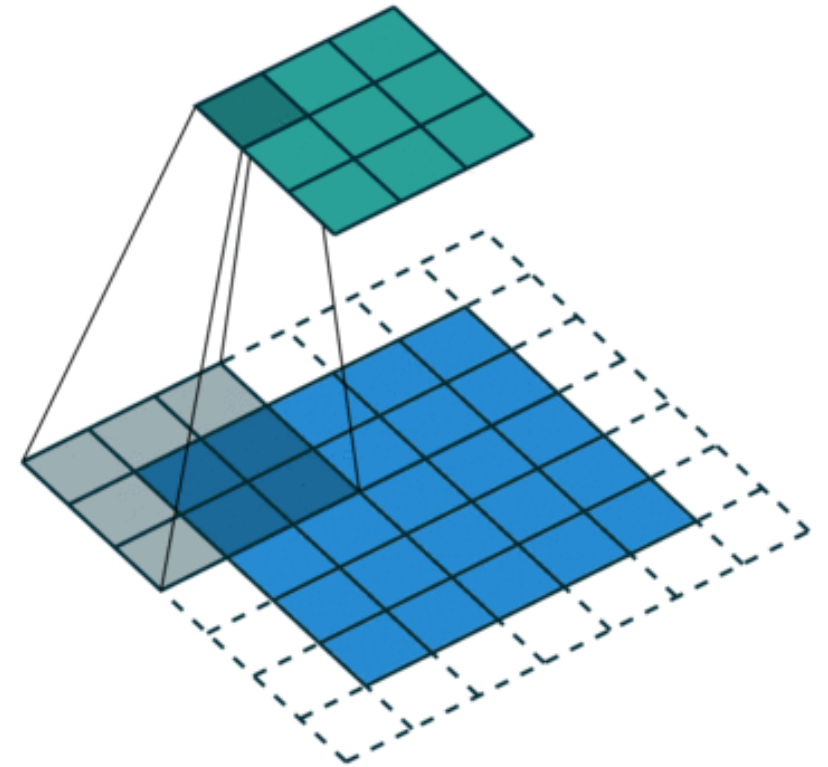
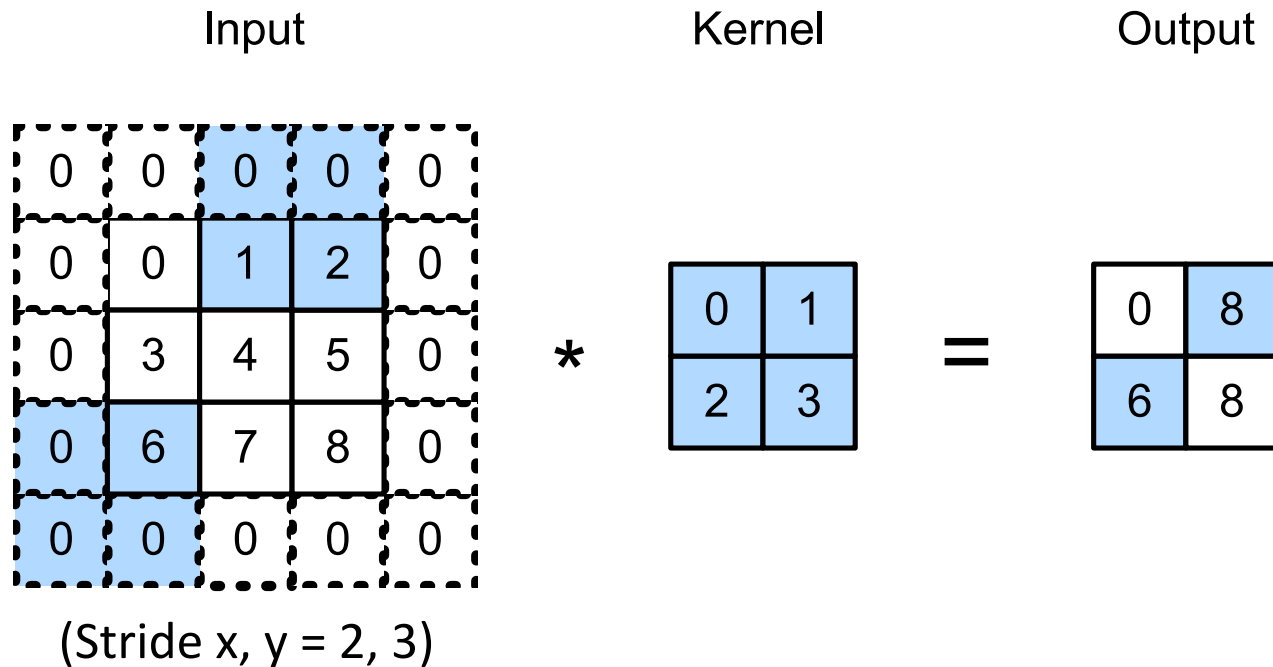
=

0	3	8	4
9	19	25	10
21	37	43	16
6	7	8	0



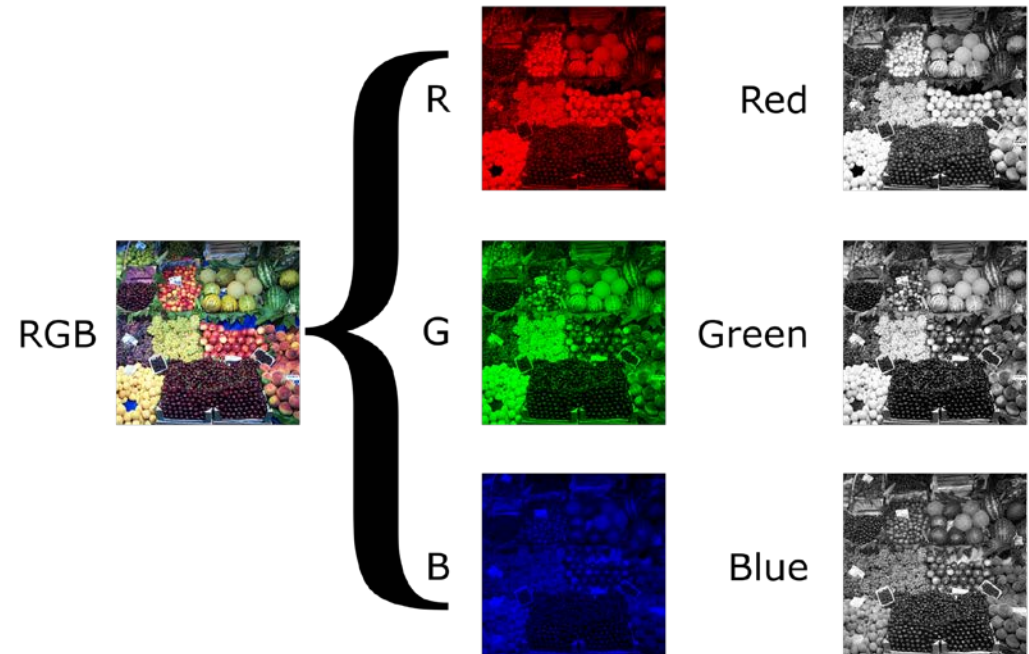
Stride

- Step length of movement of kernel

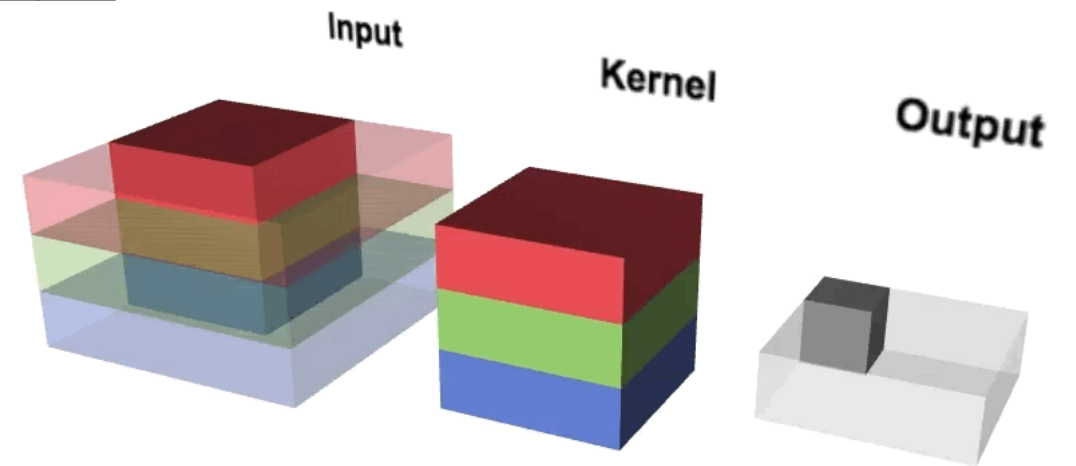
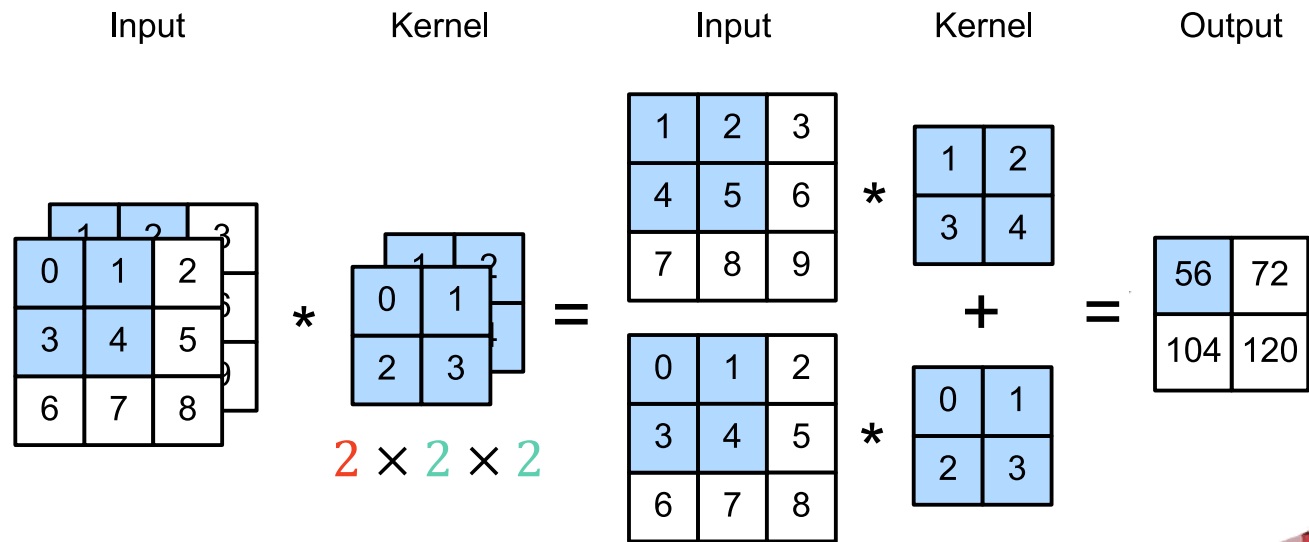


Multiple Input Channels

- Input data may contain multiple channels c_i .
- Kernel contains a tensor of shape $k_h \times k_w$ for every input channel.
- Concatenating these c_i tensors together yields a convolution kernel of shape $c_i \times k_h \times k_w$.



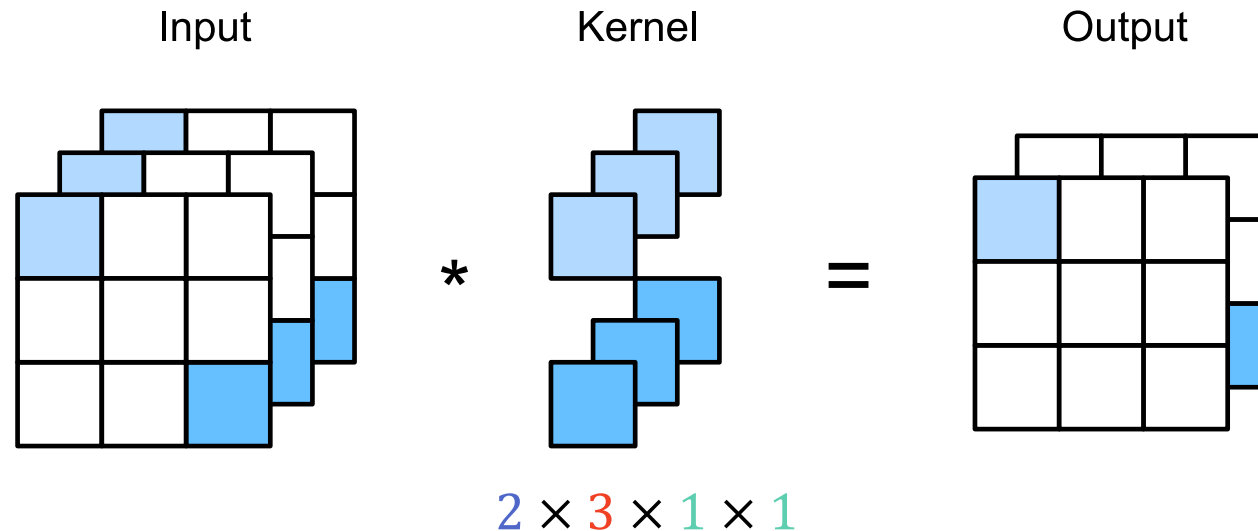
Multiple Input Channels



Multiple Output Channels

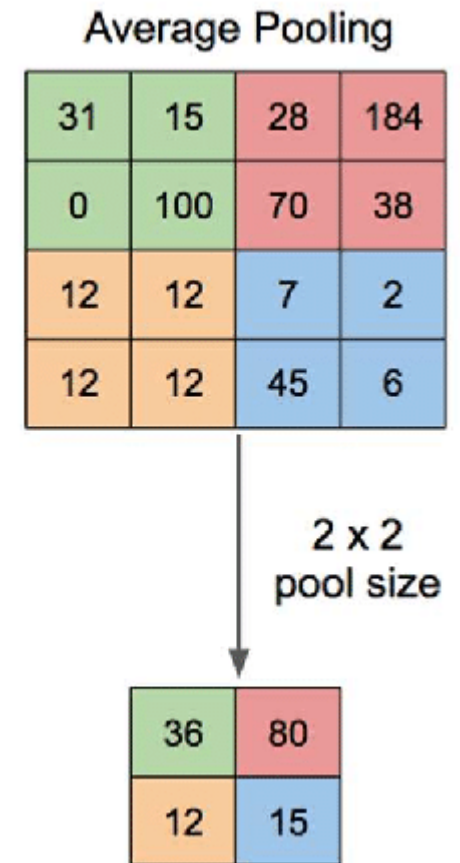
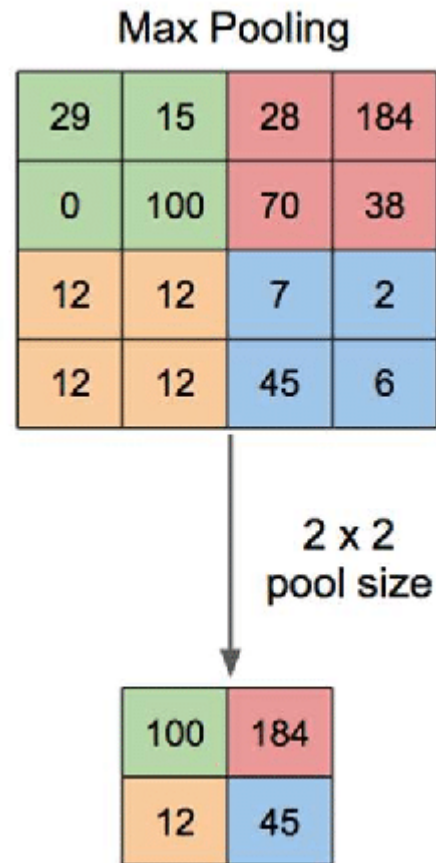
- Use c_o output different channels, each of shape $c_i \times k_h \times k_w$.
- Resulting shape of kernel:

$$c_o \times c_i \times k_h \times k_w$$

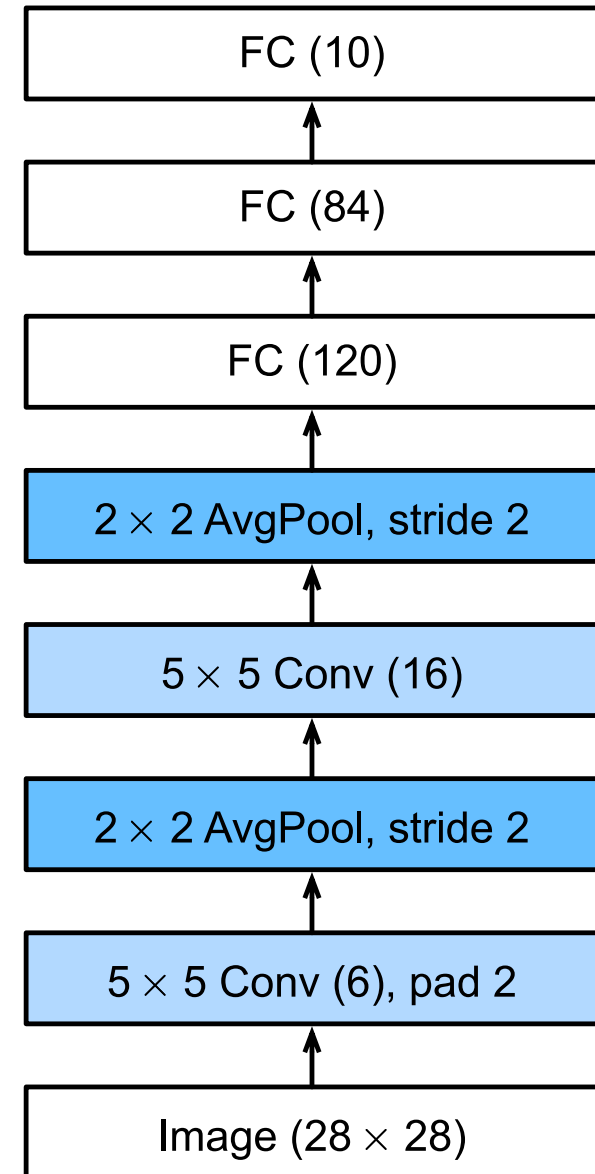
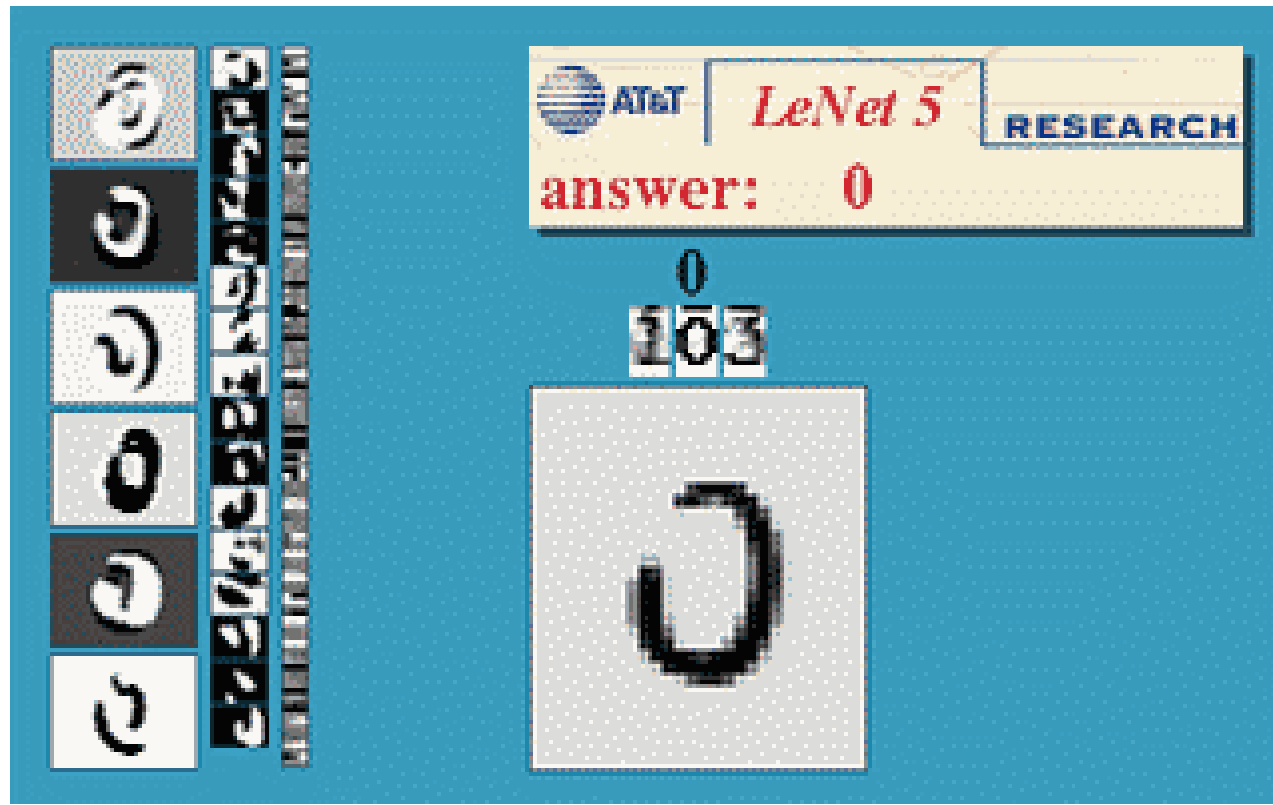


Pooling

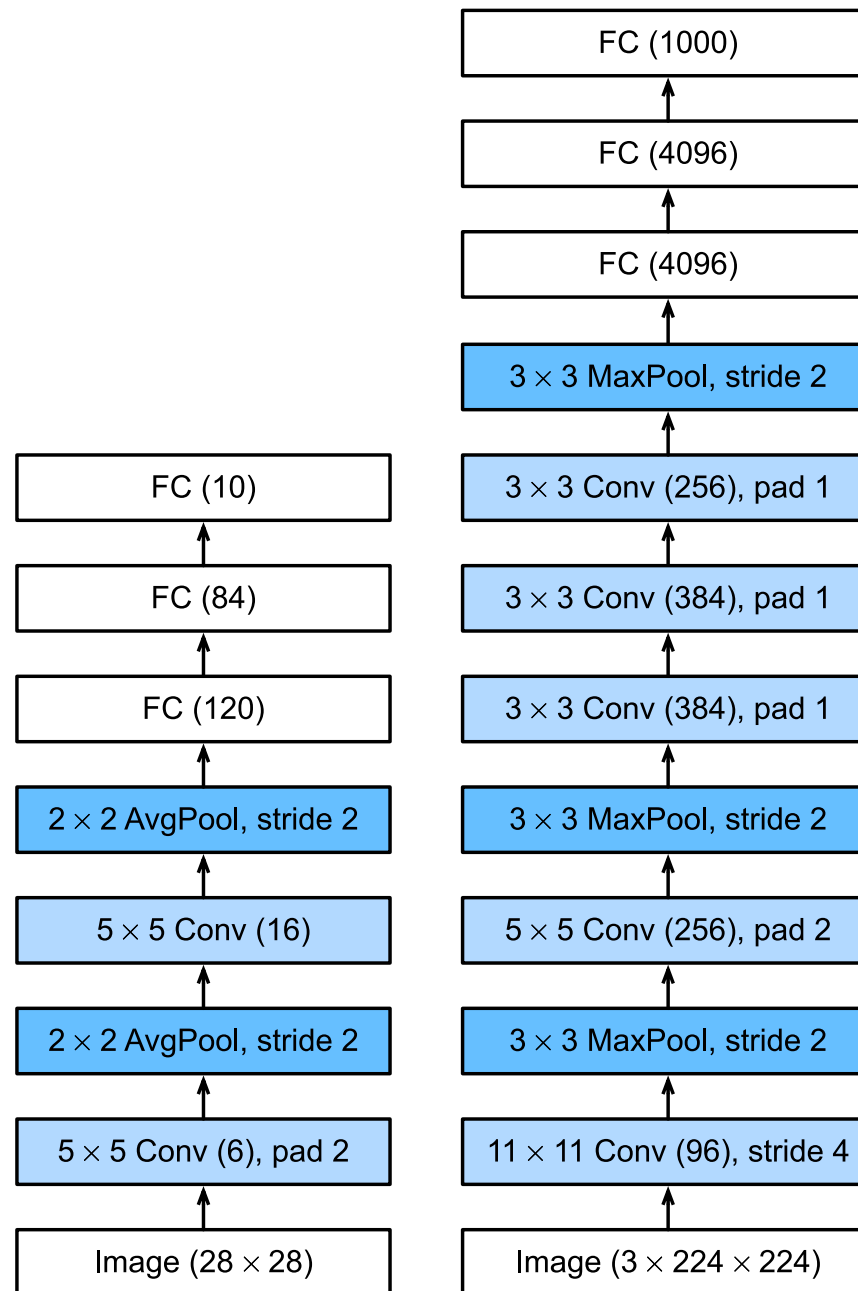
- Again, a fixed-shape window sliding over the input matrix.
- Padding and strides also apply.
- No learnable parameters.
- Determine a value for each window.
 - Max pooling: max value in the window;
 - Avg. pooling: avg. value of the window.



LeNet (LeCun et al., 1998)

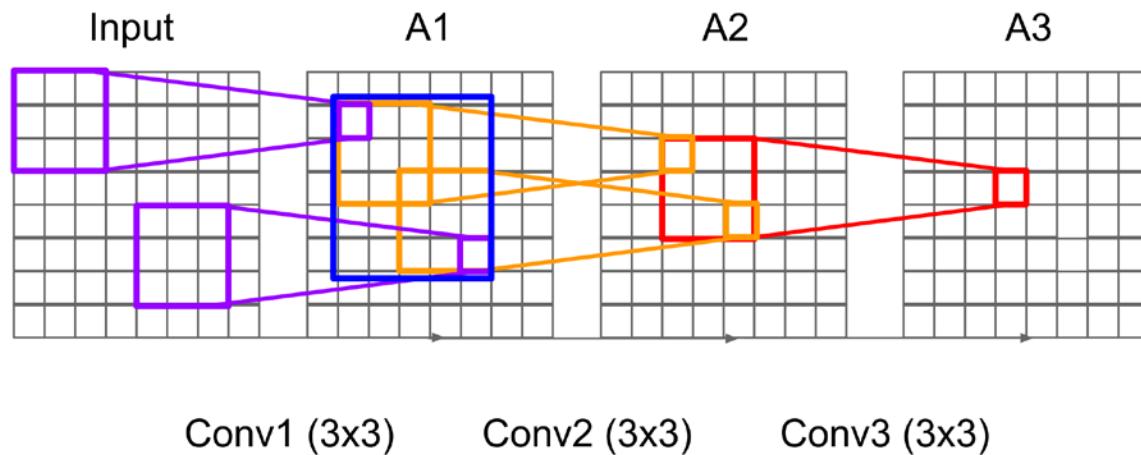


AlexNet (2012)



VGGNet (Simonyan et al., 2014)

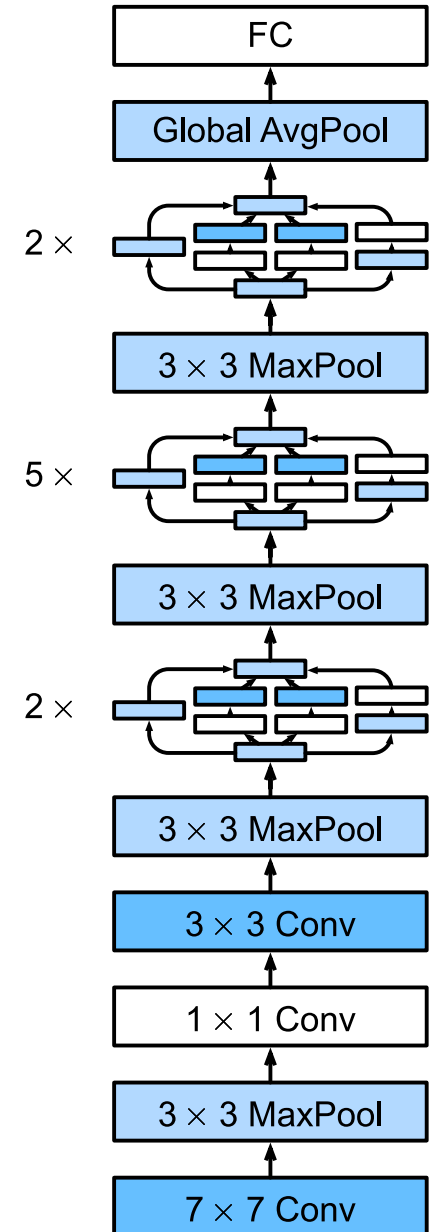
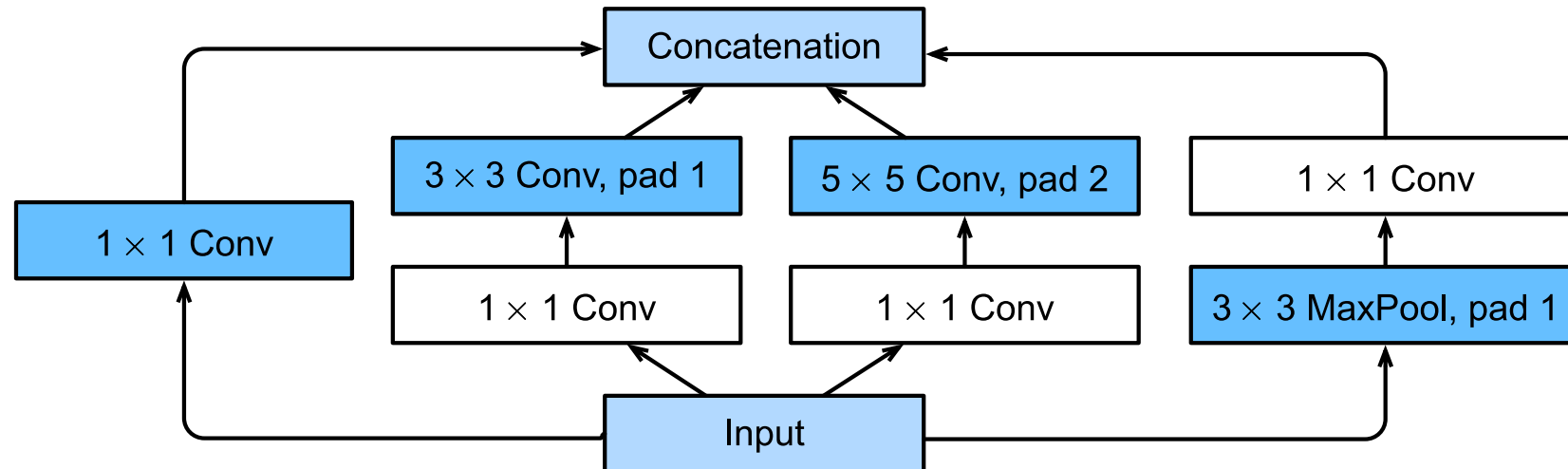
- Small filters, deeper networks
 - 8 layers -> 16 – 19 layers
 - 3 x 3 conv
 - 2 x 2 max pooling



GoogLeNet (Szegedy et al., 2014)

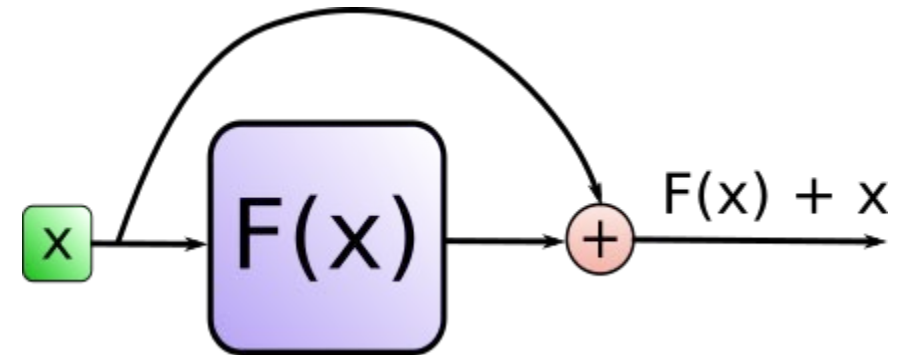
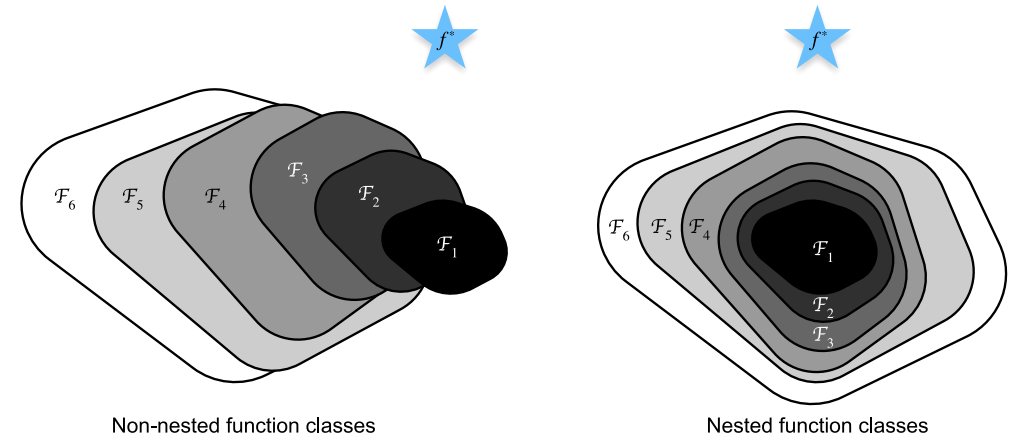
- Inception Blocks

- 1×1 conv + 3×3 conv + 5×5 conv to extract information from different spatial sizes.
- 1×1 conv on the input to reduce the number of channels.
- Concatenation along the channel dimension.



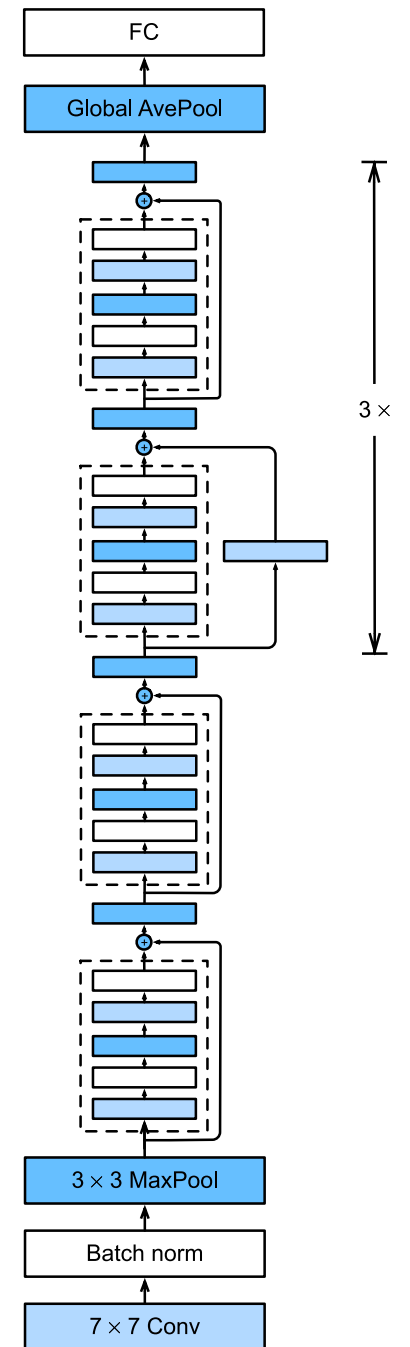
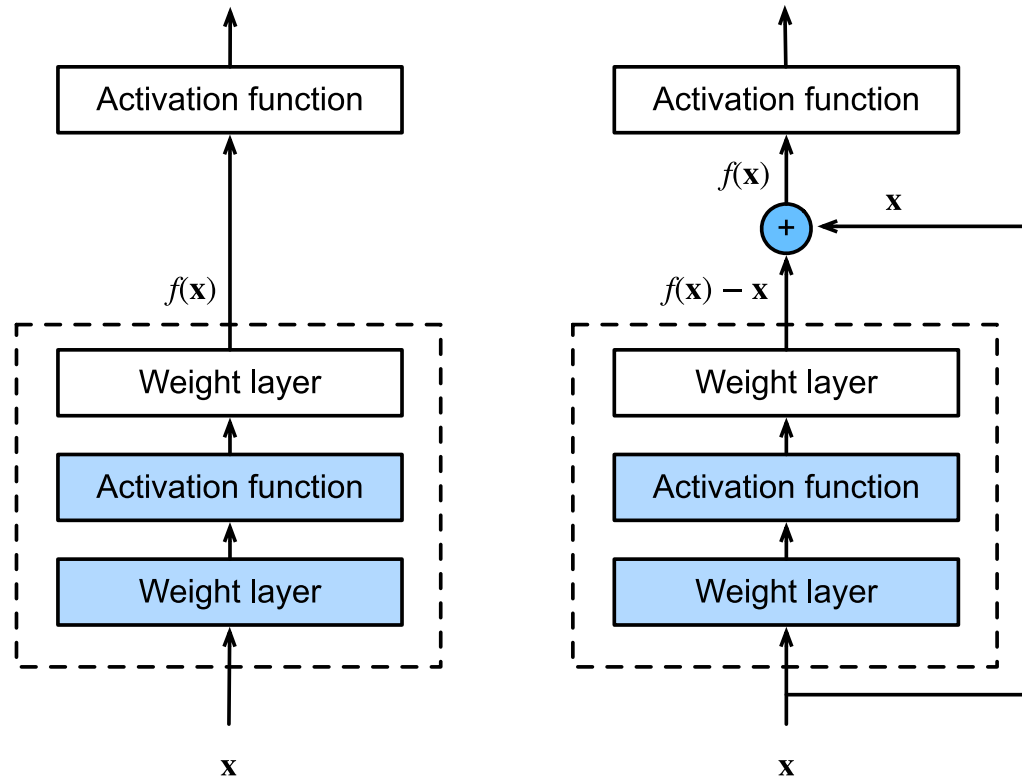
ResNet (He et al., 2016)

- Class of function $\mathcal{F} = \{f(\mathbf{x}; w)\}$, specify a network architecture.
 - e.g. $\mathcal{F}_1 = \text{VGG16}$, $\mathcal{F}_2 = \text{VGG19}$
- Assume that f^* is the “truth” function we want.
- We expect $\mathcal{F}_i \rightarrow \mathcal{F}_{i+1}$ is getting closer to f^* .
- If $\mathcal{F}_i \not\subseteq \mathcal{F}_{i+1}$ there is no guarantee that this should even happen.

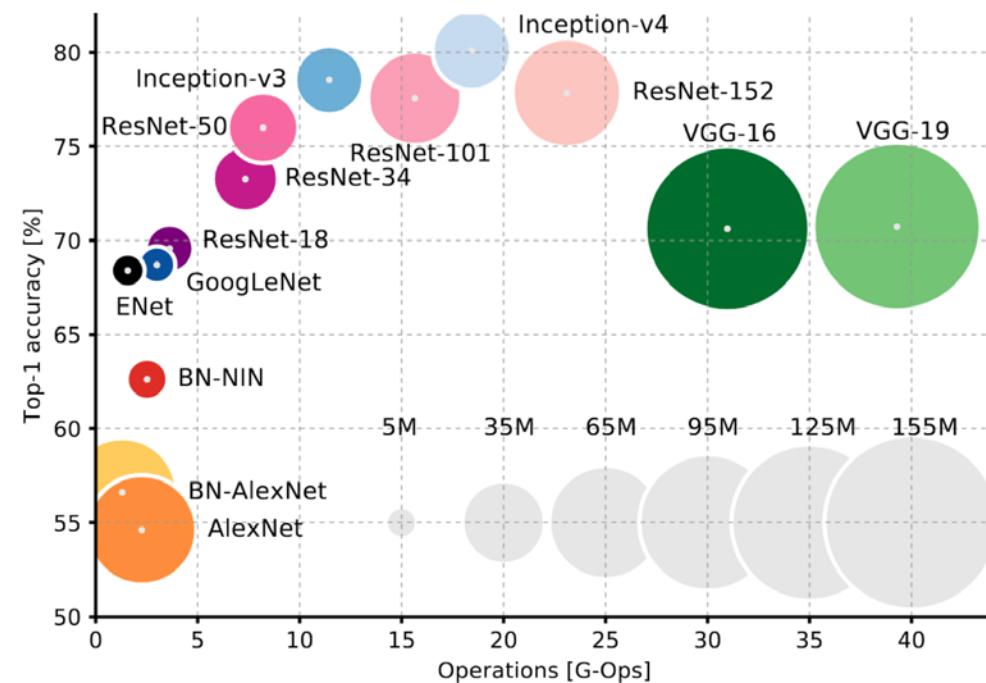
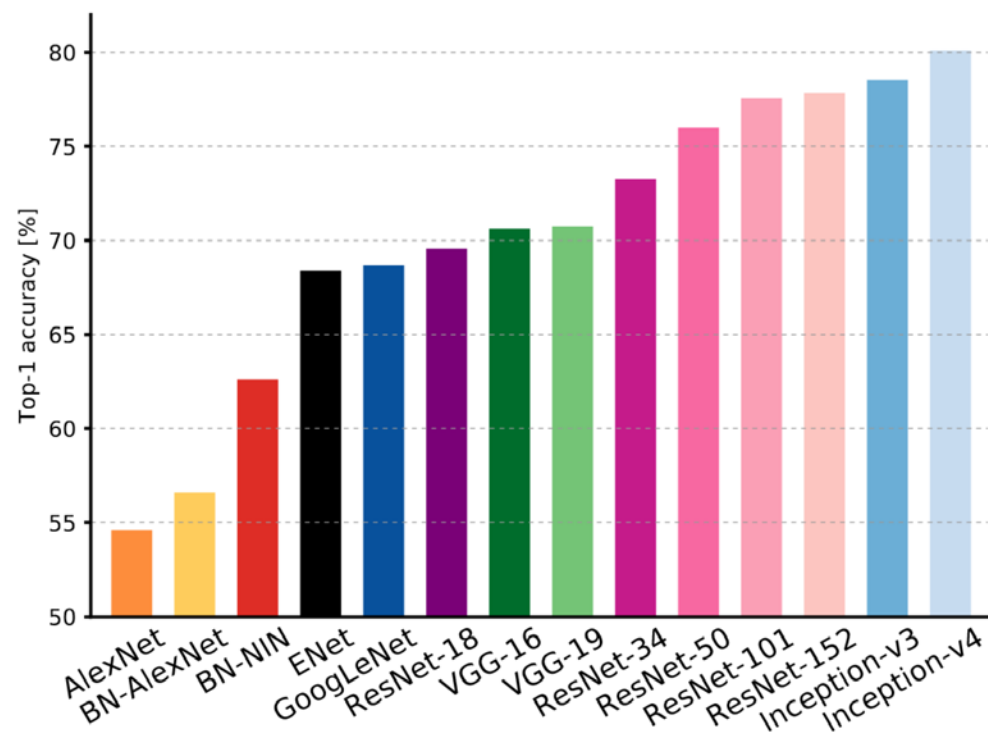


ResNet (He et al., 2016)

- Learn the residual mapping $f(\mathbf{x}) - \mathbf{x}$ instead.
- Ensure $\mathcal{F}_i \subseteq \mathcal{F}_{i+1}$ (let residual mapping = 0)



Models Comparison

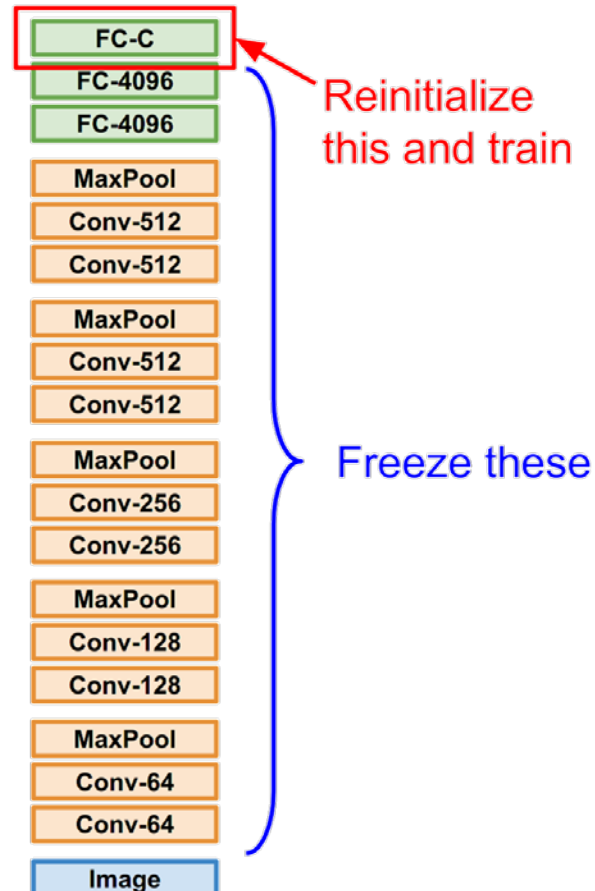


Transfer Learning

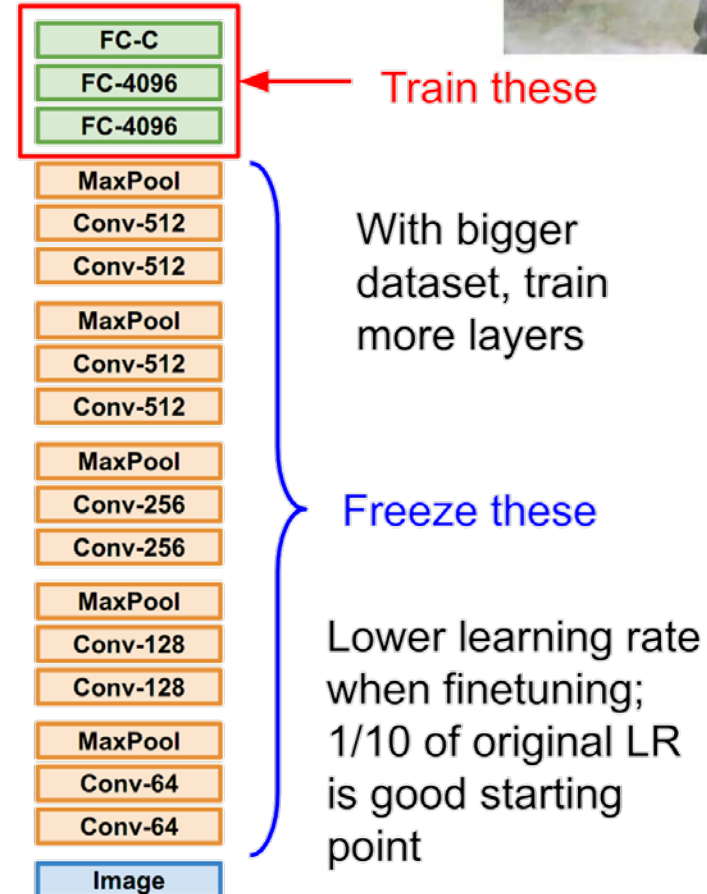
1. Train on Imagenet



2. Small Dataset (C classes)



3. Bigger dataset



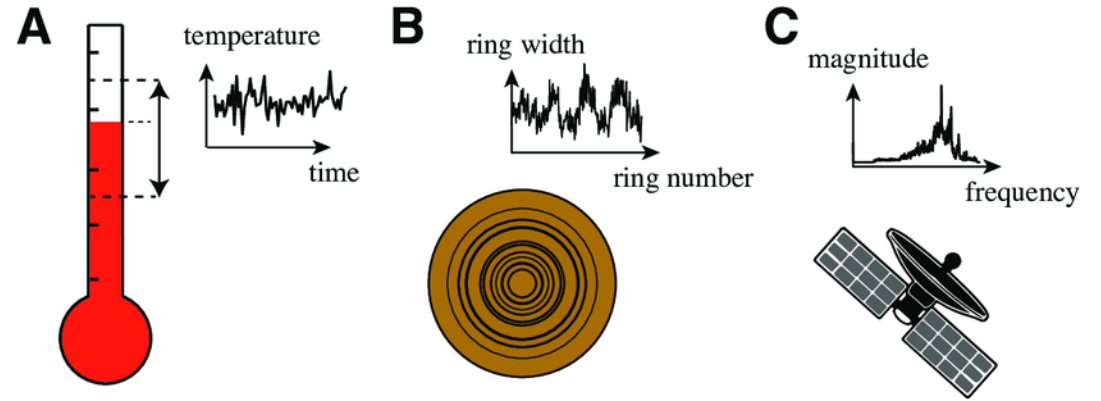
Any Questions?



RNN

Sequential Data

- Text
- Audio
- Stock quotes
- Video frames
- Motions and gestures
- Image as a sequence of pixels

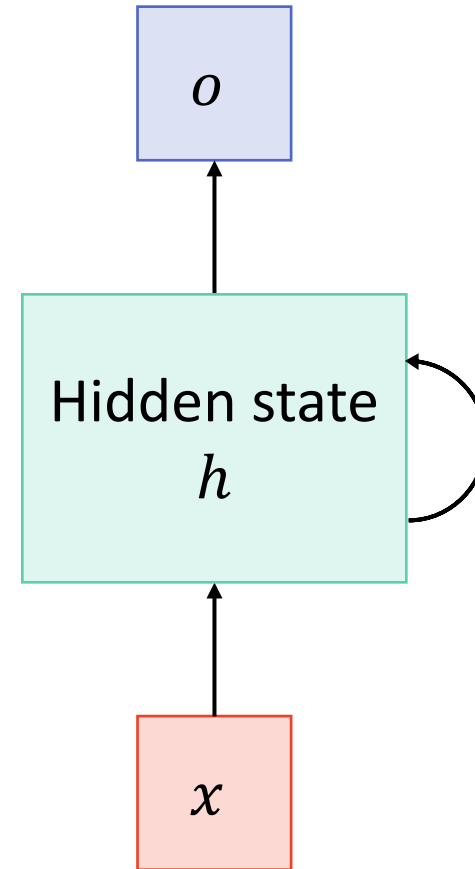


Recurrent Neural Network

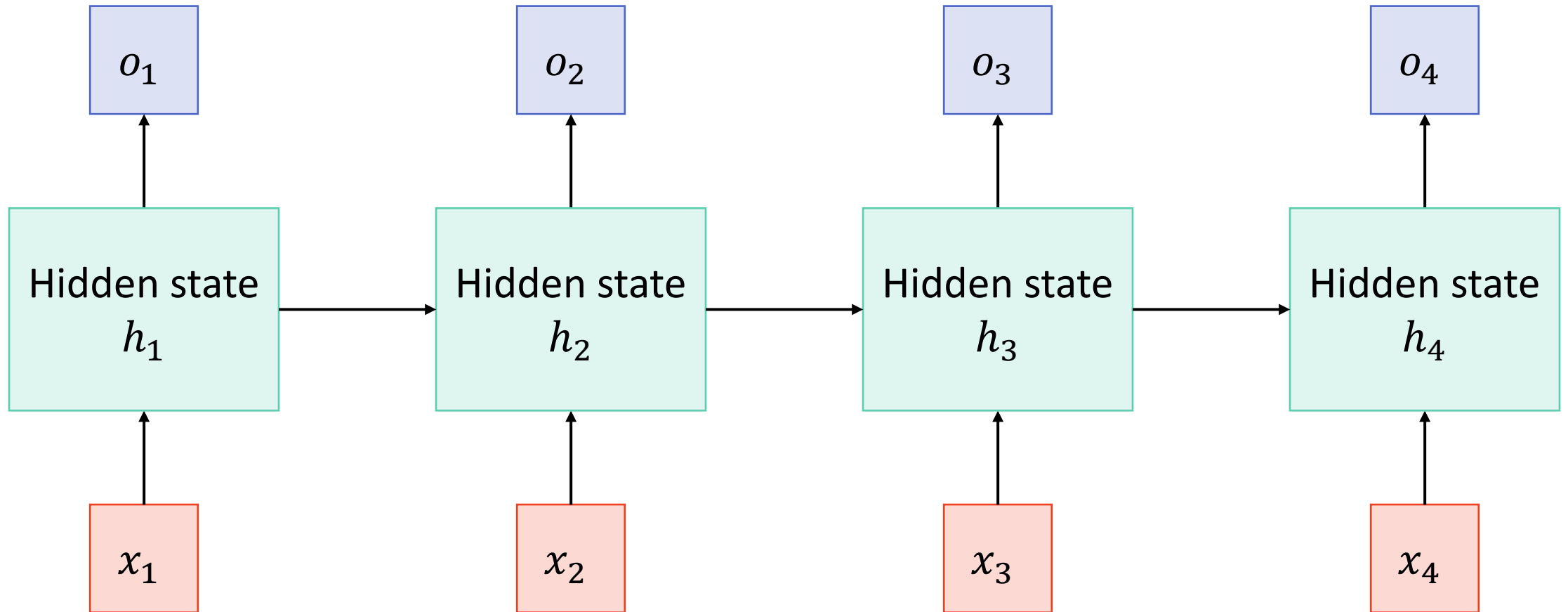
- Hidden state “remembers” sequential input.
- For each step, update hidden state and determine output using recurrence formula:

$$h_t = f(h_{t-1}, x_t; w_h)$$

$$o_t = g(h_t; w_o)$$



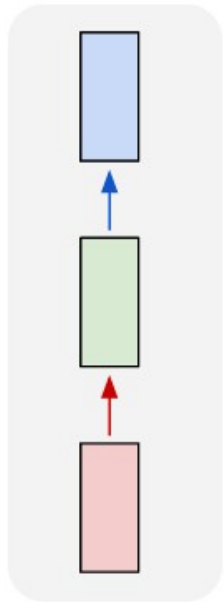
Unfolding Calculation Graph





Input Output Relationship

one to one



one to many

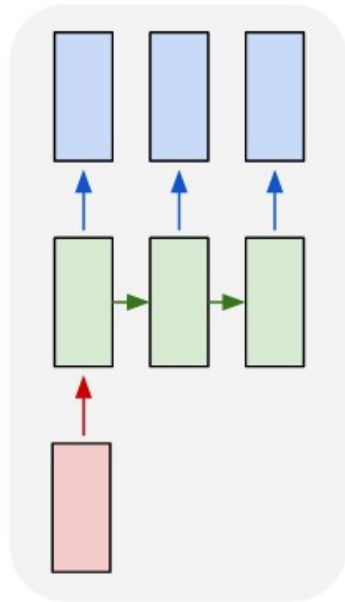
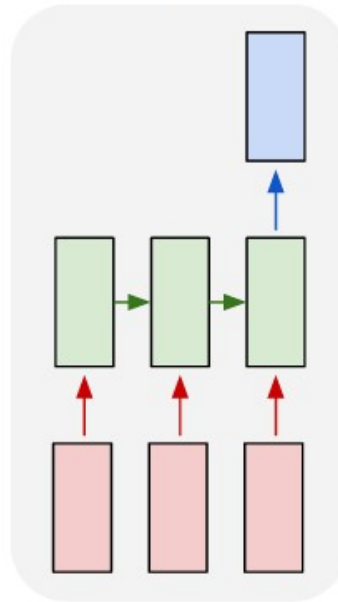


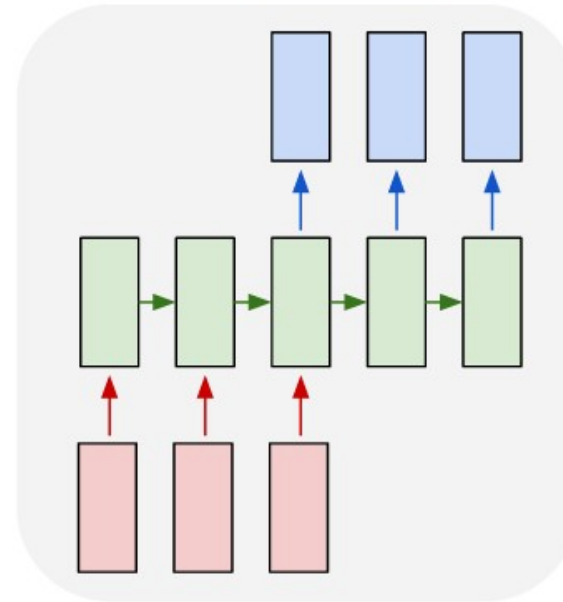
Image Captioning

many to one



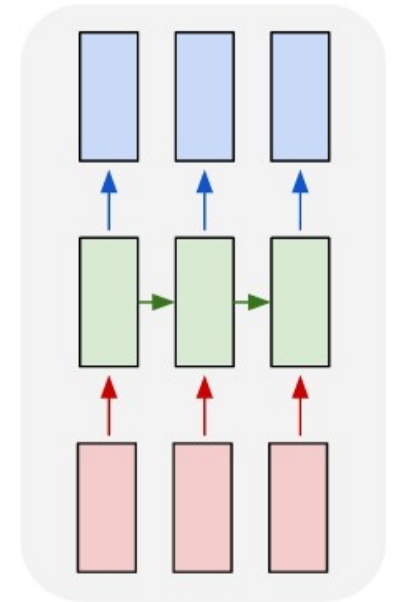
Video Classification

many to many



Video Captioning

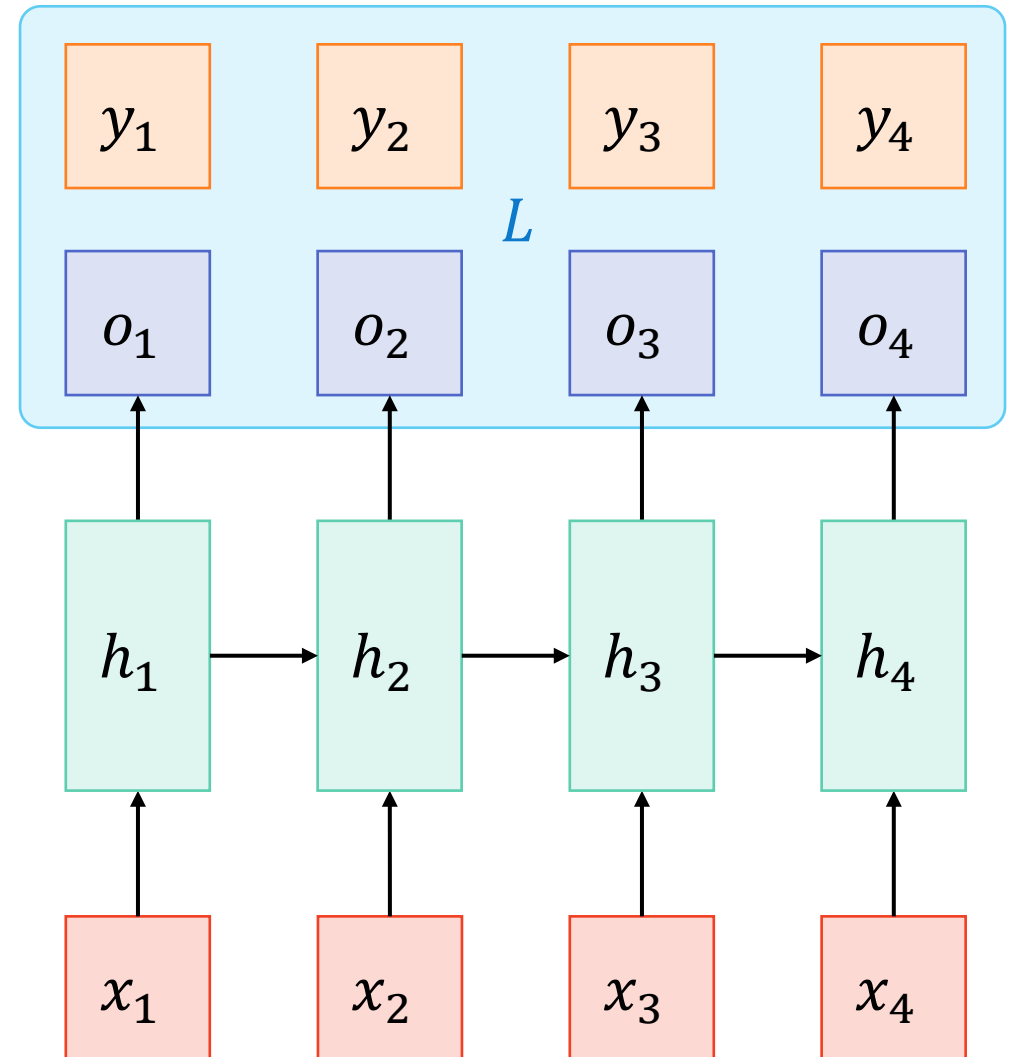
many to many



Frame-level
Video Classification

Backpropagation Through Time

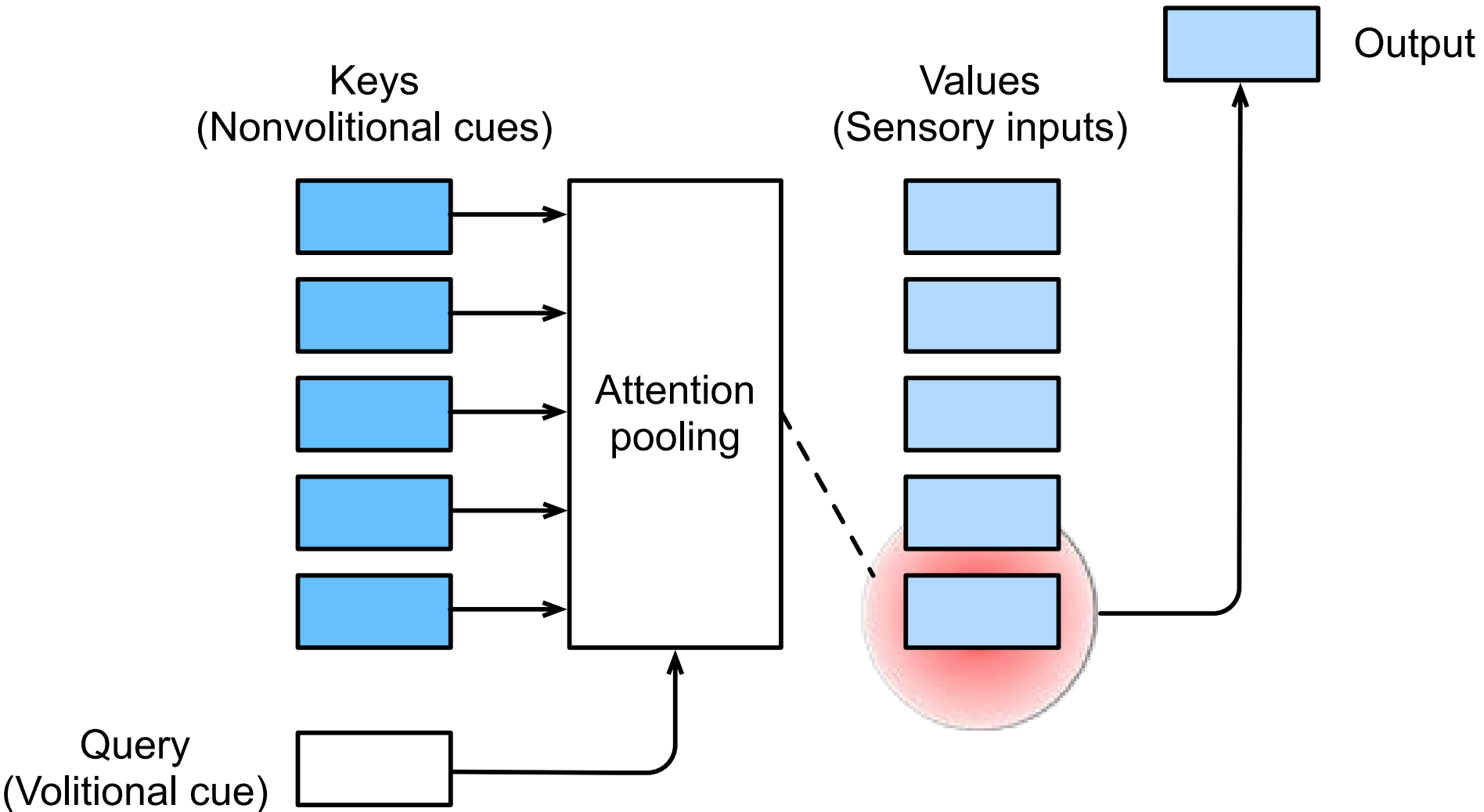
- $h_t = f(h_{t-1}, x_t; w_h)$
- $o_t = g(h_t; w_o)$
- $L(x_1, \dots, x_T, y_1, \dots, y_T, w_h, w_o) = \frac{1}{T} \sum_{t=1}^T l(y_t, o_t).$
- $\frac{\partial L}{\partial w_h} = \frac{1}{T} \sum_{t=1}^T \frac{\partial l(y_t, o_t)}{\partial w_h} = \frac{1}{T} \sum_{t=1}^T \frac{\partial l(y_t, o_t)}{\partial o_t} \frac{\partial g(h_t, w_o)}{\partial h_t} \frac{\partial h_t}{\partial w_h}$



Attention

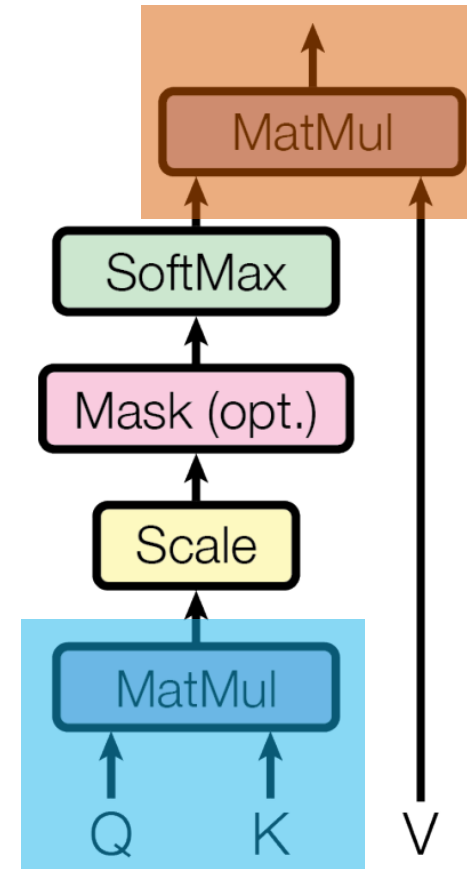


Attention Cues



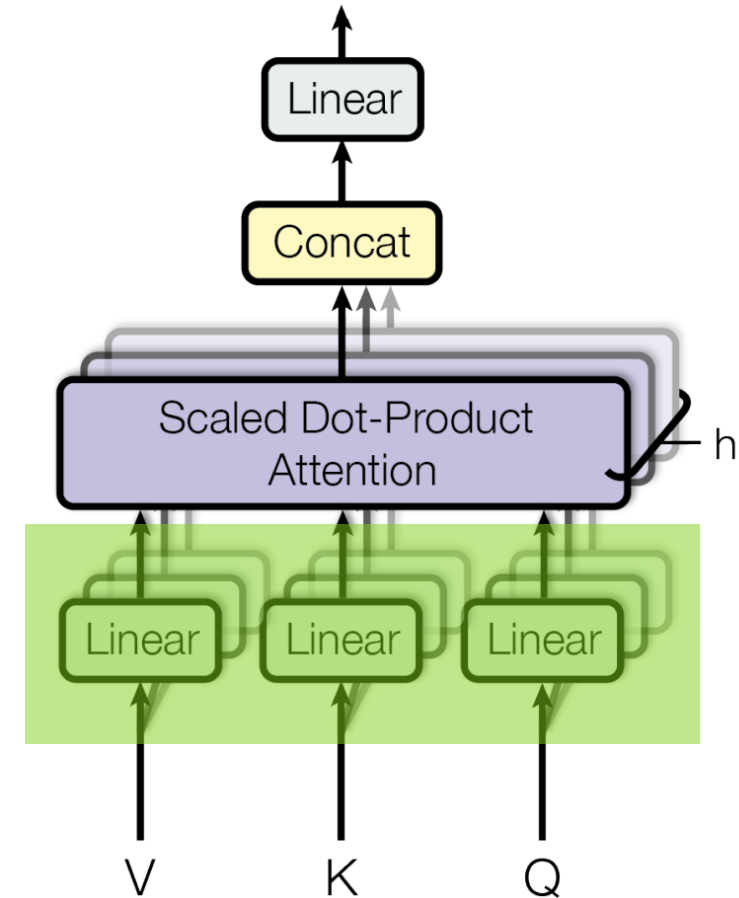
Scaled Dot-Product Attention

- $\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right) V$



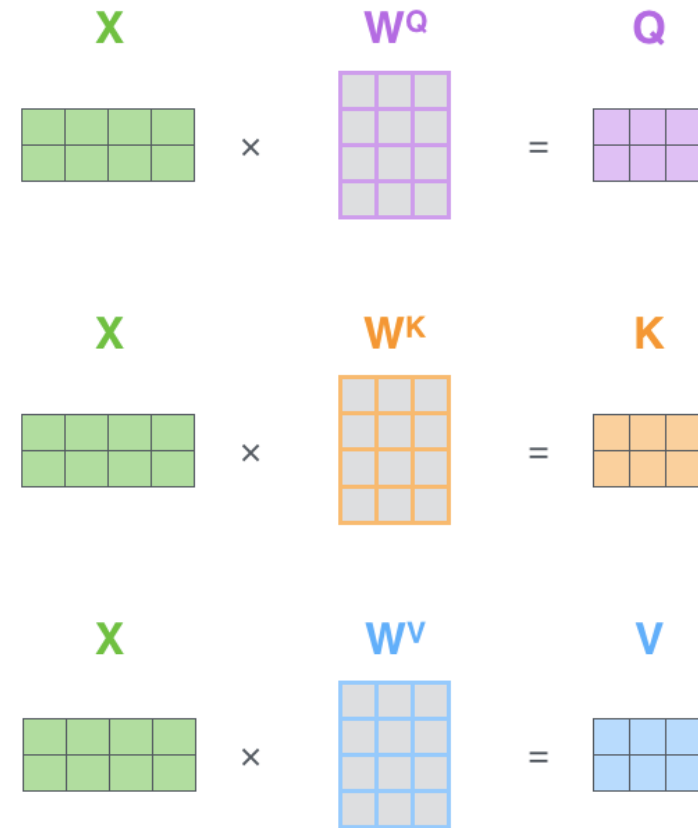
Multi-Head Attention

- $\text{MultiHead}(Q, K, V) = \text{Concat}(\text{head}_1, \dots, \text{head}_h)W^O$
- Where $\text{head}_i = \text{Attention}(QW_i^Q, KW_i^K, VW_i^V)$
- Linear projection for Q, K, V
 - Add more expressivity
- Different linear layer for different head
 - Let heads care about different things

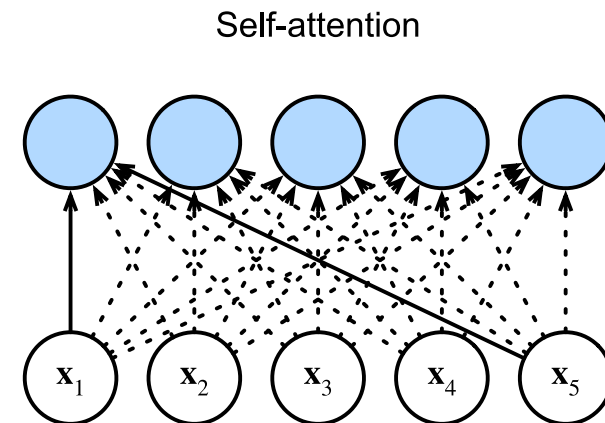
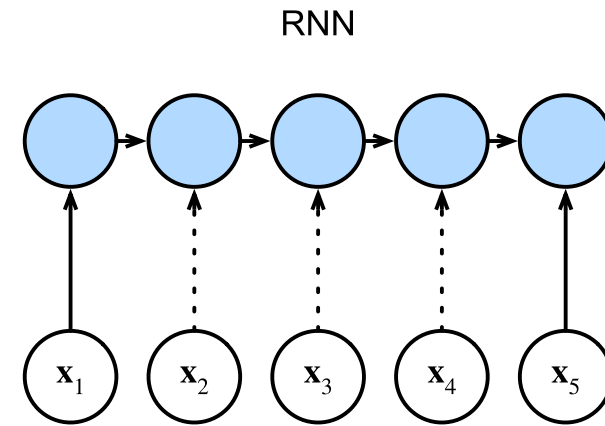
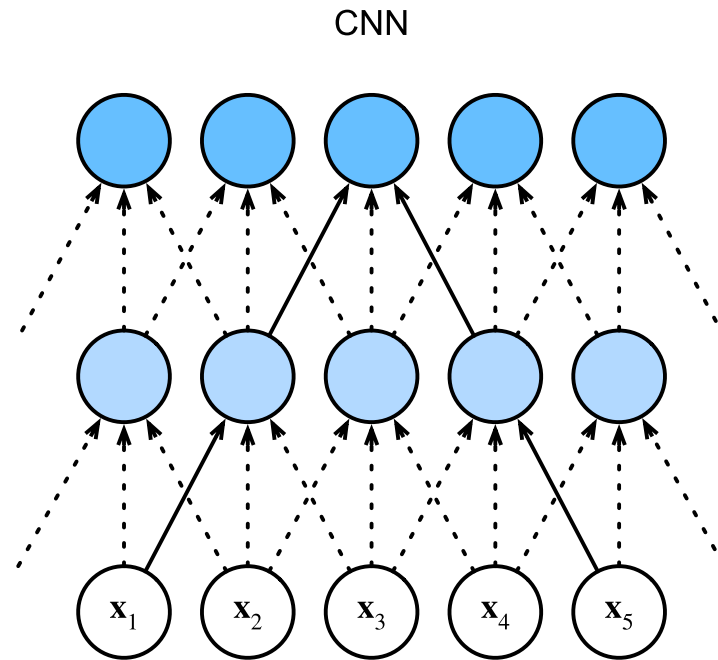


Self-Attention

- $V = K = Q = X$
- $\text{Attention}(X, X, X)$
- Q : meaning behind?



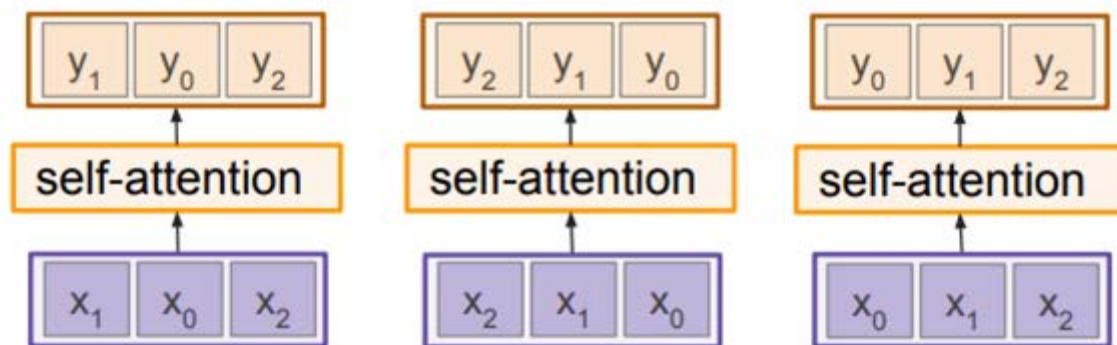
Comparing CNNs, RNNs, and Self-Attention



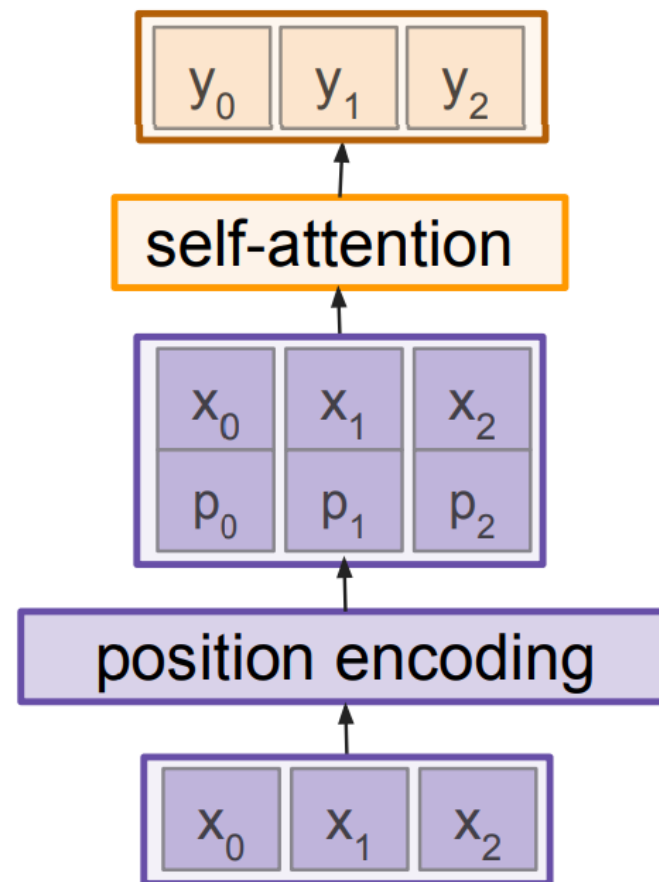
Visualize Self-Attention



Permutation Equivariant Problem in Self-Attention

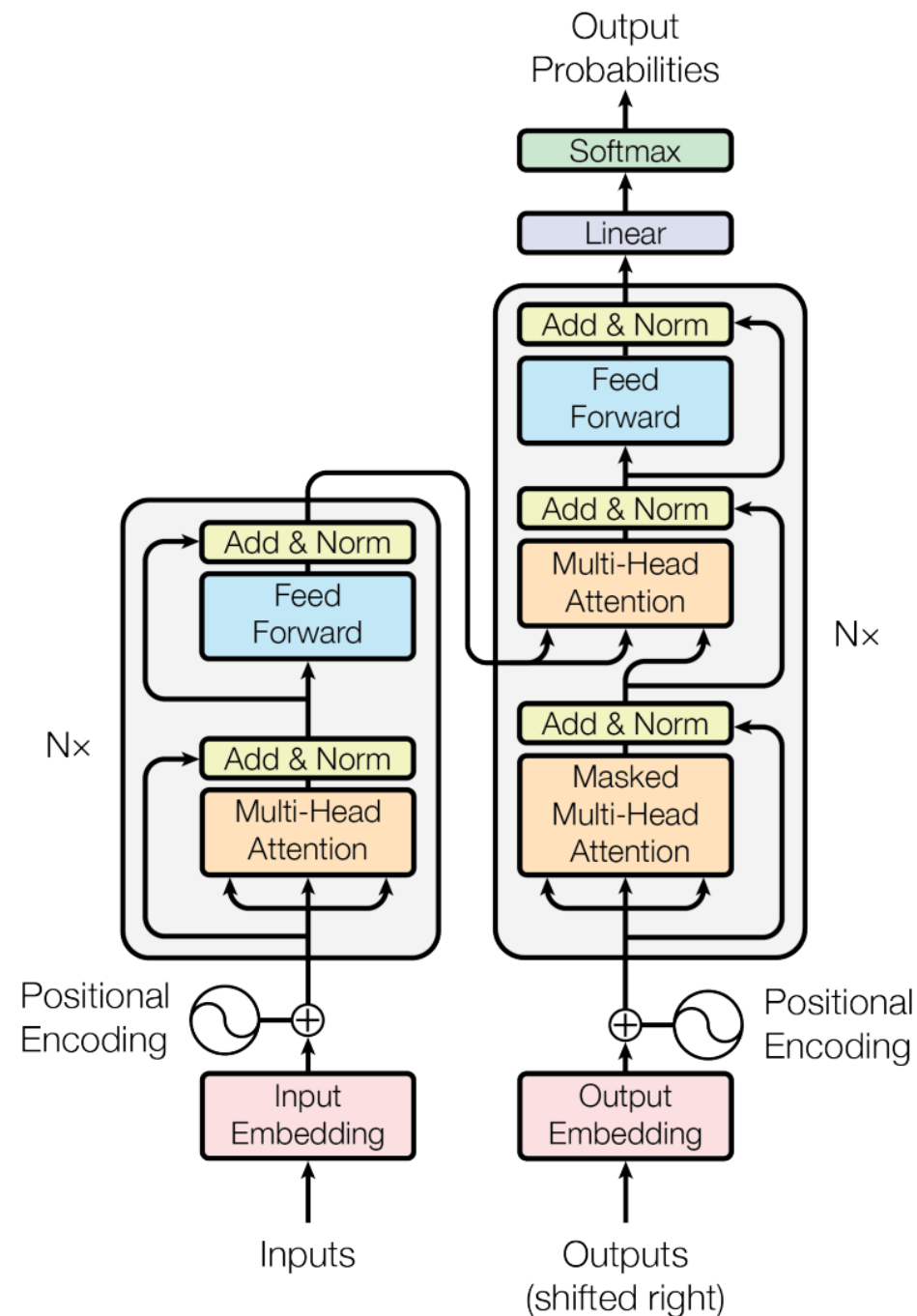


- Solution: Add position actively
- **Position encoding**
 - sin-cos
 - Learned encoding

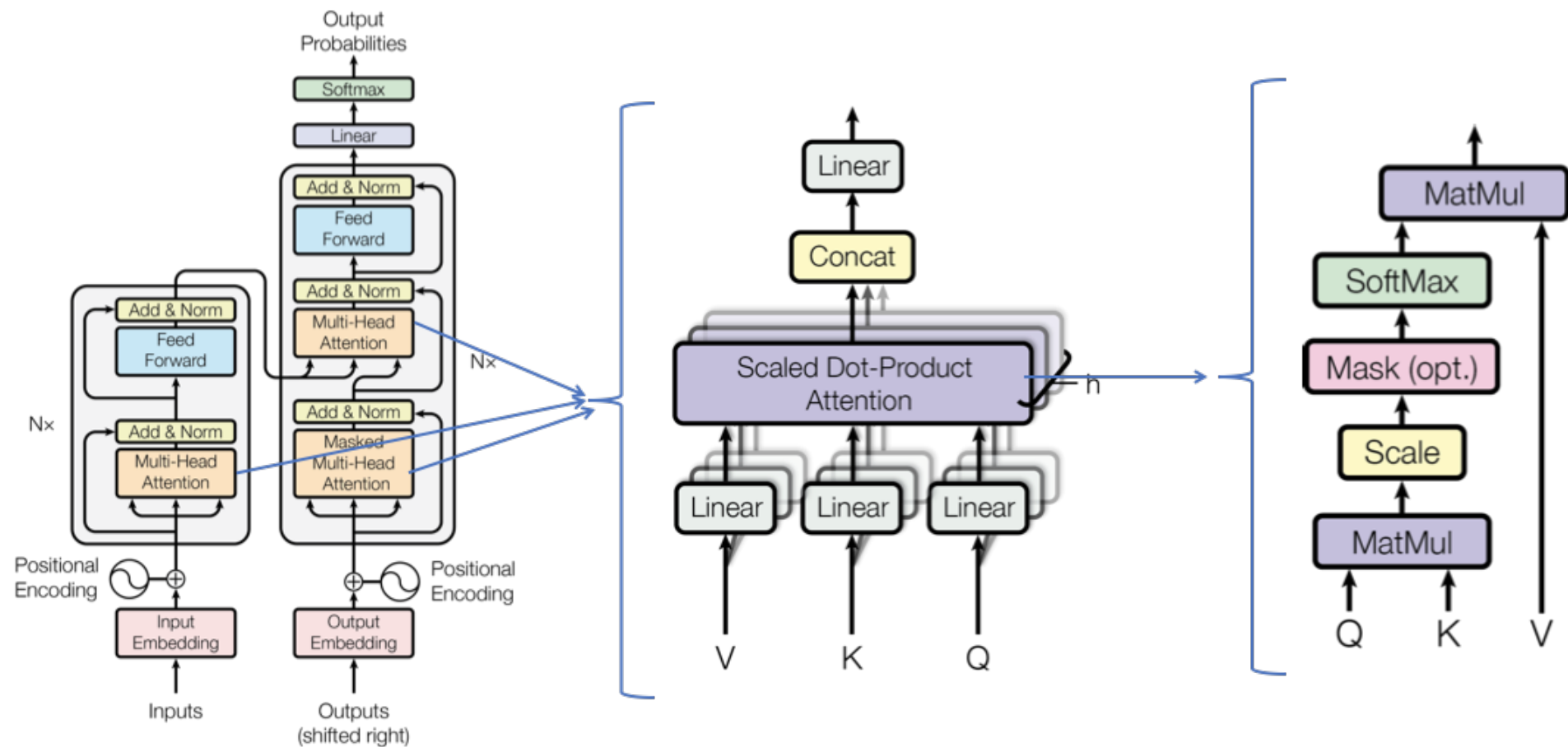


Transformer (Vaswani et al., 2017)

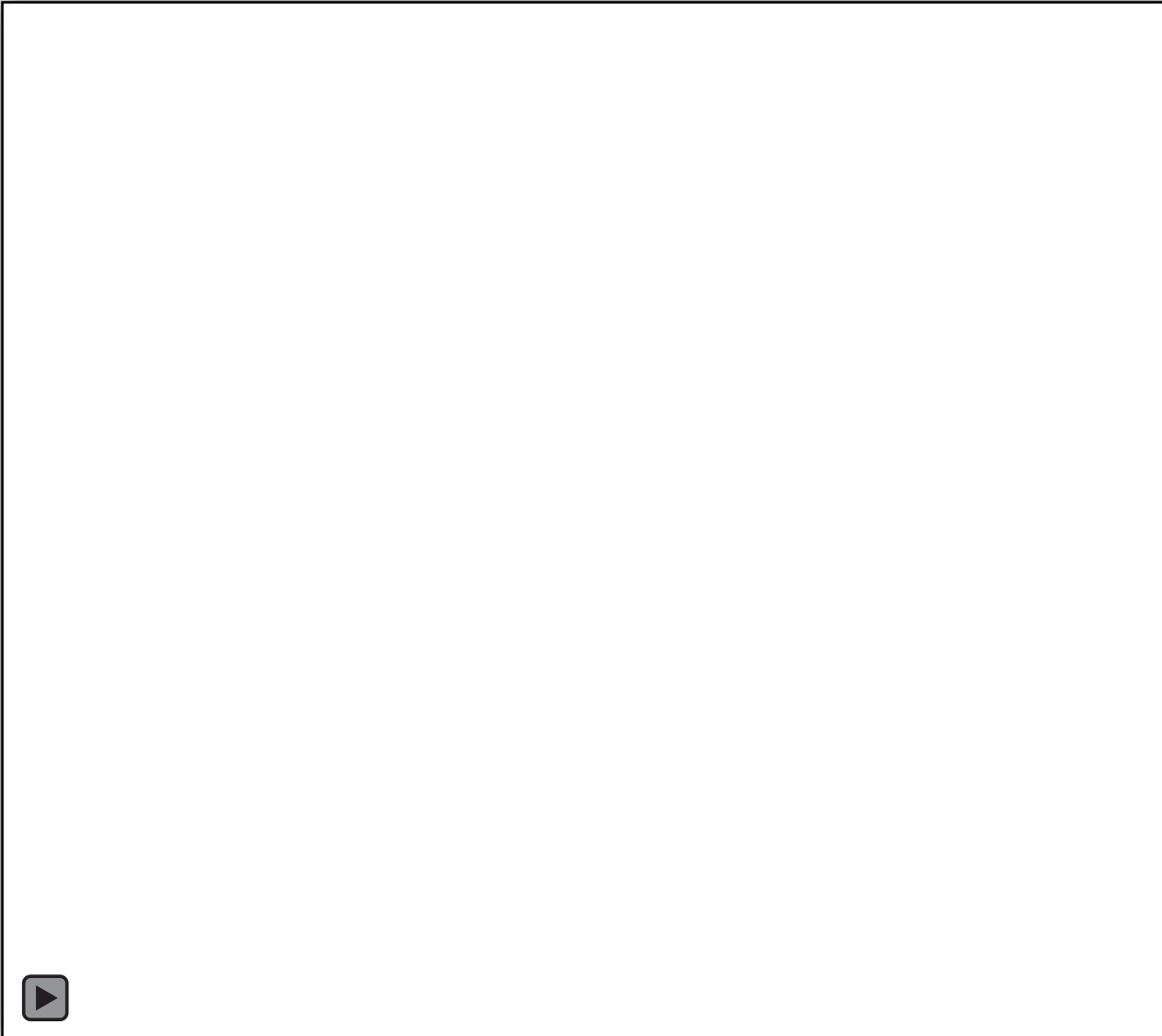
- Encoder-Decoder
- Masked MHA
 - Prevent vectors from looking at future vectors when training



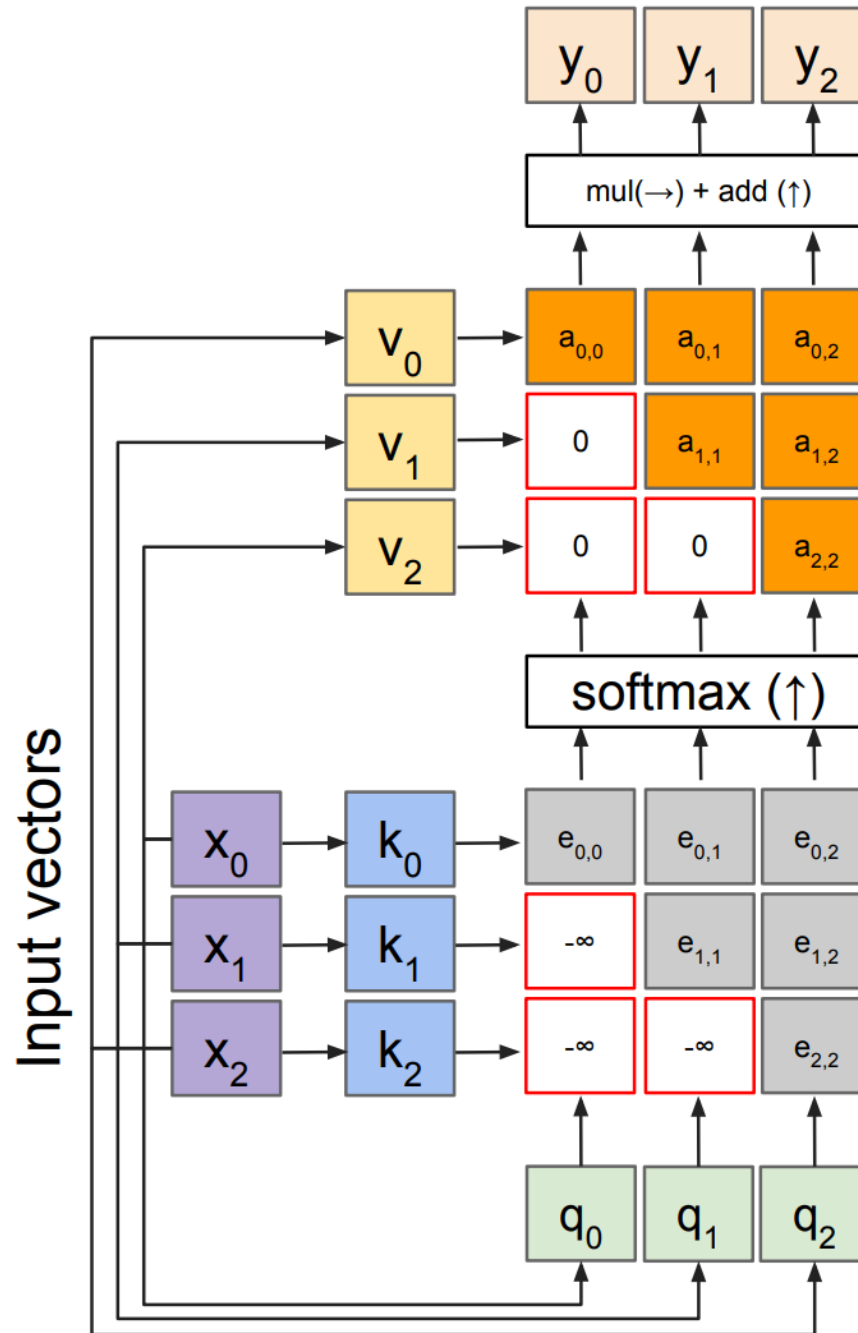
Transformer (Vaswani et al., 2017)



Transformer (Vaswani et al., 2017)

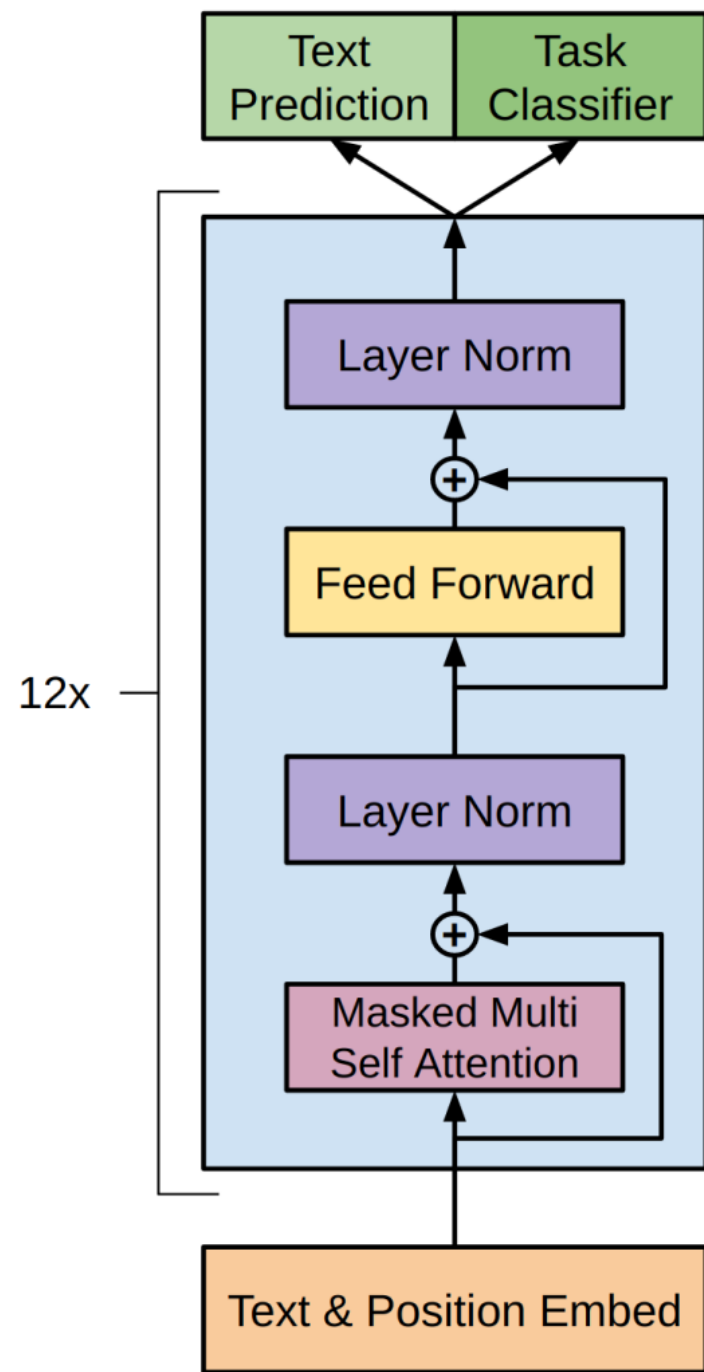


Masked MHA



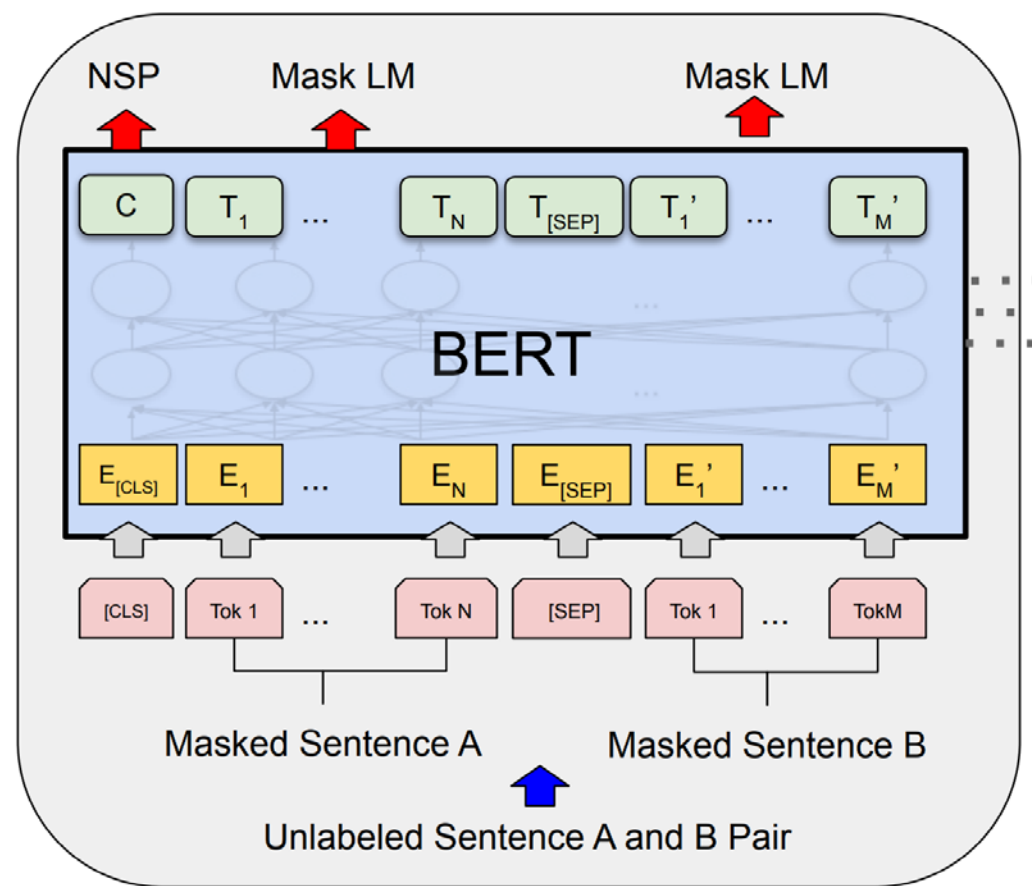
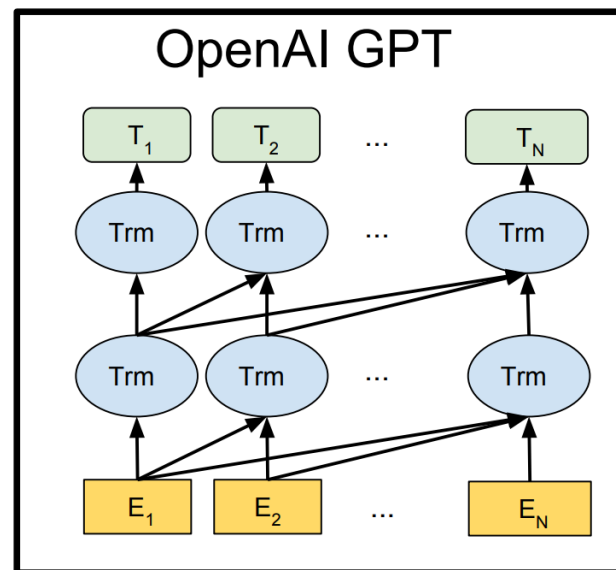
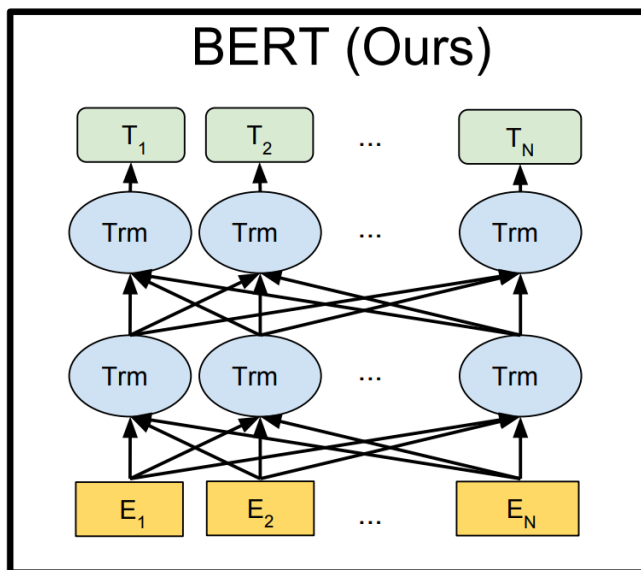
GPT (Radford et al., 2018)

- Decoder only
- Generative Language Model
 - Pretrain the model by learning to predict the next token
- Fine-tune for downstream tasks



BERT (Devlin et al., 2018)

- Encoder only
- Masked Language Model
 - Pretrain by predicting the masked token
- No need for mask in attention



Llama (Hugo et al., 2023)

- Decoder only
- QK encoded with RoPE
- RMSNorm vs LayerNorm
- SwiGLU vs ReLU

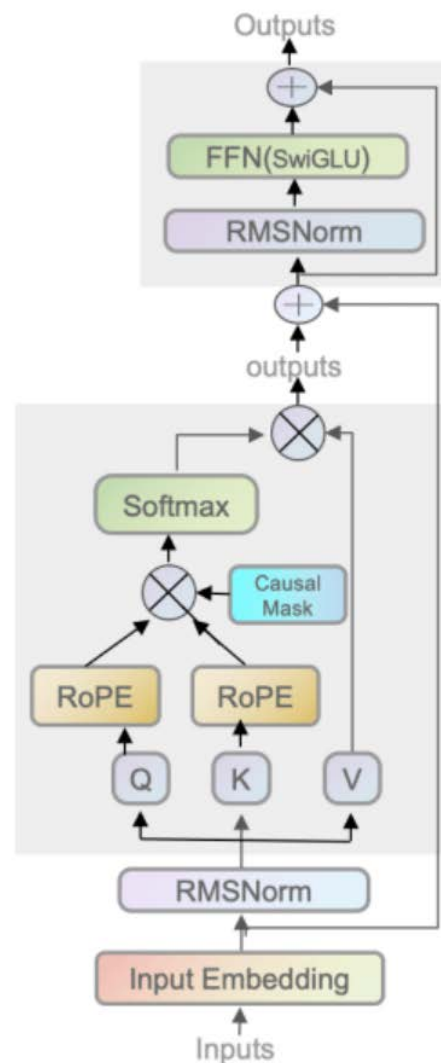


图 4: Llama 模型架构

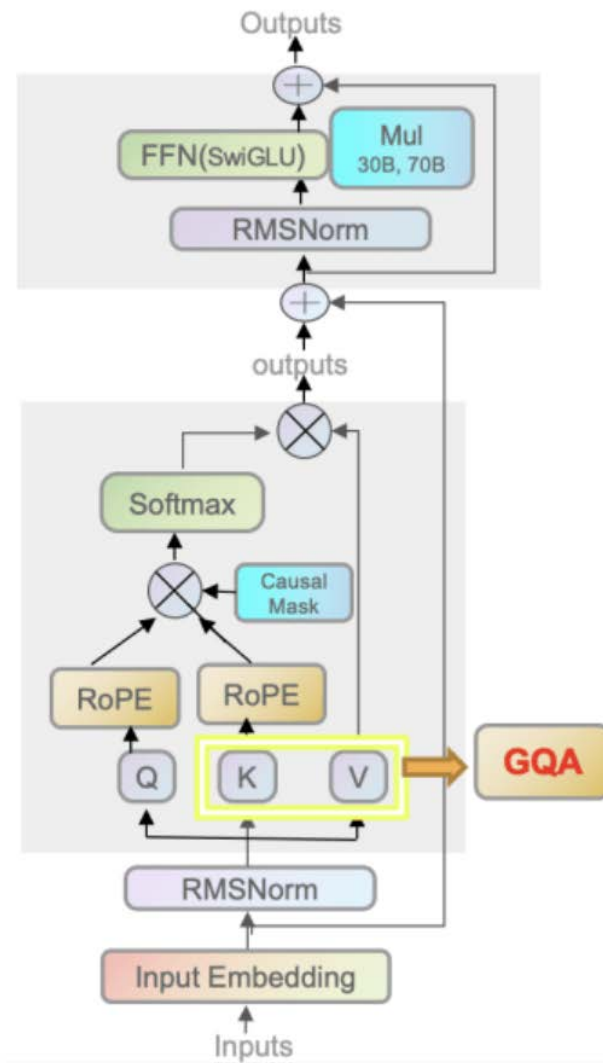
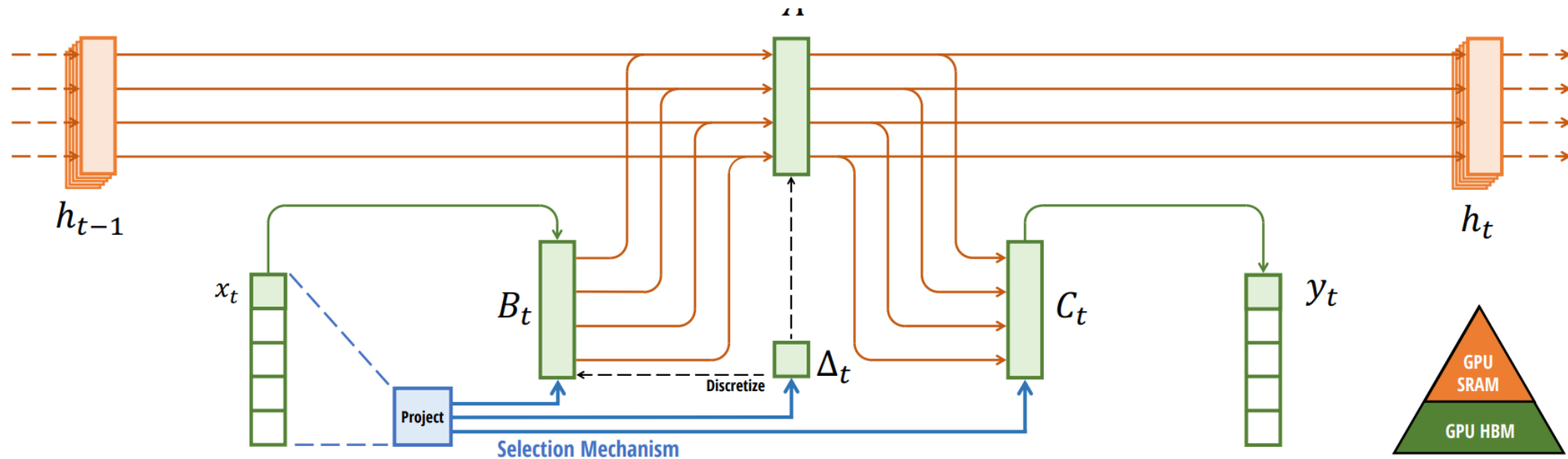


图 5: Llama 2 模型架构

Mamba (Albert et al., 2023)

- More Efficient Model Architecture
- Based on SSM: $x(t) \rightarrow y(t)$ with $h(t)$



Any Questions?

Deep learning hardware

CPU vs GPU

CPU: Fewer cores, but each core is much faster and much more capable; great at sequential tasks

GPU: More cores, but each core is much slower and “dumber”; great for parallel tasks

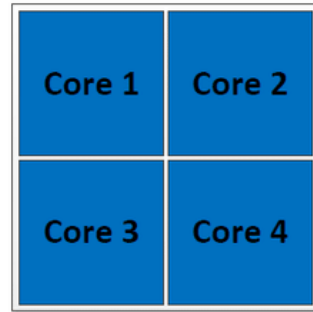
TPU: Specialized hardware for deep learning

	Cores	Clock Speed	Memory	Price	Compute Capability
CPU Intel® Xeon® Platinum 8558 Processor	48	2.1GHz	System RAM	\$4,650	6.45TFlops(FP32)
GPU NVIDIA RTX 4090	16384 Cuda Cores 512 Tensor Cores	2.2GHz	24GB GDDR6X	\$1,600	82.5 TFlops(FP32)
GPU NVIDIA Hopper H100 PCIe	14592 Cuda Cores 456 Tensor Cores	1.6GHz	80GB HBM2e	\$25,000	756TFlops(FP32 TensorCore)
TPU Google Cloud TPU v5e	4 Matrix Units(MXU) per core	?	16 GBHBM2	\$1.2(per hour)	197TFlops(BF16)

CPU vs GPU

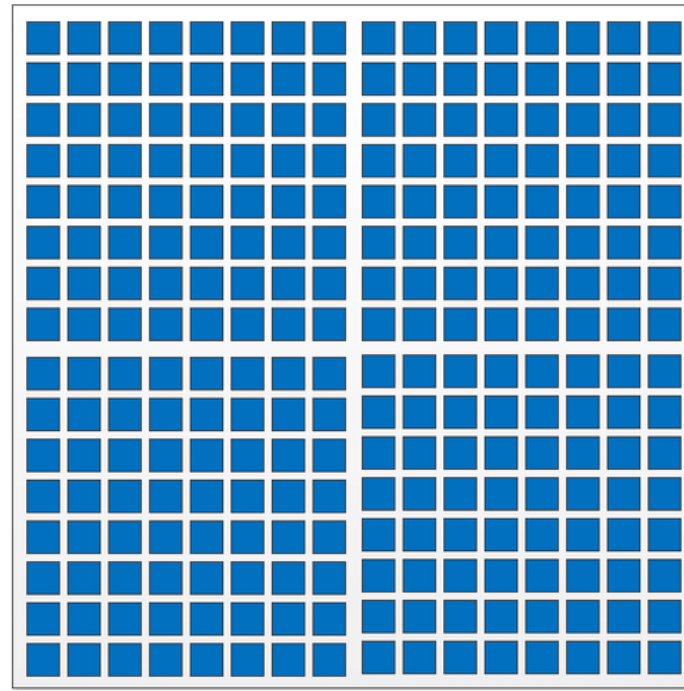
CPU

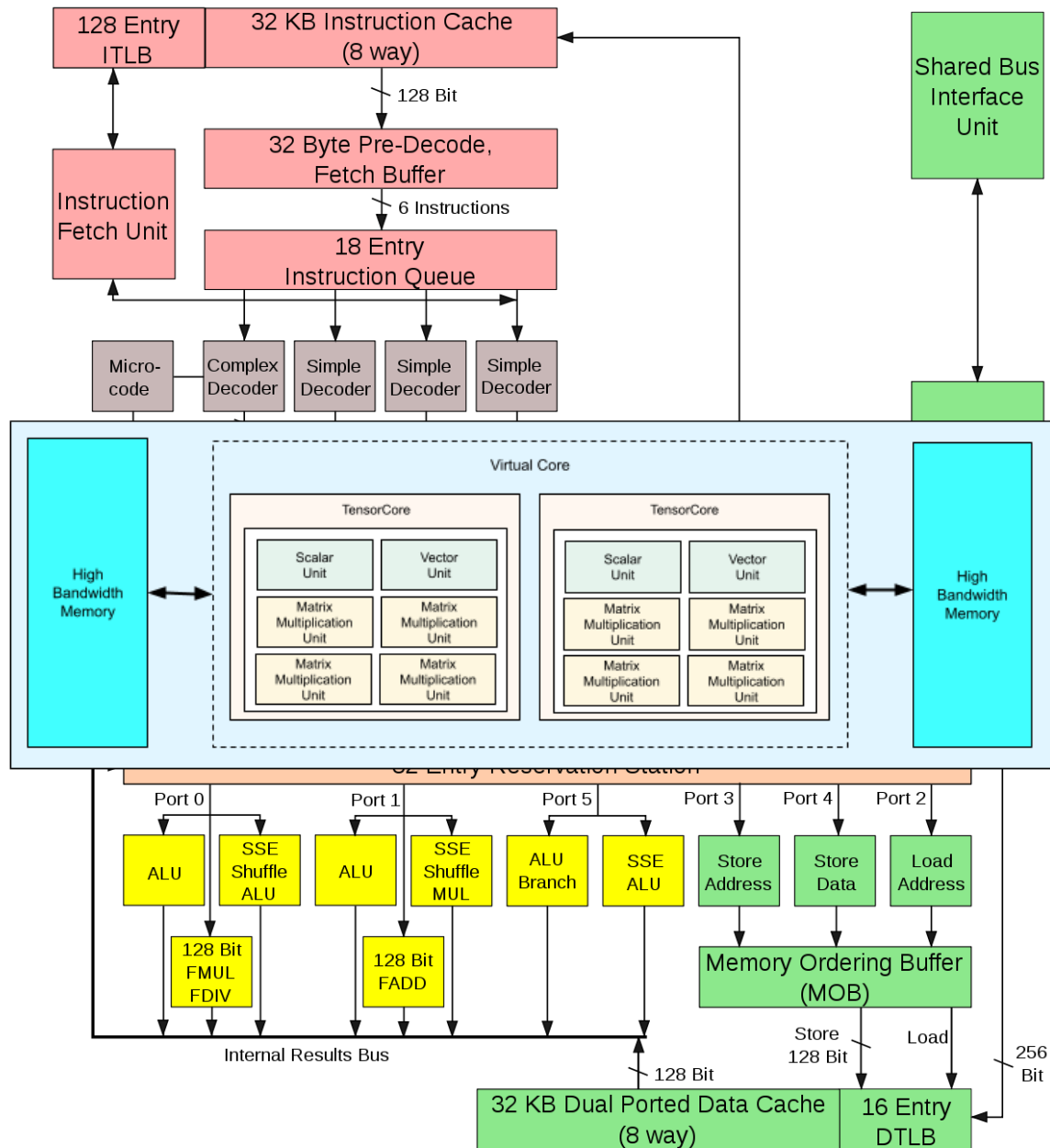
(Multiple cores)



GPU

(Hundreds of cores)

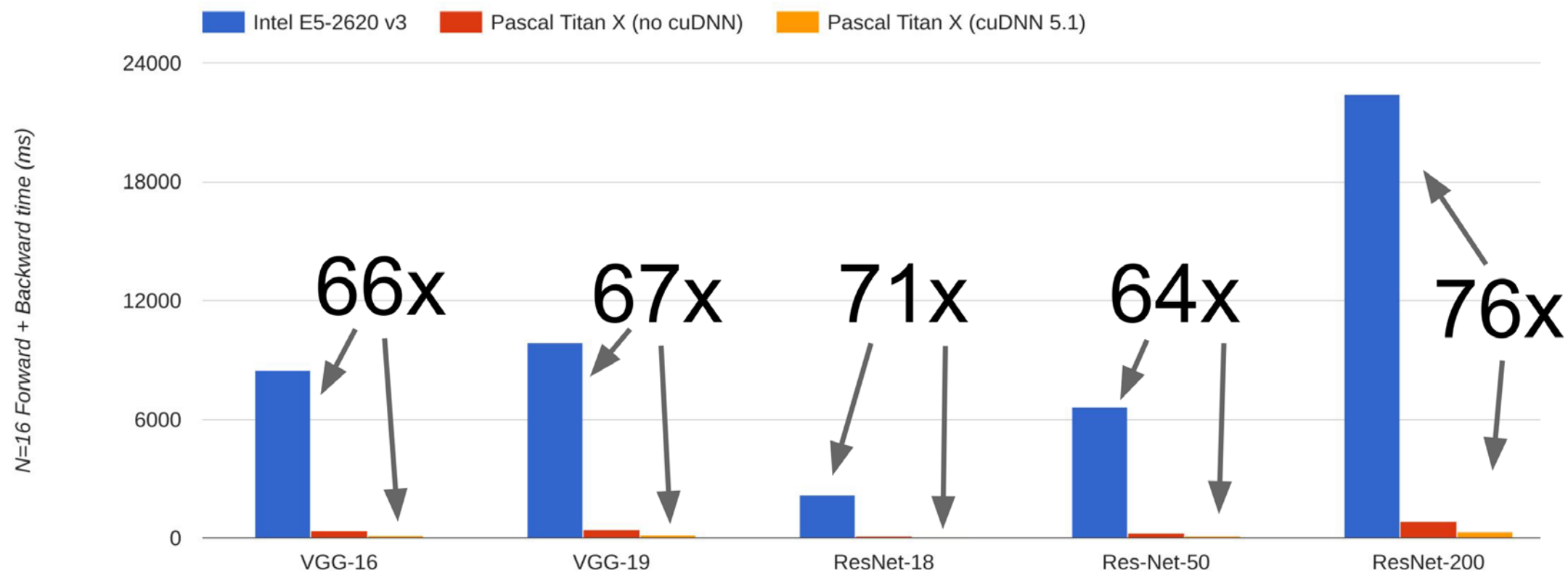




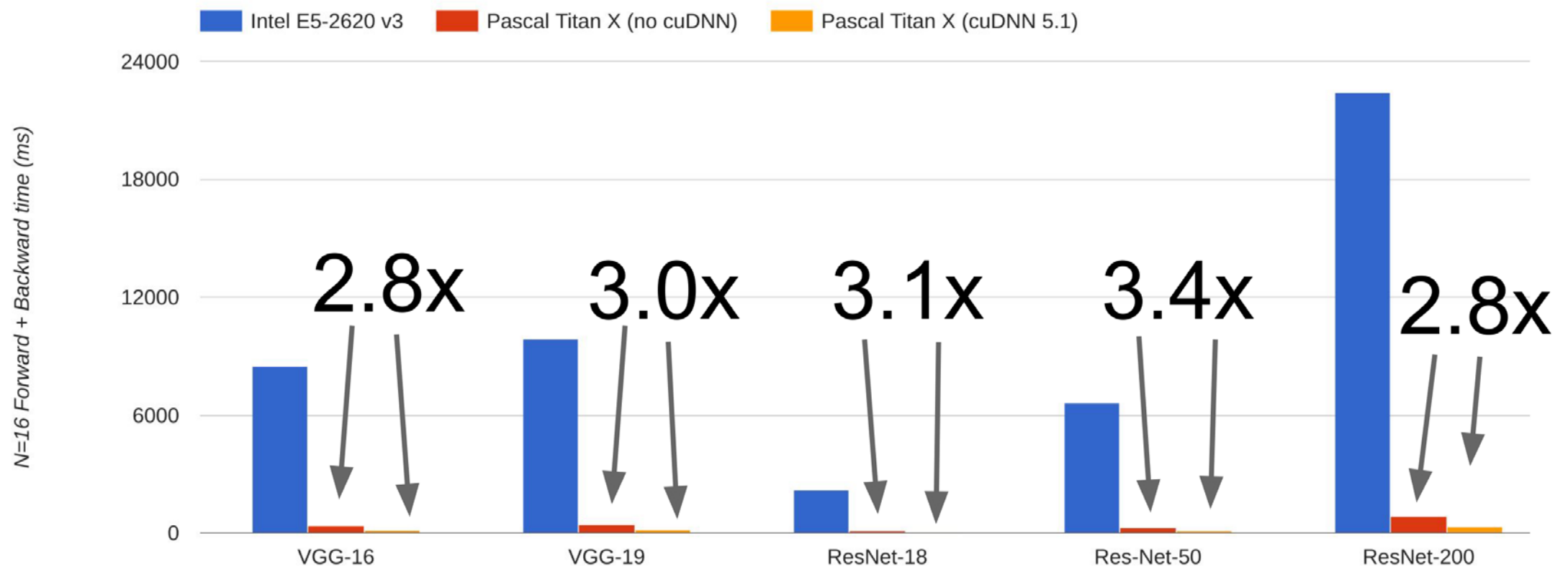
Intel Core 2 Architecture



CPU vs GPU



Plain CUDA vs cuDNN

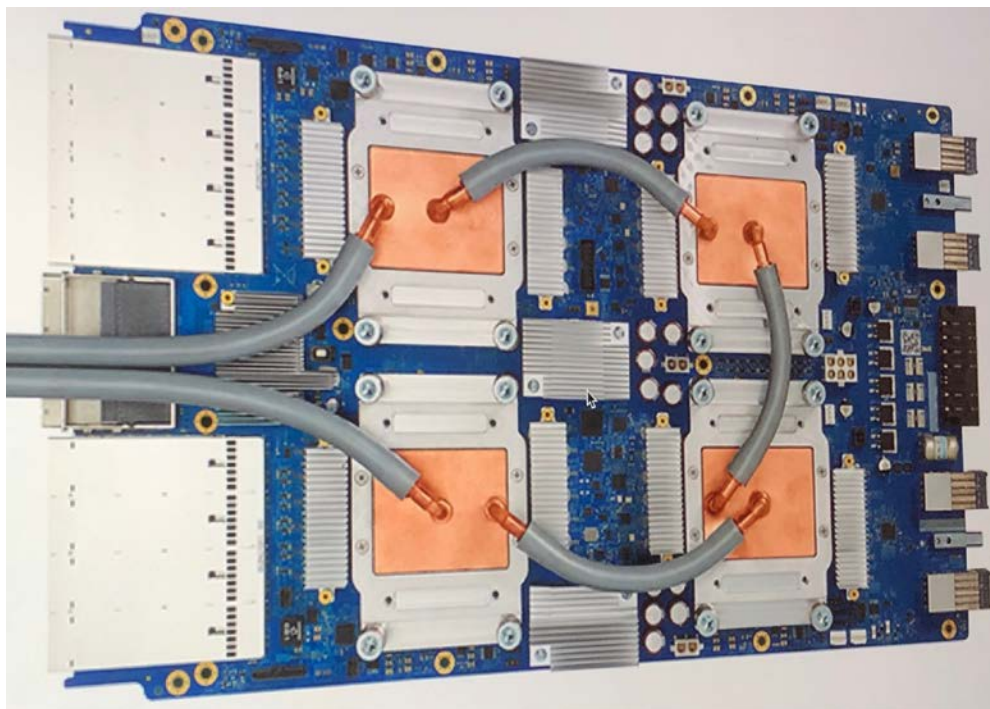


Programming GPUs

- CUDA
 - Covered in previous sessions
 - Optimized APIs: cuBLAS, cuFFT, cuDNN, etc
- OpenCL
 - Similar to CUDA, but runs on almost anything
 - Usually slower on NVIDIA hardware (30% according to Fang et al.)
- AMD ROCm / HIP
- Compute Shader
 - E.g. HLSL
 - [jgbit/vuda](#)

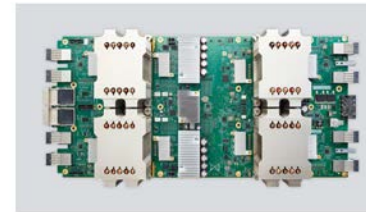
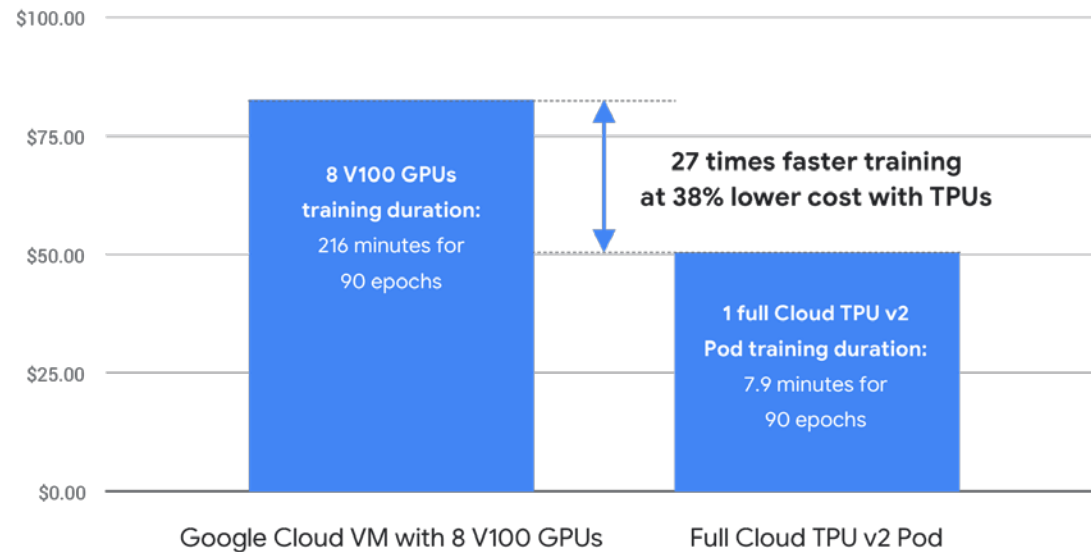


Tensor Processing Unit (TPU)



Tensor Processing Unit (TPU)

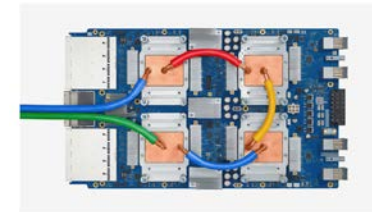
ResNet-50 Training Cost Comparison



Cloud TPU v2

180 teraflops

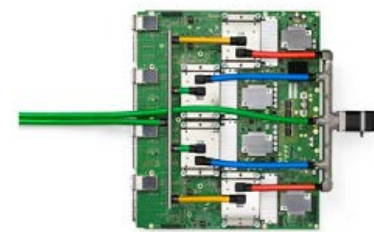
64 GB High Bandwidth Memory (HBM)



Cloud TPU v3

420 teraflops

128 GB HBM



TPU v4

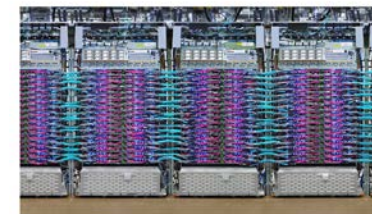


Cloud TPU v2 Pod

11.5 petaflops

4 TB HBM

2-D toroidal mesh network



Cloud TPU v3 Pod

100+ petaflops

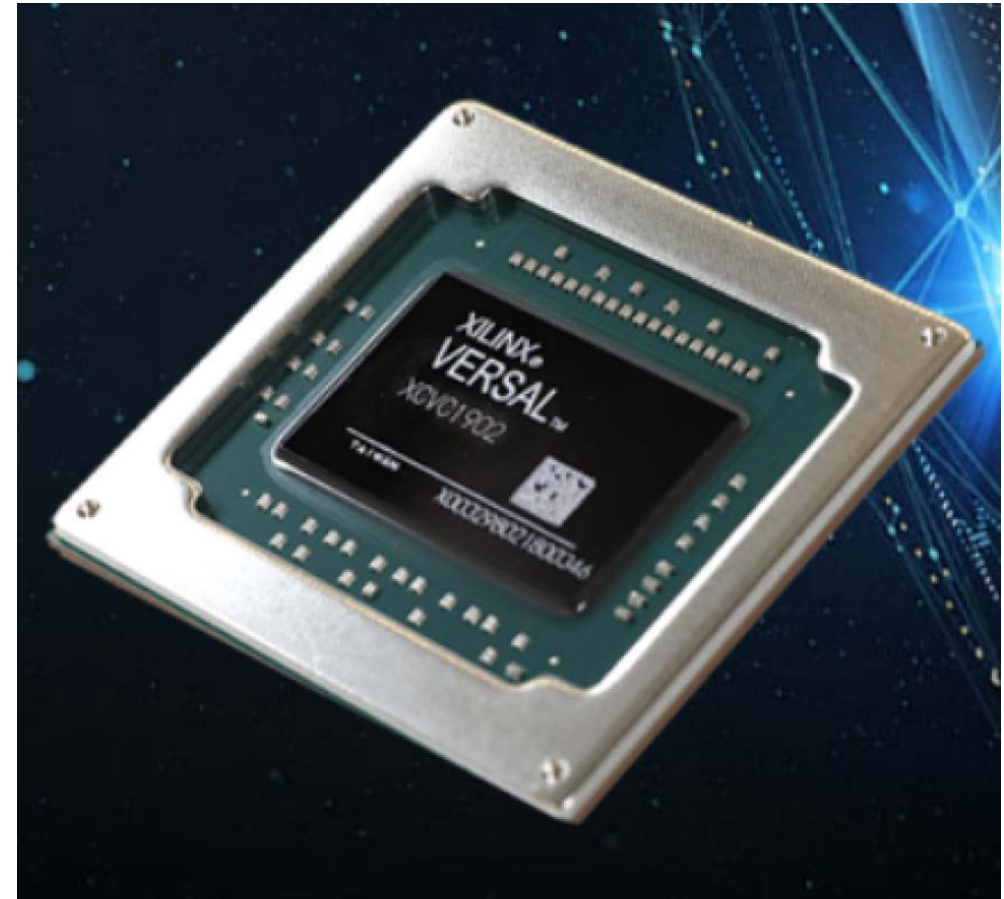
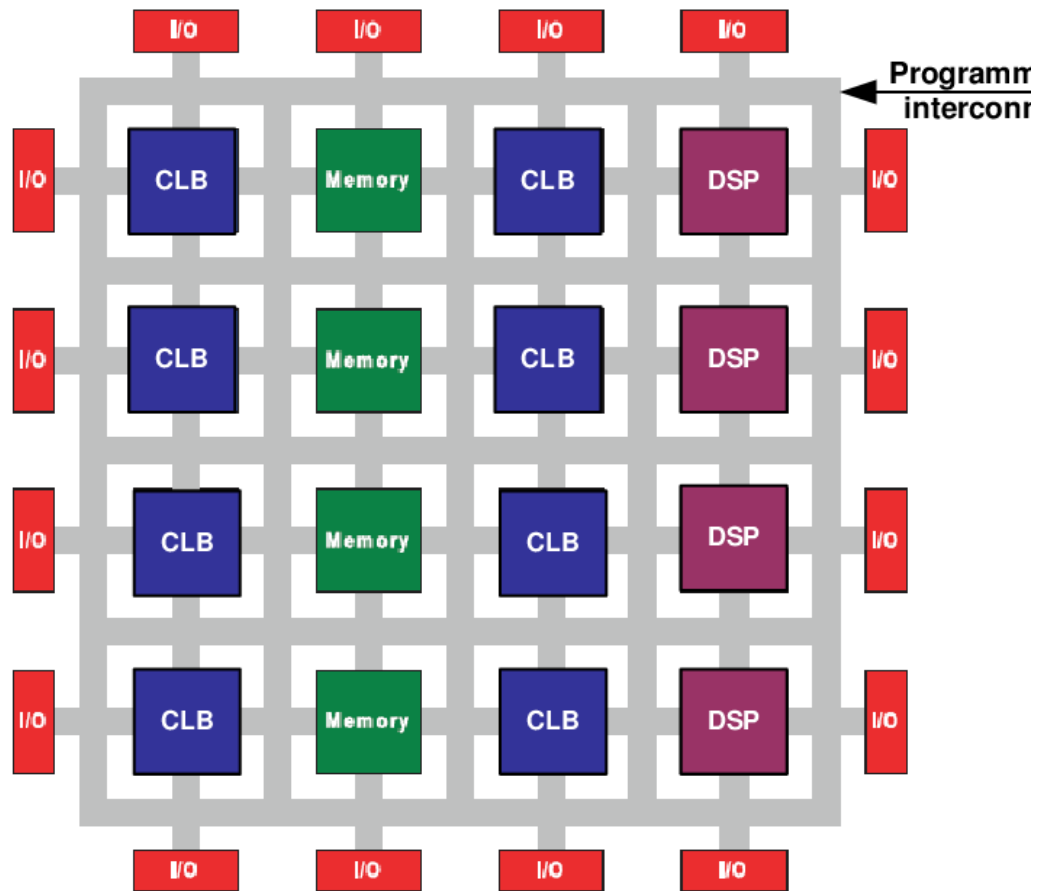
32 TB HBM

2-D toroidal mesh network



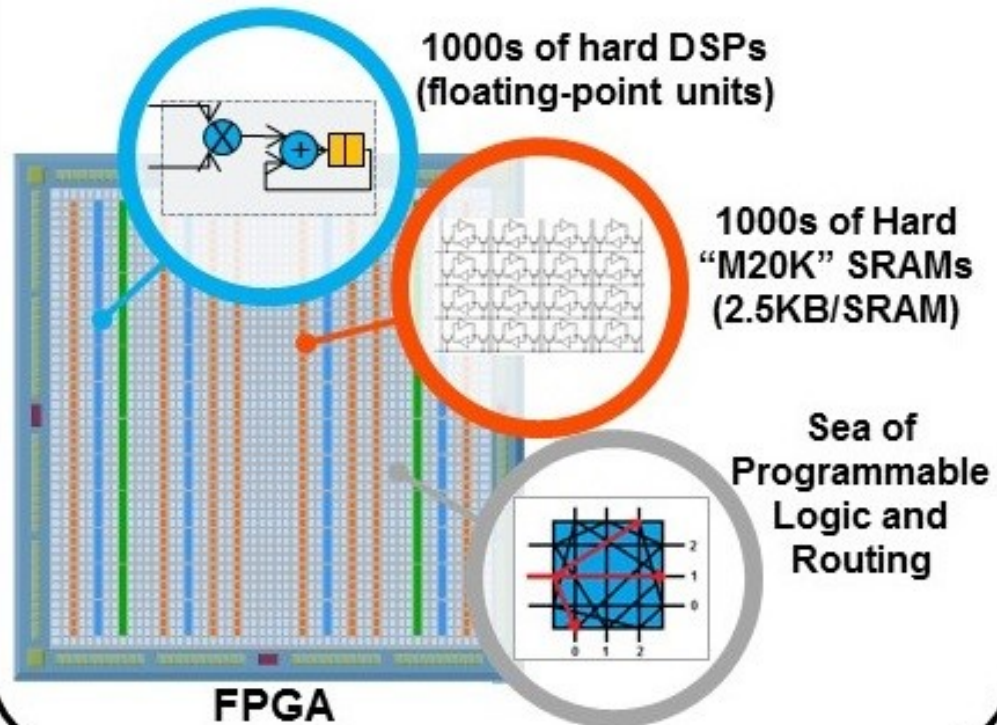
TPU v4 Pod

Field-programmable Gate Array (FPGA)

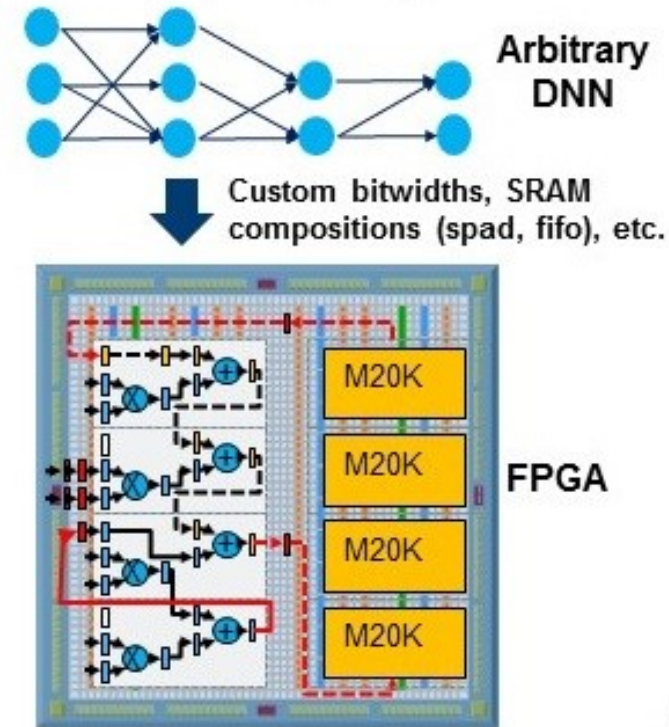


Field-programmable Gate Array (FPGA)

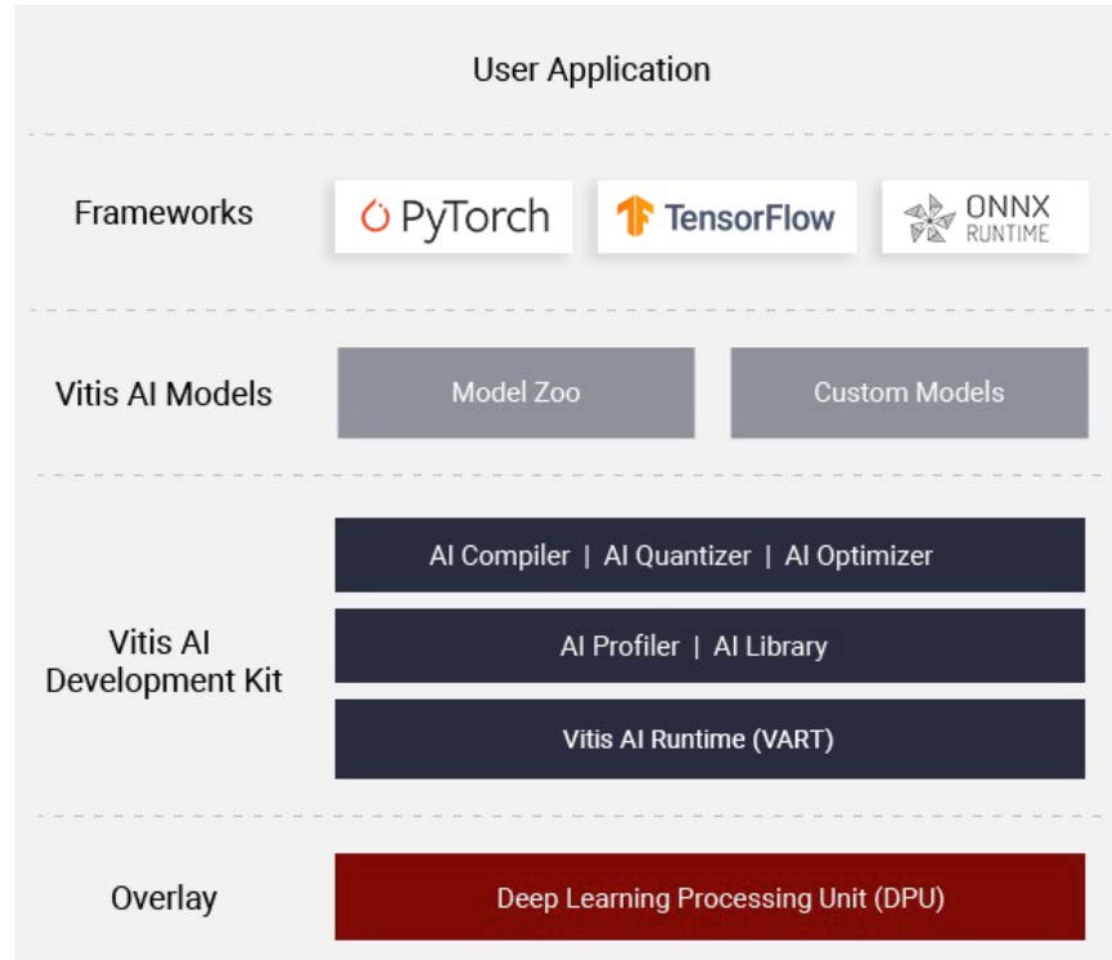
A. FPGA fabric consists of mix set of configurable resources, which offers extreme degree of customizations



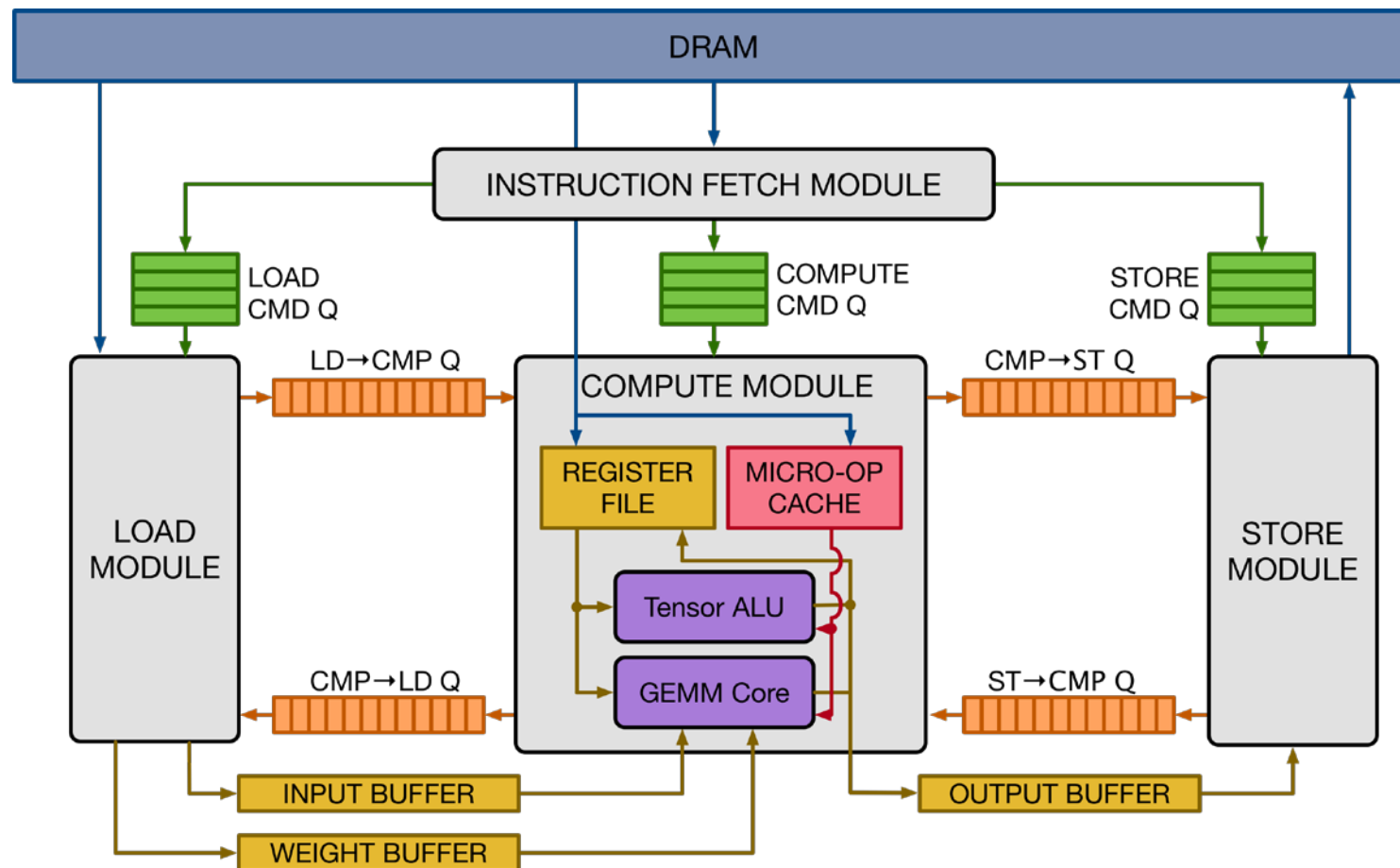
B. Customizability of FPGA allows efficient implementation of low-precision, sparse, irregular DNNs



Xilinx Vitis AI

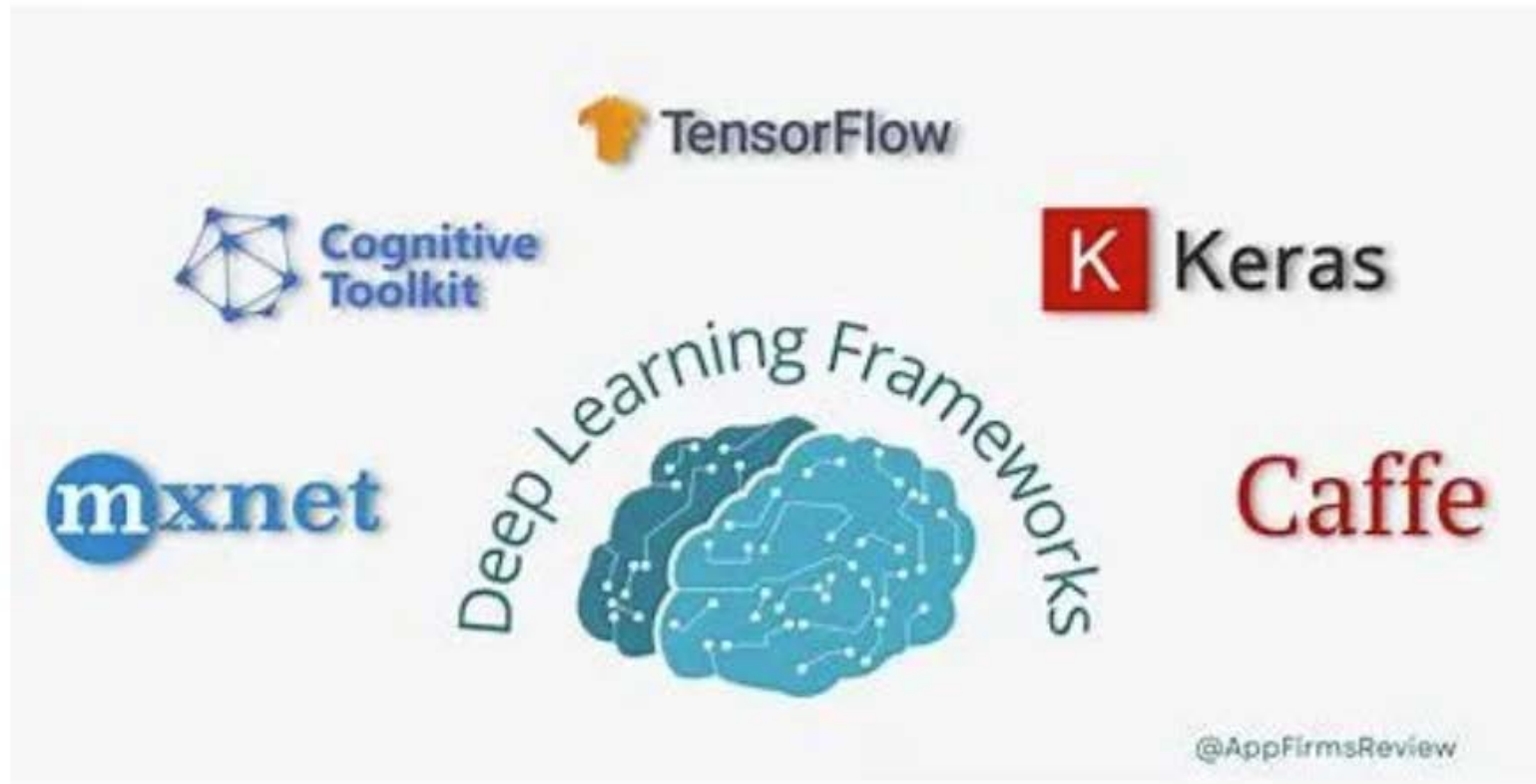


TVM VTA: Versatile Tensor Accelerator



Deep learning software

Deep Learning Frameworks



Best of Frameworks for Deep Learning

PyTorch 101: Tensors

Directly from data

Tensors can be created directly from data. The data type is automatically inferred.

```
data = [[1, 2],[3, 4]]
x_data = torch.tensor(data)
```

From a NumPy array

Tensors can be created from NumPy arrays (and vice versa - see [Bridge with NumPy](#)).

```
np_array = np.array(data)
x_np = torch.from_numpy(np_array)
```

With random or constant values:

`shape` is a tuple of tensor dimensions. In the functions below, it determines the dimensionality of the output tensor.

```
shape = (2,3,)
rand_tensor = torch.rand(shape)
ones_tensor = torch.ones(shape)
zeros_tensor = torch.zeros(shape)
```

```
print(f"Random Tensor: \n {rand_tensor} \n")
print(f"Ones Tensor: \n {ones_tensor} \n")
print(f"Zeros Tensor: \n {zeros_tensor}")
```

```
# We move our tensor to the GPU if available
if torch.cuda.is_available():
    tensor = tensor.to('cuda')
```

PyTorch 101: Tensors

Arithmetic operations

```
# This computes the matrix multiplication between  
two tensors. y1, y2, y3 will have the same value  
y1 = tensor @ tensor.T  
y2 = tensor.matmul(tensor.T)  
  
y3 = torch.rand_like(tensor)  
torch.matmul(tensor, tensor.T, out=y3)  
  
# This computes the element-wise product. z1, z2, z3  
will have the same value  
z1 = tensor * tensor  
z2 = tensor.mul(tensor)  
  
z3 = torch.rand_like(tensor)  
torch.mul(tensor, tensor, out=z3)
```

In-place operations Operations that store the result into the operand are called in-place. They are denoted by a `_` suffix. For example:

`x.copy_(y)`, `x.t_()`, will change `x`.

```
print(tensor, "\n")  
tensor.add_(5)  
print(tensor)
```

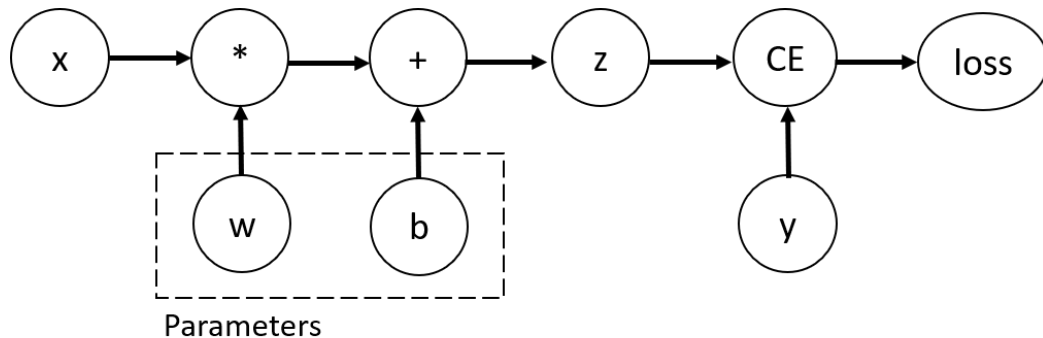
```
n = np.ones(5)  
t = torch.from_numpy(n)
```

```
t = torch.ones(5)  
print(f"t: {t}")  
n = t.numpy()  
print(f"n: {n}")
```

PyTorch 101: AutoGrad

```
import torch

x = torch.ones(5)  # input tensor
y = torch.zeros(3) # expected output
w = torch.randn(5, 3, requires_grad=True)
b = torch.randn(3, requires_grad=True)
z = torch.matmul(x, w)+b
loss =
torch.nn.functional.binary_cross_entropy_with_logits
(z, y)
```



```
loss.backward()
print(w.grad)
print(b.grad)
```

Out:

```
tensor([[0.1496, 0.3099, 0.3019],
        [0.1496, 0.3099, 0.3019],
        [0.1496, 0.3099, 0.3019],
        [0.1496, 0.3099, 0.3019],
        [0.1496, 0.3099, 0.3019]])
tensor([0.1496, 0.3099, 0.3019])
```

```
with torch.no_grad():
    z = torch.matmul(x, w)+b
    print(z.requires_grad)
```

PyTorch 101: nn.Module

```
class NeuralNetwork(nn.Module):
    def __init__(self):
        super(NeuralNetwork, self).__init__()
        self.flatten = nn.Flatten()
        self.linear_relu_stack = nn.Sequential(
            nn.Linear(28*28, 512),
            nn.ReLU(),
            nn.Linear(512, 512),
            nn.ReLU(),
            nn.Linear(512, 10),
            nn.ReLU()
        )

    def forward(self, x):
        x = self.flatten(x)
        logits = self.linear_relu_stack(x)
        return logits
```

```
device = 'cuda' if torch.cuda.is_available() else
'cpu'
print('Using {} device'.format(device))
```

```
model = NeuralNetwork().to(device)
print(model)
```

```
X = torch.rand(1, 28, 28, device=device)
logits = model(X)
pred_probab = nn.Softmax(dim=1)(logits)
y_pred = pred_probab.argmax(1)
print(f"Predicted class: {y_pred}")
```

PyTorch 101: Train

```
def train_loop(dataloader, model, loss_fn,
               optimizer):
    size = len(dataloader.dataset)
    for batch, (X, y) in enumerate(dataloader):
        # Compute prediction and loss
        pred = model(X)
        loss = loss_fn(pred, y)

        # Backpropagation
        optimizer.zero_grad()
        loss.backward()
        optimizer.step()

        if batch % 100 == 0:
            loss, current = loss.item(), batch *
len(X)
            print(f"loss: {loss:>7f}
[ {current:>5d} / {size:>5d} ]")
```

```
def test_loop(dataloader, model, loss_fn):
    size = len(dataloader.dataset)
    num_batches = len(dataloader)
    test_loss, correct = 0, 0

    with torch.no_grad():
        for X, y in dataloader:
            pred = model(X)
            test_loss += loss_fn(pred, y).item()
            correct += (pred.argmax(1) ==
y).type(torch.float).sum().item()

    test_loss /= num_batches
    correct /= size
    print(f"Test Error: \n Accuracy:
{(100*correct):>0.1f}%, Avg loss: {test_loss:>8f}
\n")
```

PyTorch 101: Train

```
loss_fn = nn.CrossEntropyLoss()
optimizer = torch.optim.SGD(model.parameters(),
                              lr=learning_rate)

epochs = 10
for t in range(epochs):
    print(f"Epoch
{t+1}\n-----")
    train_loop(train_dataloader, model, loss_fn,
optimizer)
    test_loop(test_dataloader, model, loss_fn)
print("Done!")
```

Epoch 1

```
-----
loss: 2.296610 [ 0/60000]
loss: 2.292522 [ 6400/60000]
loss: 2.286929 [12800/60000]
loss: 2.288398 [19200/60000]
loss: 2.276151 [25600/60000]
loss: 2.263381 [32000/60000]
loss: 2.276544 [38400/60000]
loss: 2.262336 [44800/60000]
loss: 2.250045 [51200/60000]
loss: 2.248366 [57600/60000]
```

Test Error:

Accuracy: 32.4%, Avg loss: 2.239535

```

# models
encoder = nn.Sequential(nn.Linear(28 * 28, 64), nn.ReLU(), nn.Linear(64, 3))
decoder = nn.Sequential(nn.Linear(3, 64), nn.ReLU(), nn.Linear(64, 28 * 28))

encoder.cuda(0)
decoder.cuda(0)

# download on rank 0 only
if global_rank == 0:
    mnist_train = MNIST(os.getcwd(), train=True, download=True)

# split dataset
transform=transforms.Compose([transforms.ToTensor(),
                              transforms.Normalize(0.5, 0.5)])
mnist_train = MNIST(os.getcwd(), train=True, download=True, transform=transform)

# train (55,000 images), val split (5,000 images)
mnist_train, mnist_val = random_split(mnist_train, [55000, 5000])

# The dataloaders handle shuffling, batching, etc...
mnist_train = DataLoader(mnist_train, batch_size=64)
mnist_val = DataLoader(mnist_val, batch_size=64)

# optimizer
params = [encoder.parameters(), decoder.parameters()]
optimizer = torch.optim.Adam(params, lr=1e-3)

# TRAIN LOOP
model.train()
num_epochs = 1
for epoch in range(num_epochs):
    for train_batch in mnist_train:
        x, y = train_batch
        x = x.cuda(0)
        x = x.view(x.size(0), -1)
        z = encoder(x)
        x_hat = decoder(z)
        loss = F.mse_loss(x_hat, x)
        print('train loss: ', loss.item())

        loss.backward()
        optimizer.step()
        optimizer.zero_grad()

# EVAL LOOP
model.eval()
with torch.no_grad():
    val_loss = []
    for val_batch in mnist_val:
        x, y = val_batch
        x = x.cuda(0)
        x = x.view(x.size(0), -1)
        z = encoder(x)
        x_hat = decoder(z)
        loss = F.mse_loss(x_hat, x)
        val_loss.append(loss)
    val_loss = torch.mean(torch.tensor(val_loss))
model.train()

```



Turn PyTorch into Lightning

Lightning is just plain PyTorch.



Any Questions?

Accelerating Training Systems

GPT-2 Model

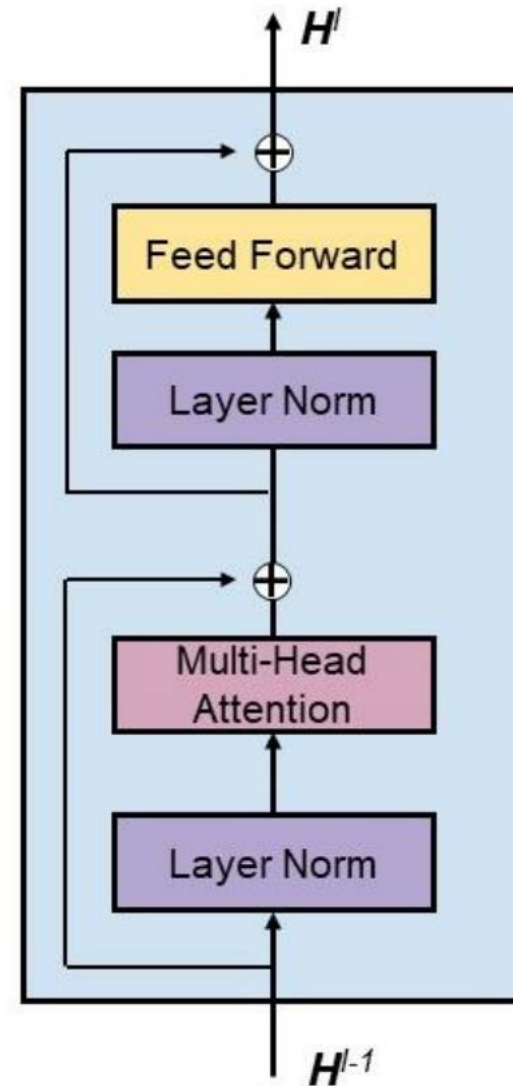
$$H^0 = E + P$$

$$H^l = \text{Transformer_block}(H^{l-1}), 1 \ll l \ll L$$

$$\text{FFN}(x) = \max(0, xW_1 + b_1)W_2 + b_2$$

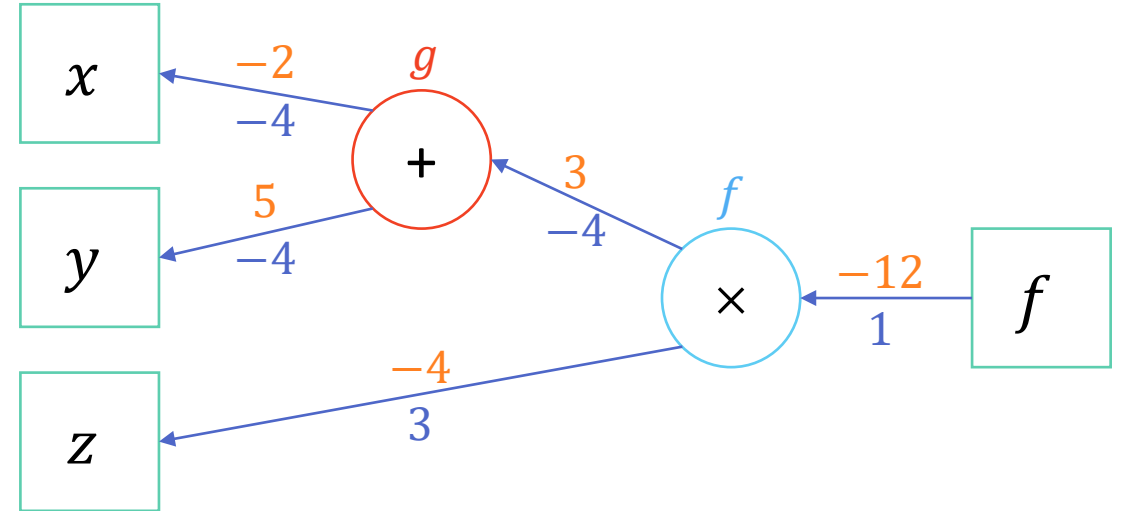
$$P(x_k \mid x_1, x_2, \dots, x_{k-1}) = \text{softmax}(W_v H_k^L) \Big|_{x_k}$$

$$\text{Loss} = \sum_{k=1}^n -\log P(x_k \mid x_1, x_2, \dots, x_{k-1})$$



Target

- Faster
 - Train the model in less time
 - Forward and Backward time
- Larger
 - Train larger model in limited memory
- Where does my memory go?
 - Parameter
 - Gradient
 - Optimizer State
 - Activation



- $\mathbf{v} \leftarrow \beta_1 \mathbf{v} + (1 - \beta_1) \nabla L(W)$
- $\mathbf{s} \leftarrow \beta_2 \mathbf{s} + (1 - \beta_2) (\nabla L(W))^2$

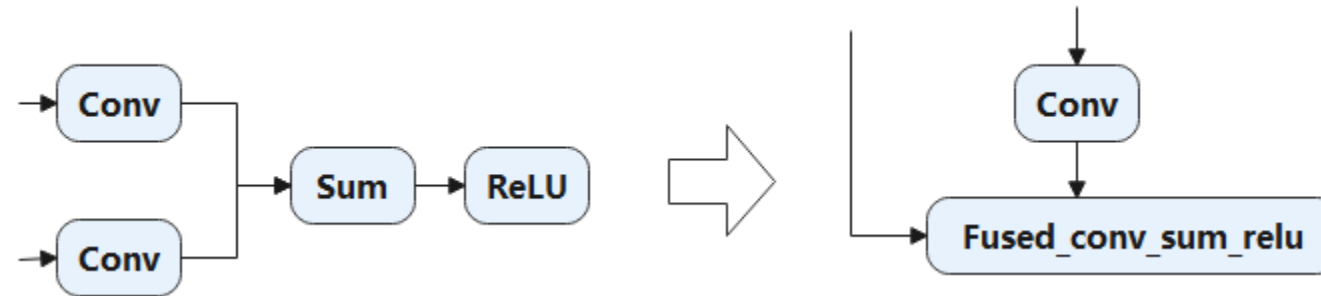


How to enhance performance?

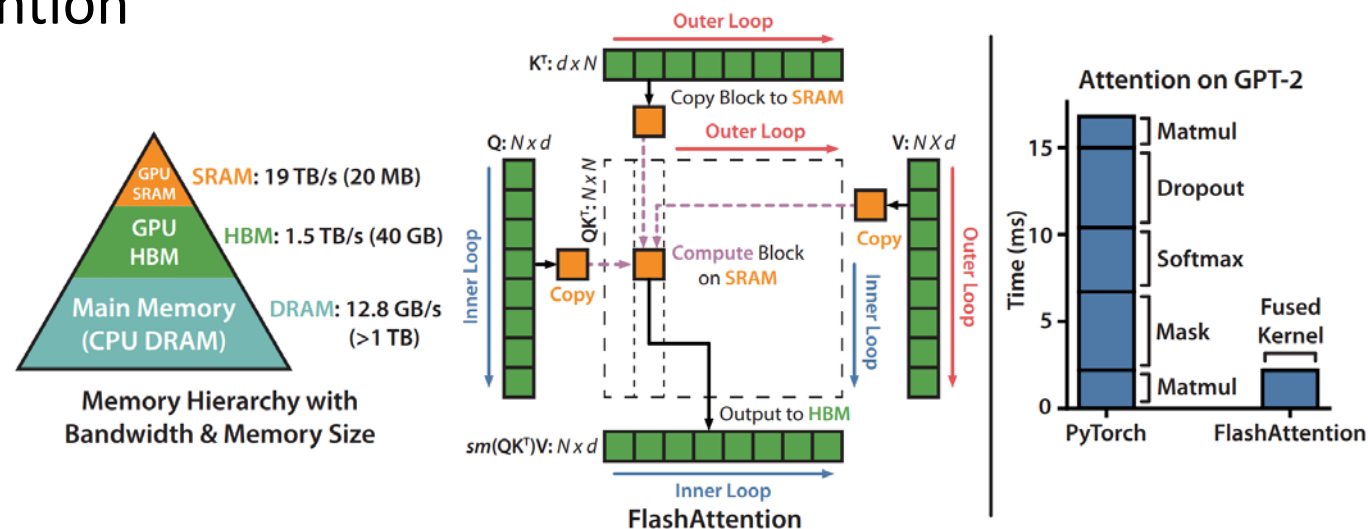
- More efficient operator implementation
- More efficient model design
 - Sparsity
 - PEFT
- Scalability
 - Parallelism
 - ZeRO
 - Heterogeneous training
- More efficient training procedure
 - Gradient Checkpointing
 - Gradient Accumulation
 - AMP
 - New optimizer

More efficient operator implementation

- Operator Fusion

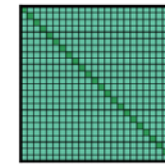


- More efficient operator
 - FlashAttention

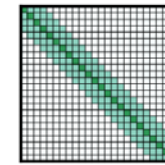


More efficient model design

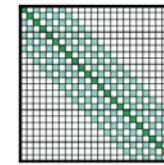
- Sparsity
 - LongFormer
 - Sparse Transformer
 - LongNet



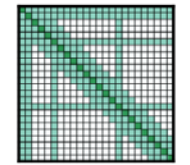
(a) Full n^2 attention



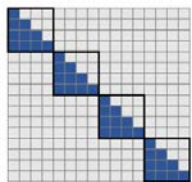
(b) Sliding window attention



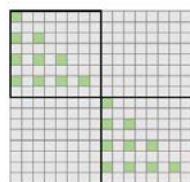
(c) Dilated sliding window



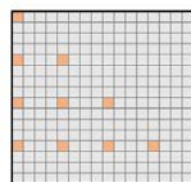
(d) Global+sliding window



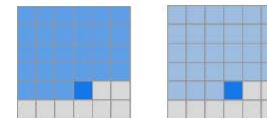
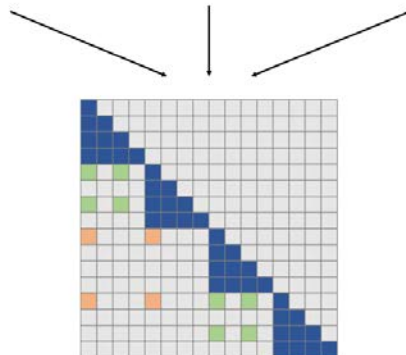
Segment Length: 4
Dilated Rate: 1



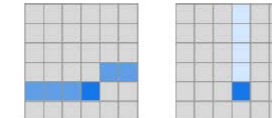
Segment Length: 8
Dilated Rate: 2



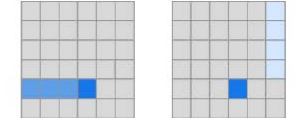
Segment Length: 16
Dilated Rate: 4



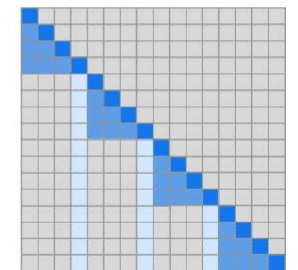
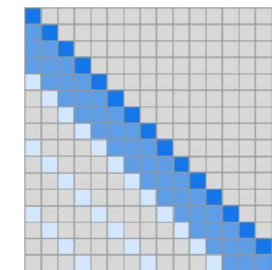
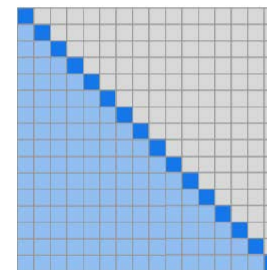
(a) Transformer



(b) Sparse Transformer (strided)

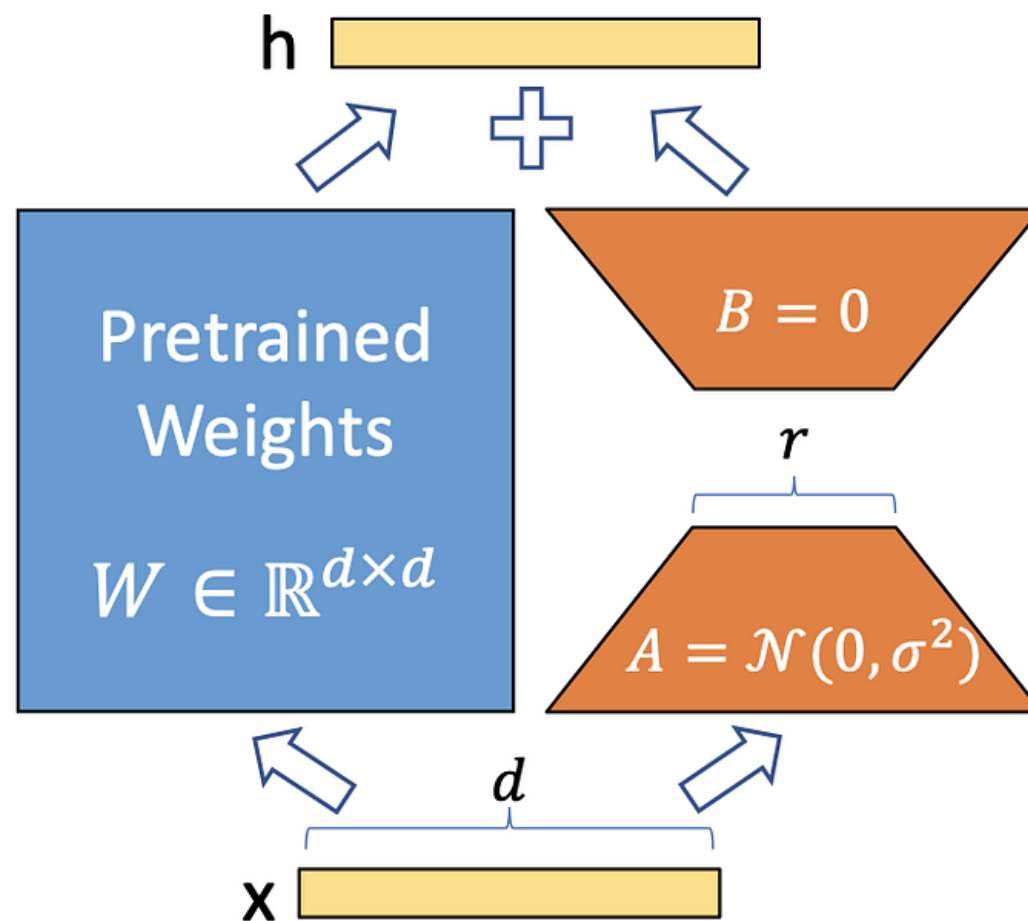


(c) Sparse Transformer (fixed)



PEFT (Parameter-Efficient Fine-tuning)

- LoRA



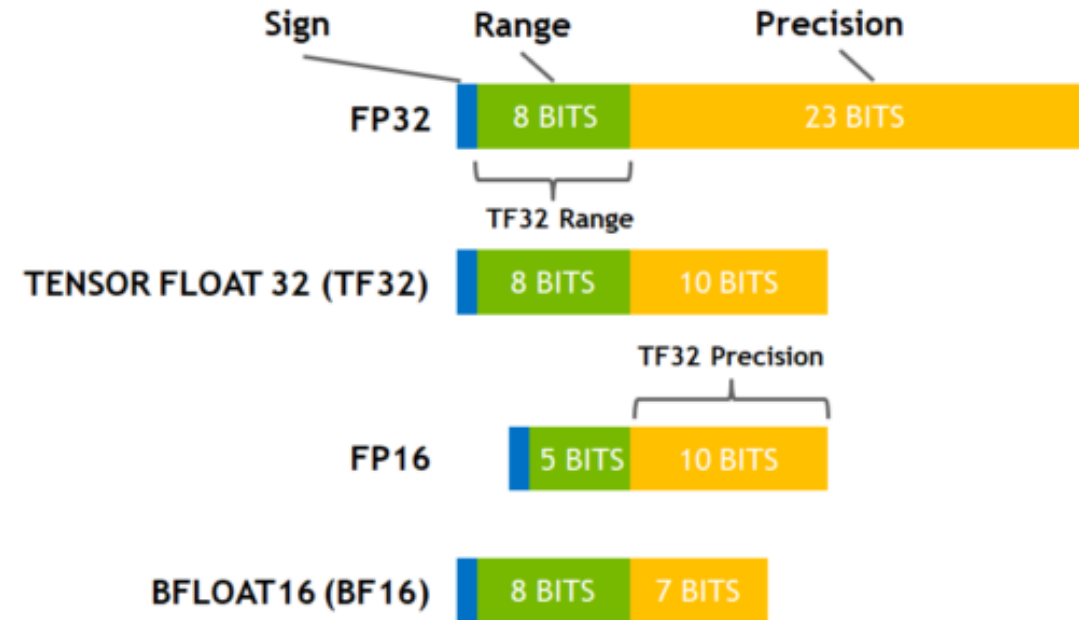
More efficient training procedure

- Gradient Accumulation
 - Increase batch size
 - Reduce relative GPU memory cost
 - Reduce overall optimizer iteration steps
- Gradient Checkpointing
 - Release activation records after forward
 - Slow down 20~30%, but reduce memory footprints

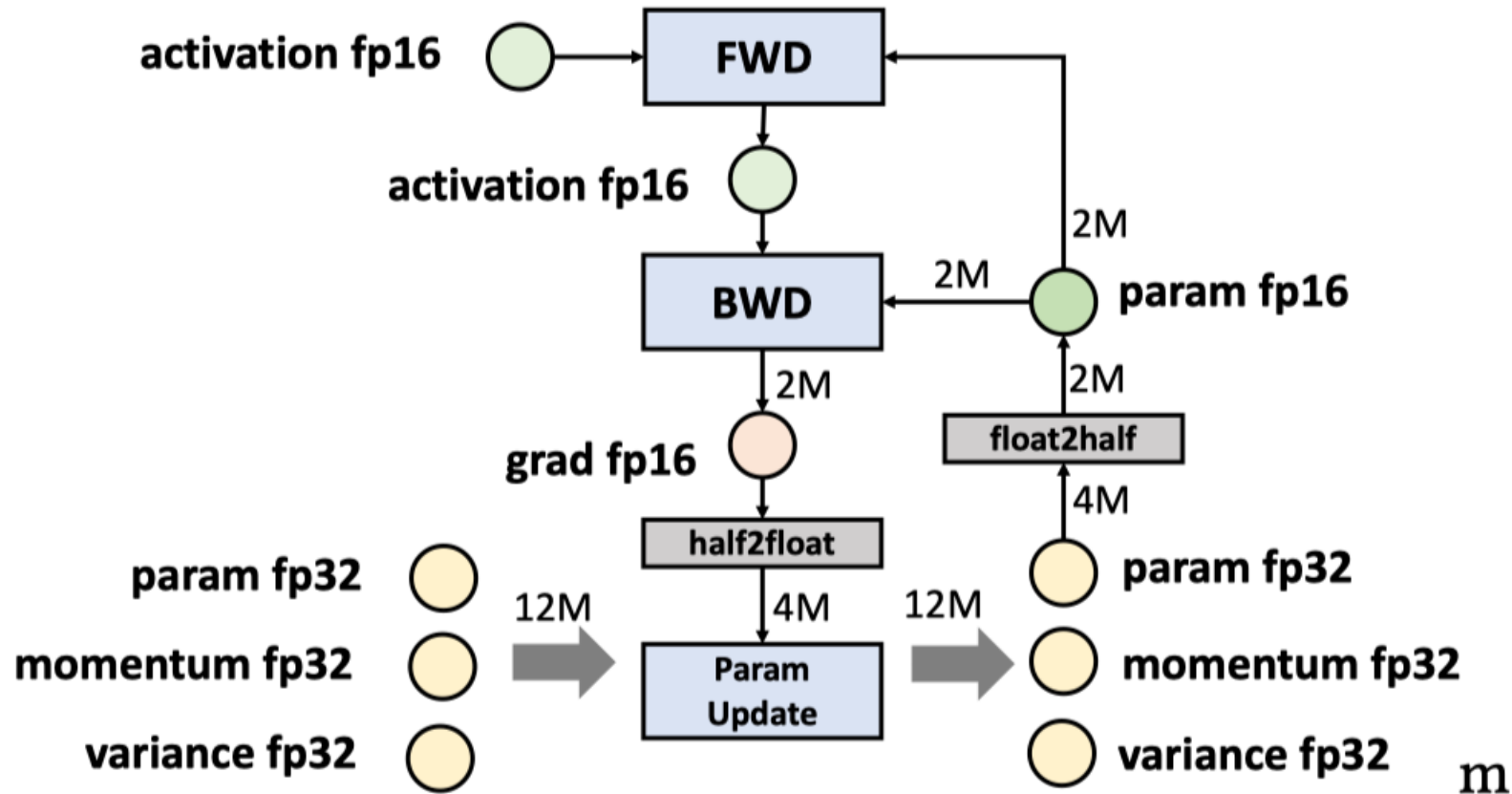
Mixed Precision Training

- FP16/TF32/BF16

	A100 80GB PCIe	A100 80GB SXM
FP64	9.7 TFLOPS	
FP64 Tensor Core	19.5 TFLOPS	
FP32	19.5 TFLOPS	
Tensor Float 32 (TF32)	156 TFLOPS 312 TFLOPS*	
BFLOAT16 Tensor Core	312 TFLOPS 624 TFLOPS*	
FP16 Tensor Core	312 TFLOPS 624 TFLOPS*	
INT8 Tensor Core	624 TOPS 1248 TOPS*	
GPU Memory	80GB HBM2e	80GB HBM2e
GPU Memory Bandwidth	1,935 GB/s	2,039 GB/s
Max Thermal Design Power (TDP)	300W	400W ***
Multi-Instance GPU	Up to 7 MIGs @ 10GB	Up to 7 MIGs @ 10GB



Typical training procedure (with fp16)

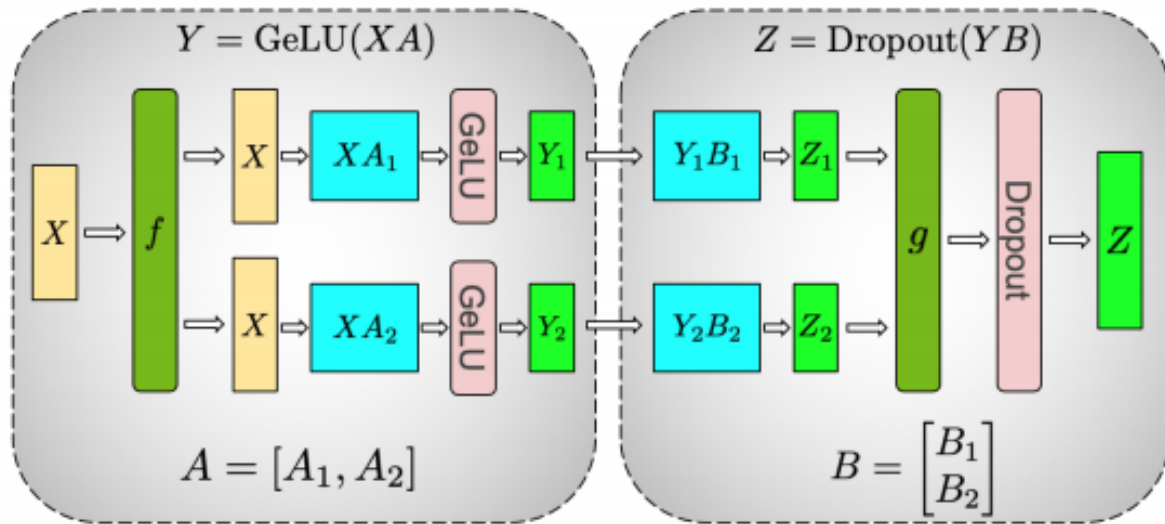


Parallelism

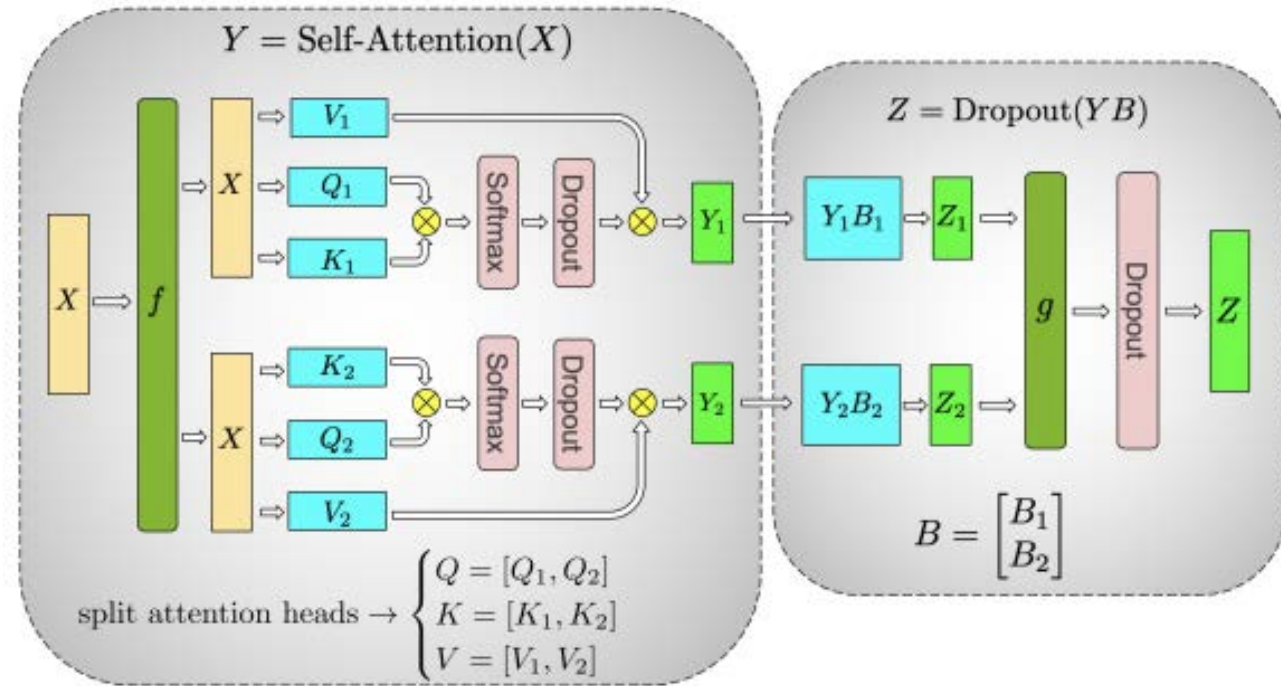
- Model Parallelism
 - Tensor Parallel
 - Pipeline Parallel
- Data Parallelism
 - DP
 - ZeRO

Tensor Parallel

- 1D
 - Proposed first in Megatron



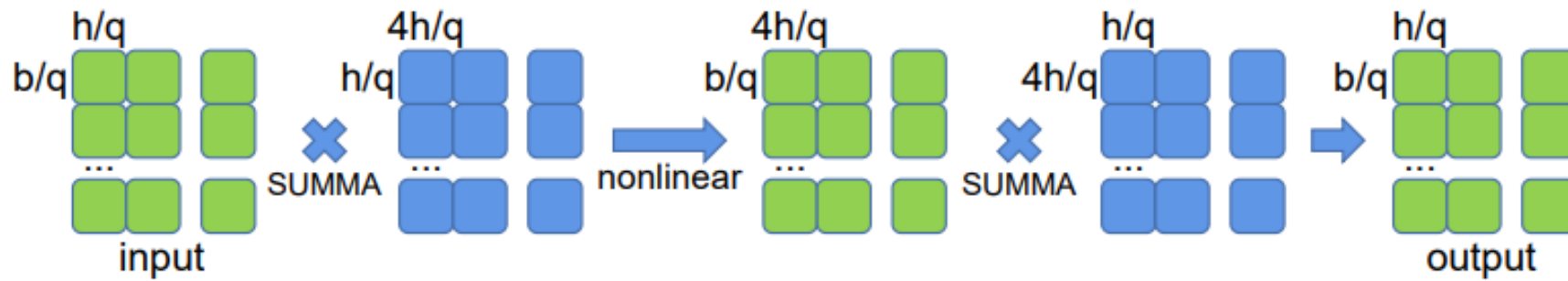
(a) MLP



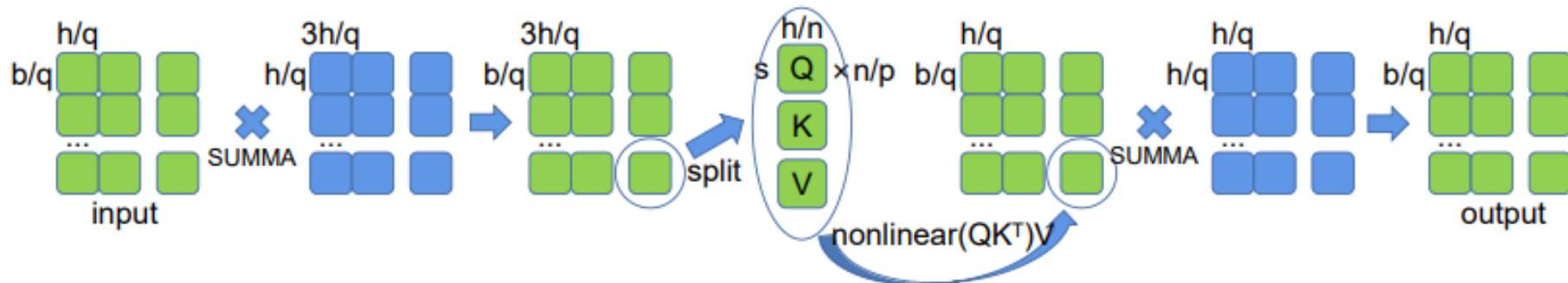
(b) Self-Attention

Tensor Parallel

- 2D
 - [An Efficient 2D Method for Training Super-Large Deep Learning Models](#)



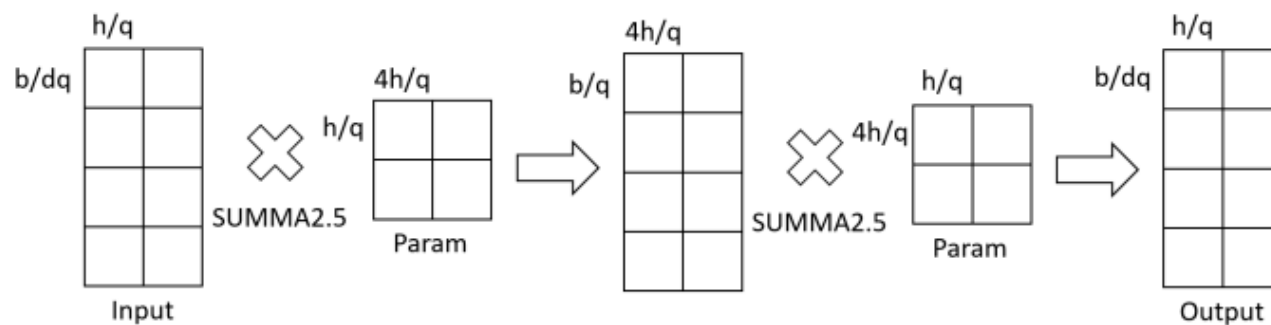
(a) MLP



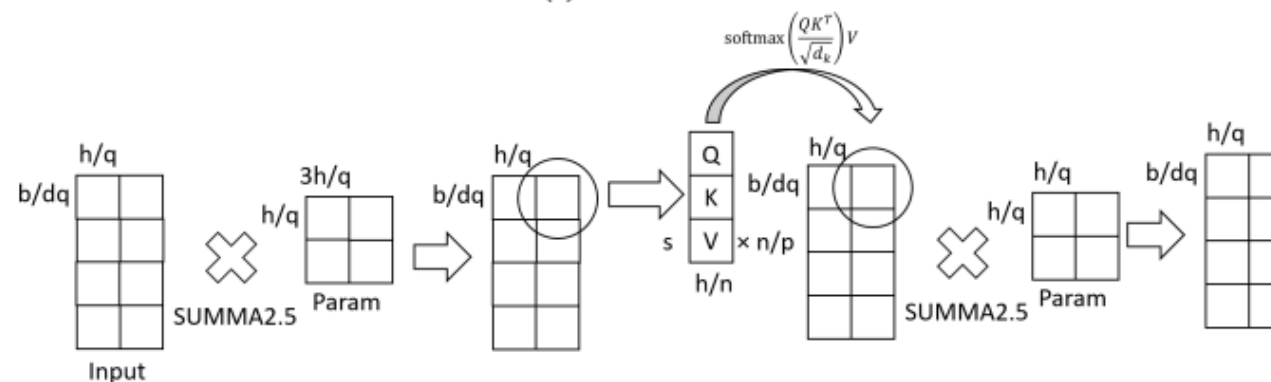
(b) self-attention

Tensor Parallel

- 2.5D
 - 2.5-dimensional distributed model training



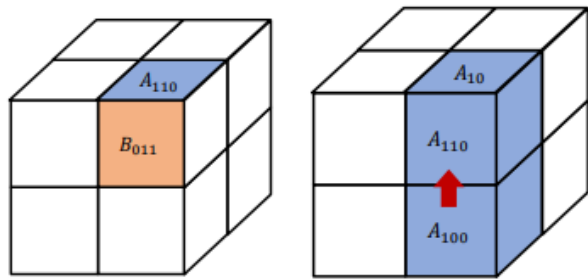
(a) Feed forward



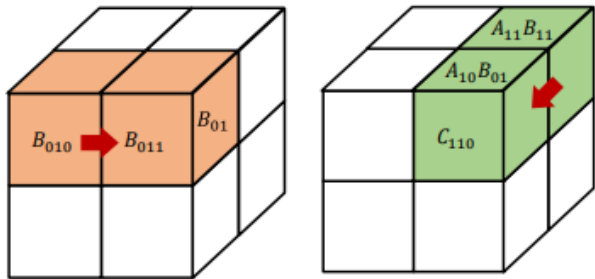
(b) Multi-head attention

Tensor Parallel

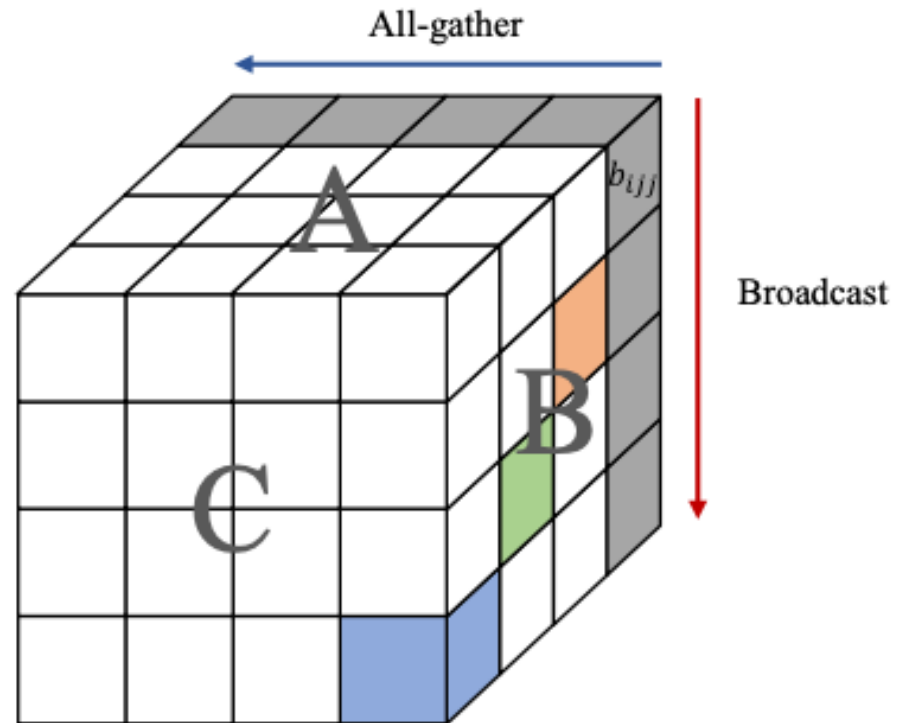
- 3D
 - [Maximizing Parallelism in Distributed Training for Huge Neural Networks](#)



(a) The input and weight submatrices on each processor.
(b) All-gather A_{il} in the y direction.

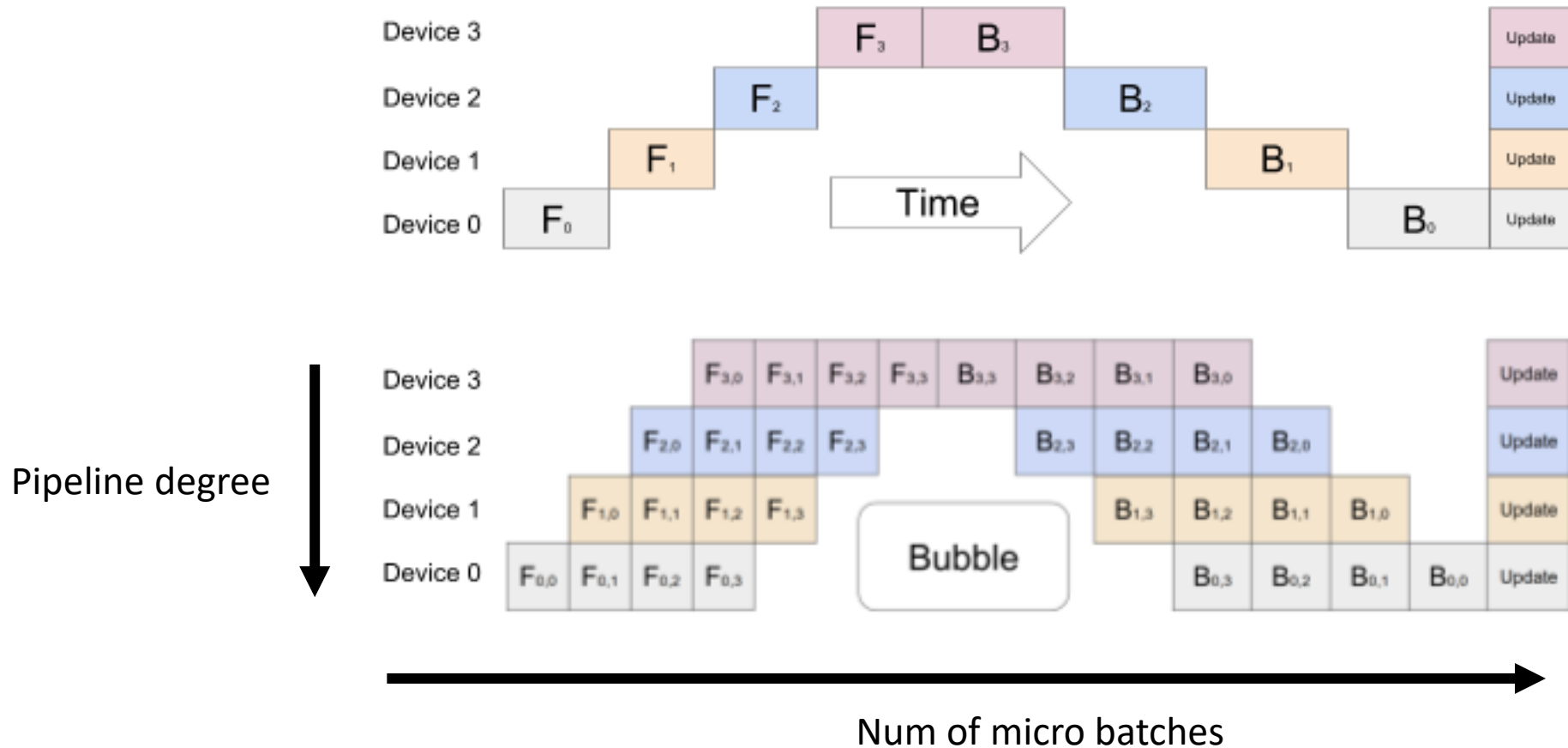


(c) All-gather B_{lj} in the x direction.
(d) Compute each C_{ijl} and reduce-scatter them in the z direction.



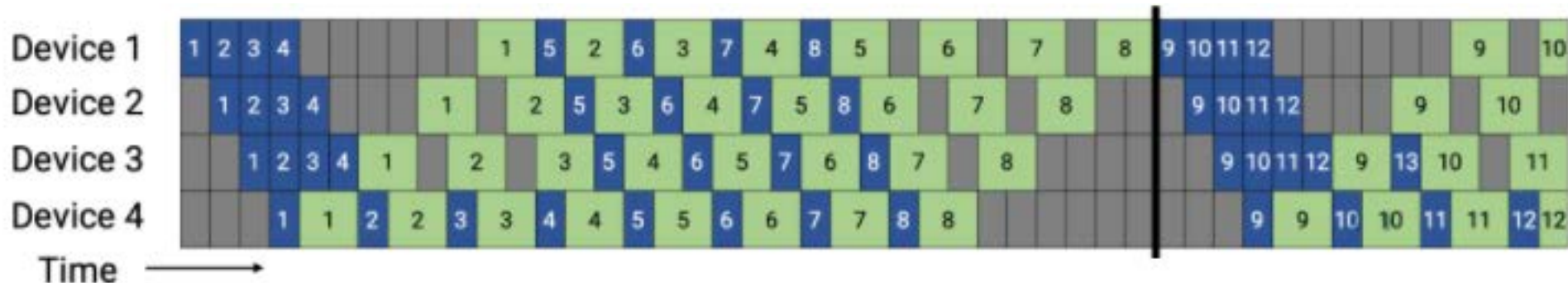
Pipeline Parallel

- GPipe



Pipeline Parallel

- 1F1B (one forward pass followed by one backward pass)



- Interleaved pipeline (backward execution of the microbatches is prioritized whenever possible)



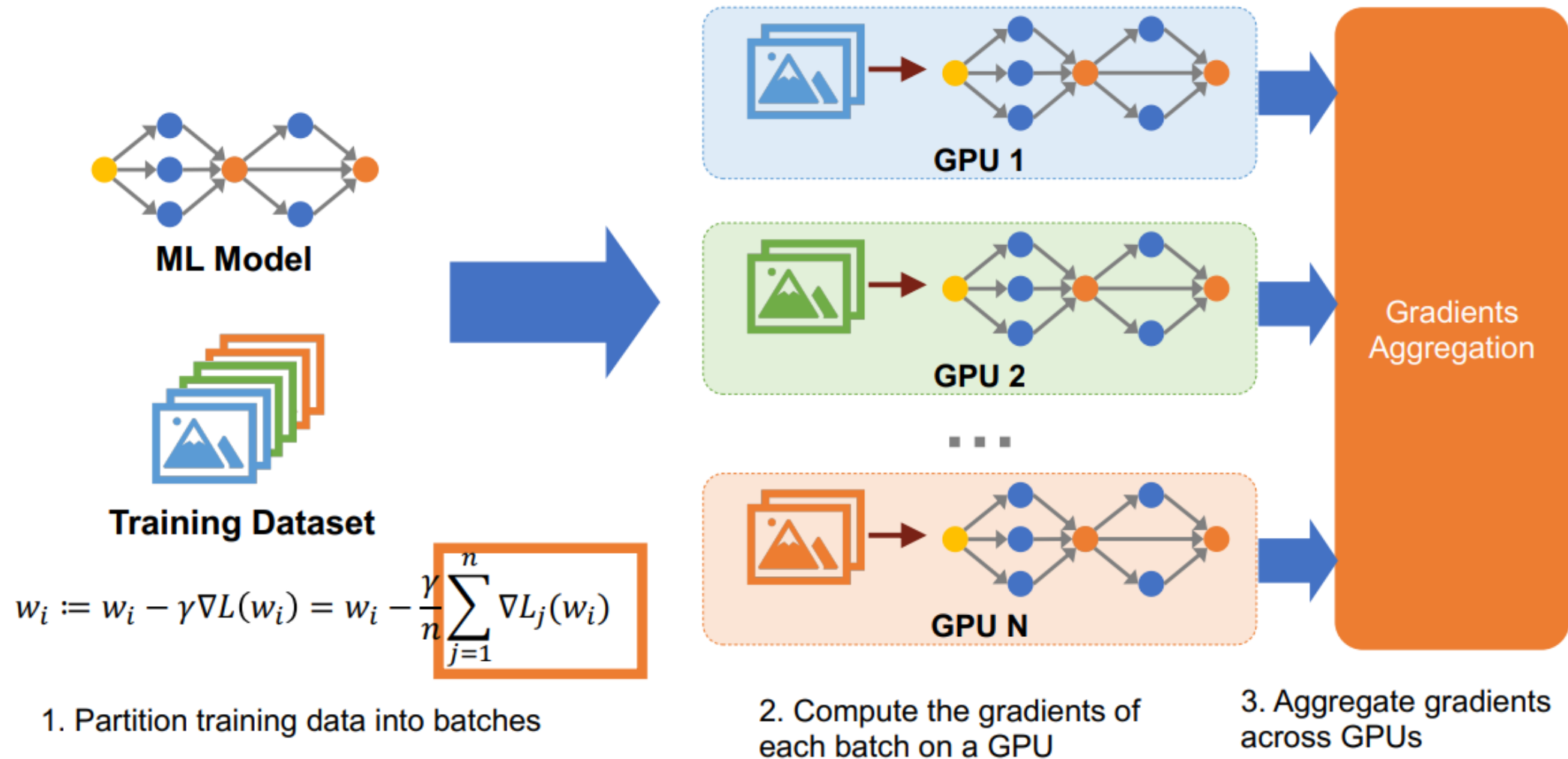
Forward Pass



Backward Pass

Num of chunks * Num of mB

Data Parallel

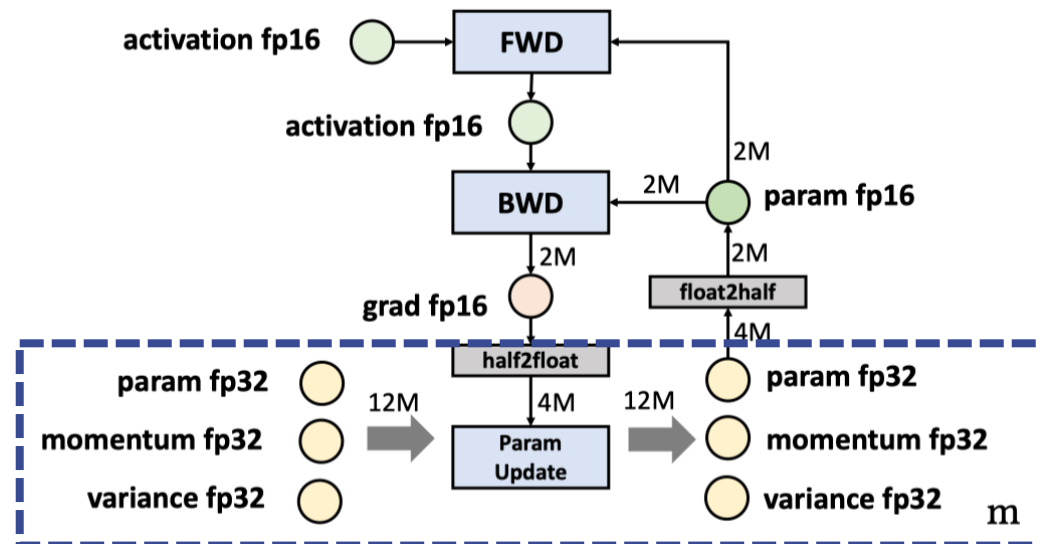


ZeRO

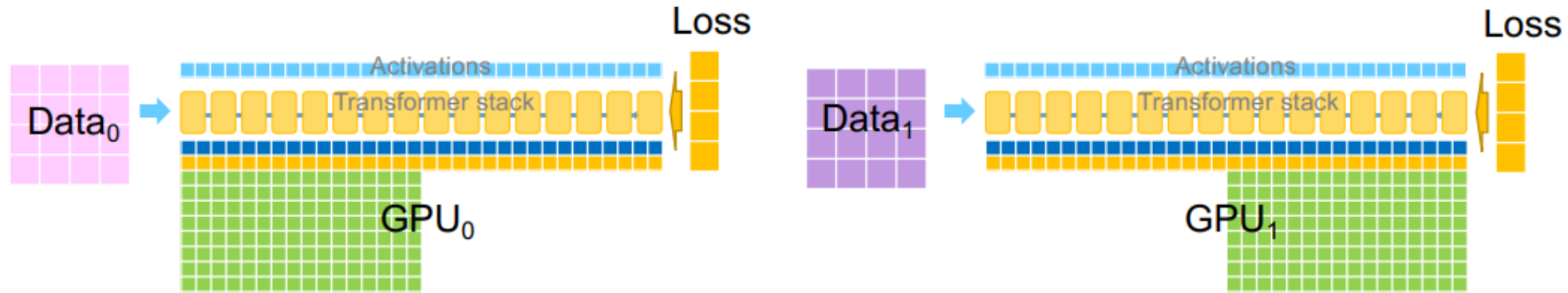
- Stage 1

	gpu ₀	...	gpu _i	...	gpu _{N-1}	Memory Consumed	K=12 Ψ=7.5B N _d =64
Baseline		...		...		$(2 + 2 + K) * \Psi$	120GB
P _{os}		...		...		$2\Psi + 2\Psi + \frac{K * \Psi}{N_d}$	31.4GB

■ Parameters
 ■ Gradients
 ■ Optimizer States



ZeRO



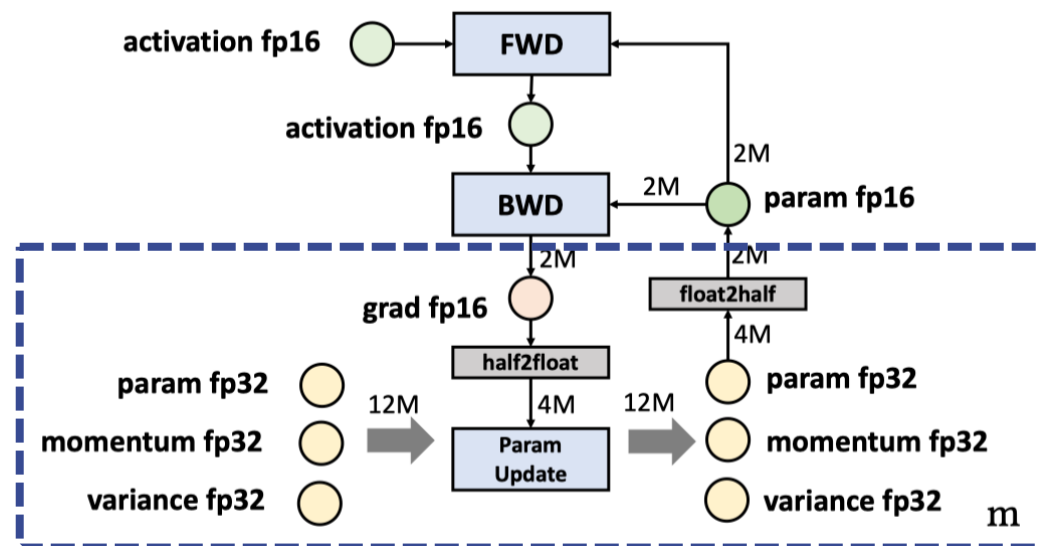
- Run Forward across the transformer blocks
- Backward propagation to generate FP16 gradients and AllReduce to average
- Update the FP32 weights with ADAM optimizer
- Update the FP16 weights
- All Gather the FP16 weights to complete the iteration

ZeRO

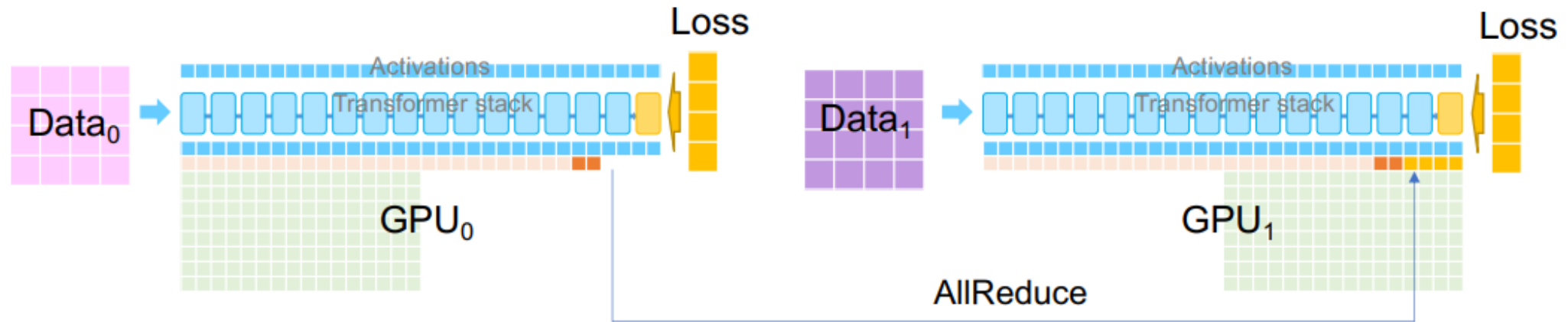
• Stage 2

	gpu ₀	...	gpu _i	...	gpu _{N-1}	Memory Consumed	K=12 Ψ=7.5B N _d =64
Baseline		...		...		$(2 + 2 + K) * \Psi$	120GB
P _{os}		...		...		$2\Psi + 2\Psi + \frac{K * \Psi}{N_d}$	31.4GB
P _{os+g}		...		...		$2\Psi + \frac{(2+K)*\Psi}{N_d}$	16.6GB

Parameters Gradients Optimizer States



ZeRO



- Partitioning gradients across GPUs

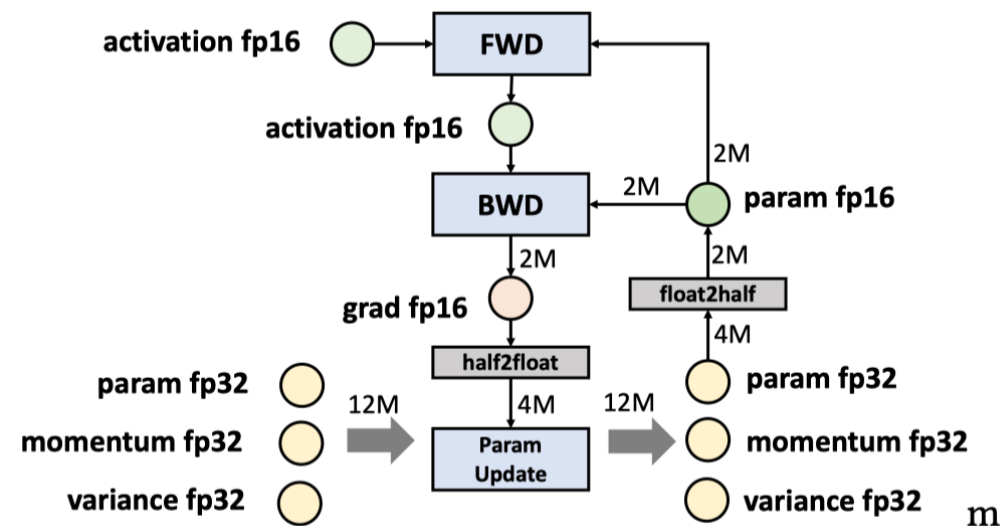
dating parameters

ZeRO

• Stage 3

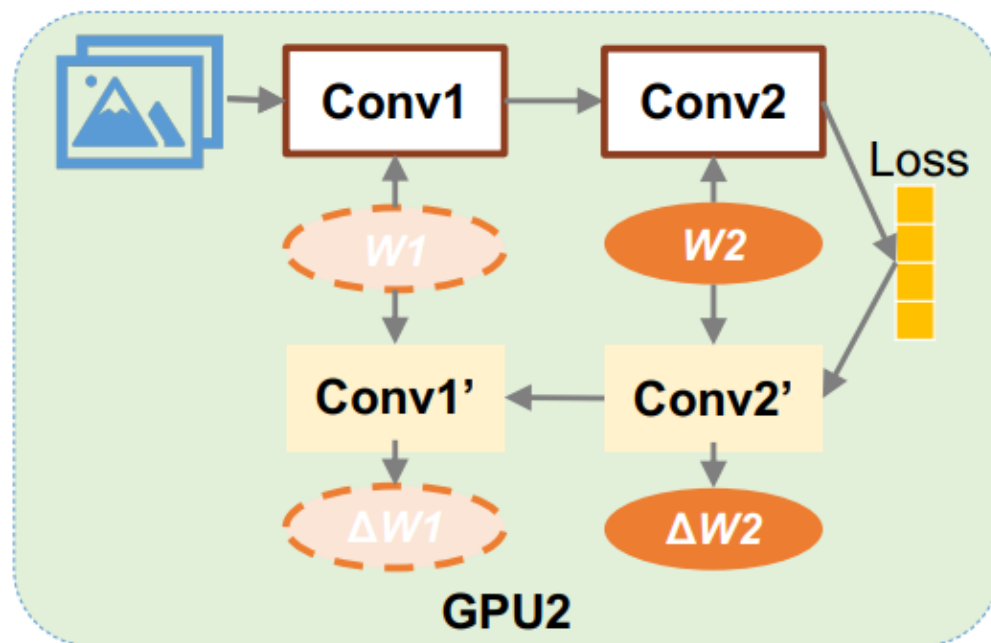
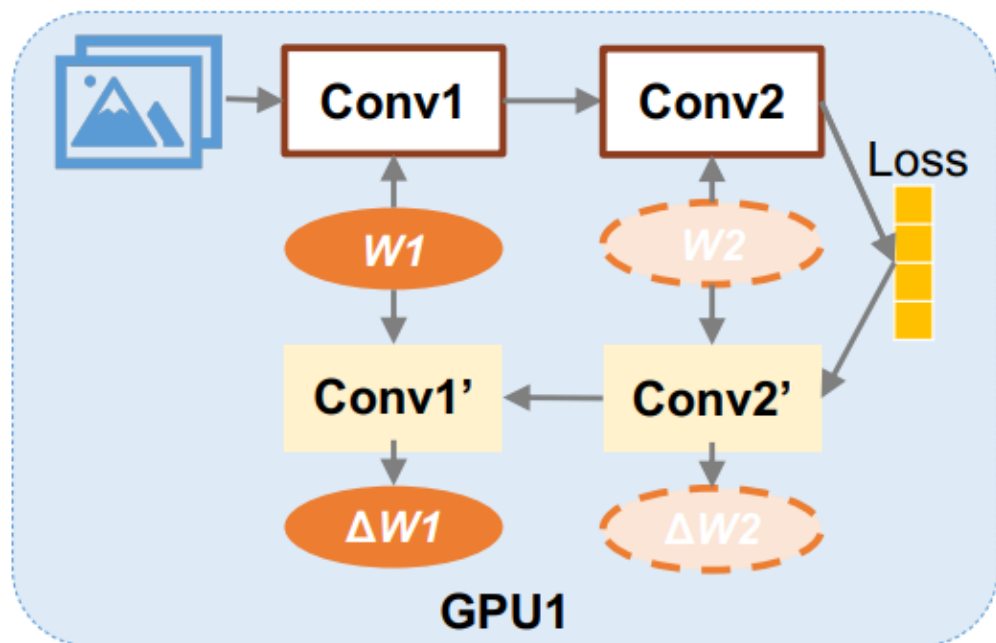
	gpu ₀	...	gpu _i	...	gpu _{N-1}	Memory Consumed	K=12 Ψ=7.5B N _d =64
Baseline		...		...		$(2 + 2 + K) * \Psi$	120GB
P _{os}		...		...		$2\Psi + 2\Psi + \frac{K * \Psi}{N_d}$	31.4GB
P _{os+g}		...		...		$2\Psi + \frac{(2 + K) * \Psi}{N_d}$	16.6GB
P _{os+g+p}		...		...		$\frac{(2 + 2 + K) * \Psi}{N_d}$	1.9GB

■ Parameters ■ Gradients ■ Optimizer States

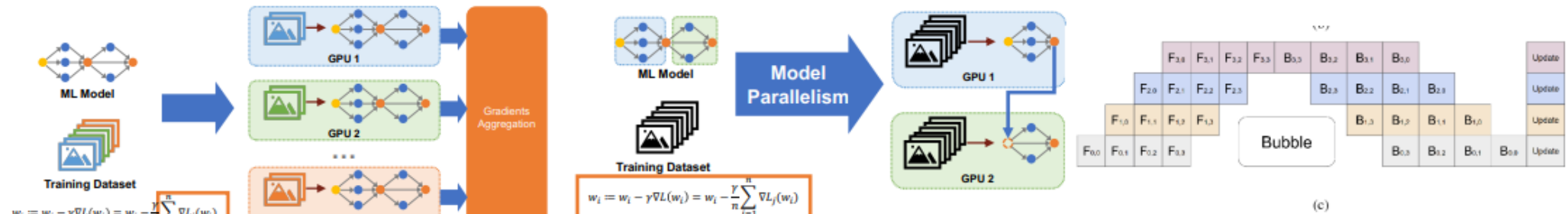


ZeRO

- In ZeRO, model parameters are partitioned across GPUs
- GPUs broadcast their parameters again during backward



Summary: Pros and Cons



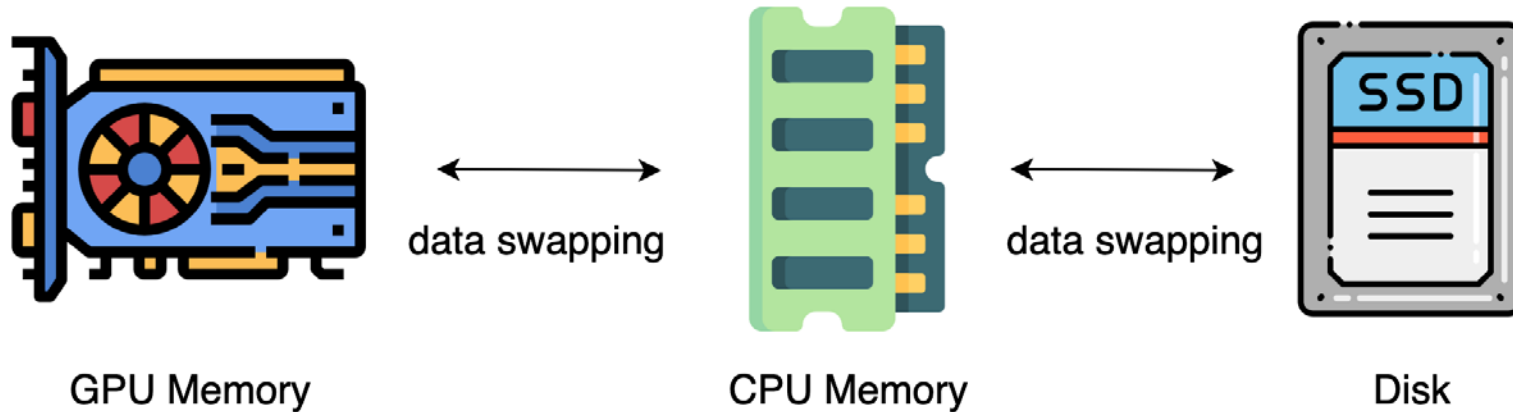
	Data Parallelism	Model Parallelism	Pipeline Parallelism
Pros	✓ Massively parallelizable ✓ Require no communication during forward/backward	✓ Support training large models ✓ Efficient for models with large numbers of parameters	✓ Support large-batch training ✓ Efficient for deep models
Cons	❖ Do not work for models that cannot fit on a GPU ❖ Do not scale for models with large numbers of parameters	❖ Limited parallelizability; cannot scale to large numbers of GPUs ❖ Need to transfer intermediate results in forward/backward	❖ Limited utilization: bubbles in forward/backward

Automatic Parallelism

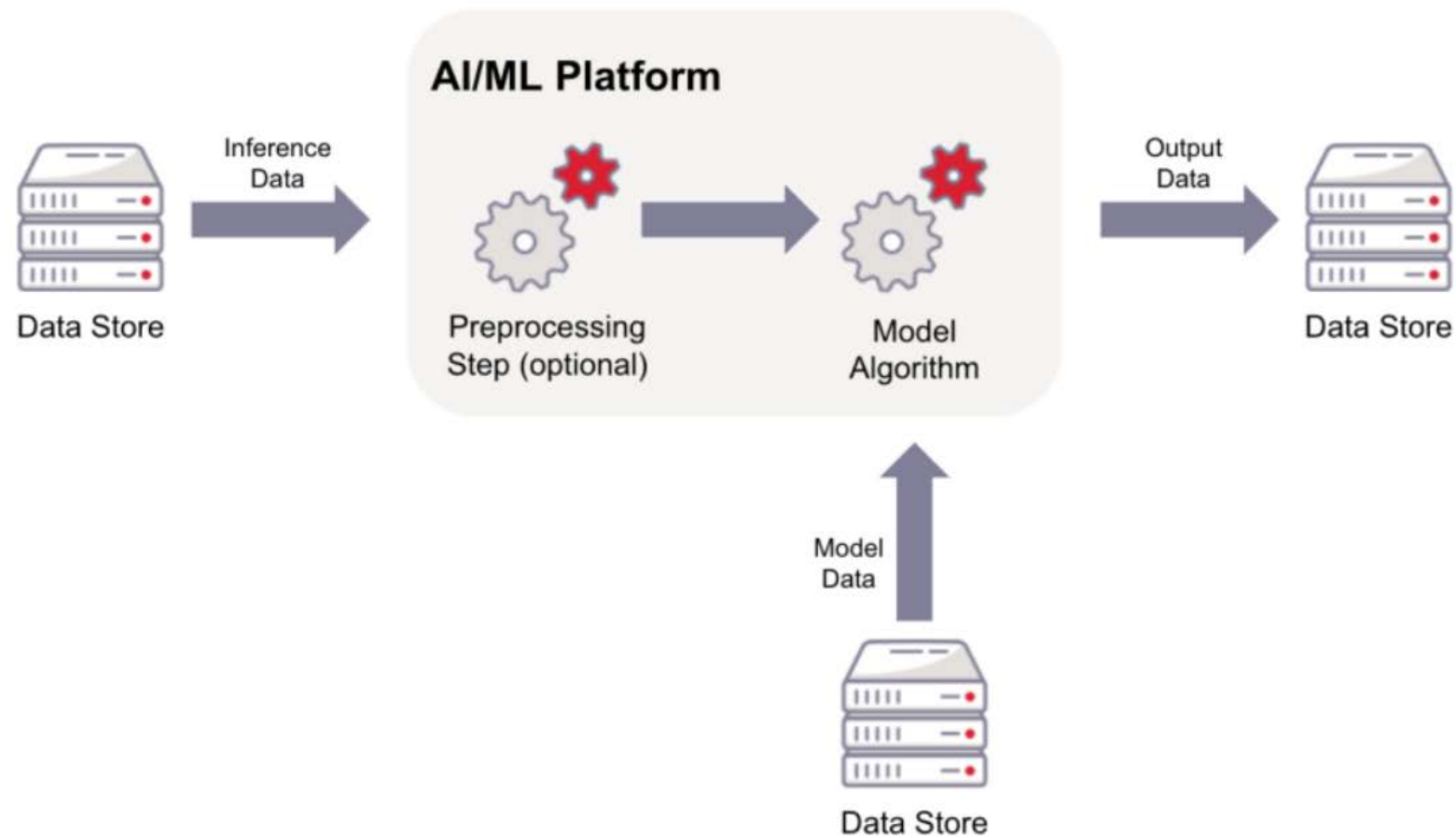
- Alpa: Jax
- Galvatron: Hetu
- Colossal-Auto: PyTorch

Heterogeneous training

- GPU + CPU: ZeRO-Offload
- GPU + NVME: ZeRO-Infinity
- GPU + CPU + NVME: PatrickStar/ColossalAI



Inference vs Training



Lab5

- Attention
- Transformer
- Operator Acceleration

Further Reading

- Stanford CS231n course, available online
- Dive into Deep Learning, <https://d2l.ai>
- A series of ML courses by Hung-yi Lee, available on YouTube
- Deep Learning by Ian Goodfellow et al.

